
FINANCIAL ASSISTANCE OR SERVICES TO LOW-INCOME INDIVIDUALS
AND FAMILIES

A REPORT TO THE
EXECUTIVE APPROPRIATIONS COMMITTEE

OFFICE OF THE LEGISLATIVE FISCAL ANALYST

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INTRODUCTION

During the 2007 General Session of the Legislature House Bill 89, “Government Assistance Expenditure Report”, was enacted. The bill enacted UCA 36-12-13 that requires the Legislative Fiscal Analyst’s Office to submit an annual report to the Executive Appropriations Committee on funds expended by the state during the preceding fiscal year to provide financial assistance or services to low-income individuals and families. The report is to be presented to the Executive Appropriations Committee in the Committee’s November Meeting. A copy of UCA 36-12-13(3) can be found in appendix A. This report is intended to fulfill the requirements of the statute.

The statute states that the report is to include information for financial assistance or service to low-income individuals and families but does not define what constitutes “low-income”. The analyst has identified areas of state government that assist individuals and families that are low-income as defined by individual programs. The definition of low-income is not necessarily the same in all cases. To insure future reports to the Executive Appropriations Committee are consistent the Legislature might want to amend the statute to define low-income.

The attached tables detail assistance to low-income individuals and families. In total the State provided \$2,728,528,180 (or \$2.7 billion) for low-income individuals and families in FY 2008. The General Fund and Education Funds portion of the total \$2.7 billion was \$505 million and Federal Funds were \$1.9 billion.

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FINANCIAL ASSISTANCE OR SERVICES TO LOW-INCOME INDIVIDUALS AND FAMILIES							
DEPT.	PROGRAM	SERVICE/GROUP SERVED	FY 2008				TOTAL
			GEN FUND	ED FUNDS	FED FUNDS	OTHER FUNDS	
Health	Health Care Financing	Administration of the Medicaid program	5,261,300		64,437,400	47,596,700	117,295,400
Health	Medicaid Services	Medical services to 164,000 people in June 2008	279,641,600		1,037,423,900	148,837,900	1,465,903,400
Health	Pharmacy Program	Prescription drugs to Medicaid clients 2.13 M prescriptions filled in FY08	45,953,400		63,730,500	42,087,900	151,771,800
Health	Health Clinics	State-run health clinics for Medicaid clients. 27,776 Dental clients and 22,061 medical clients served in FY08	1,302,200			4,759,800	6,062,000
Health	Baby Watch/ Early Intervention Program	The Baby Watch Early Intervention Program provides early intervention/developmental services for young children from birth to the age of three years, with developmental delay and/or disabilities, under the Individuals with Disabilities Education Act (IDEA; Public Law 105-17). 3,984 clients served in FY08.	8,818,000		4,047,700	849,400	13,715,100
Health	Women Infant and Children Nutritional Program (WIC)	The purpose of the program is to provide supplemental food and nutrition education to pregnant, breastfeeding, or postpartum women, and infants and children, up to age five. Included are individuals from low-income families who are determined to be at nutritional risk because of inadequate nutrition, health care or both. Average monthly caseload for FY 2008 was 67,464.			37,256,000	12,132,400	49,388,400

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Health	Immunizations for low income or uninsured	The Immunization Program provides state level immunization management for the following CDC state grant required components: basic immunization activities; vaccine preventable disease/adverse event surveillance; public information/education; professional information/education; immunization registry; WIC linkages/collaboration; outreach and partnerships; surveillance/outbreak control; Vaccines for Children (VFC)/vaccine management; assessment; Perinatal hepatitis B; and, adolescent and adult immunizations. The most recent National Immunization Survey (NIS) of 2006 shows that 78% of Utah's 2-year-olds have completed their basic vaccination series (4 DTP, 3 polio, 1 measles, 3 Hepatitis B, 3 Hib, 1 Varicella) which is slightly above the national average of 77%.	500,000		2,887,100	2,646,700	6,033,800
Health	Cancer Screenings	The Utah Cancer Control Program (UCCP) offers free breast and cervical cancer to qualifying Utah women with funding provided by the Centers for Disease Control and Prevention (CDC) and in partnership with Utah's local health departments, community health centers, and private healthcare providers. In FY08, 6,746 Utah women received breast and cervical cancer screening services through the UCCP.	25,000		2,504,700	1,274,700	3,804,400
Health	HIV Treatment and Care Program	HIV-positive low income who are uninsured or underinsured and do not qualify for Medicaid. AIDS support services clients 664, AIDS drug assistance clients 606.	93,400		4,227,500		4,320,900
Health	Refugee Health Program	Newly-arriving refugees, federal funds passed through from DWS. 889 refugees served in FY 08.				961,000	961,000

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			GEN FUND	ED FUNDS	FED FUNDS	OTHER FUNDS	
Health	Primary Care Grants Program *	Grants to 50 qualified non-profit and public health care provider organizations to provide primary health care services to 19,056 individuals without access to primary health care.	1,545,200				1,545,200
Health	Assistance to Persons with Bleeding Disorders *	Grant to Utah Hemophilia Foundation to assist persons with bleeding disorders with the cost of obtaining hemophilia services or the cost of insurance premiums for coverage of hemophilia services. 47 individuals received funding, 31 urban residents and 19 rural residents.	250,000				250,000
Health	Health Care Workforce Financial Assistance Program *	Grants to health care professionals to assist in repaying the health care profession educational loans in return for practicing in a medically underserved area of Utah. 32 health care professionals were awarded, each made a minimum two (2) year service obligation.	575,400				575,400
Health	Maliheh Free Clinic One time funding in FY08*	One-time Grant to Maliheh Free Clinic for funding assistance for health care professionals to provide primary care services to medically underserved populations. More than 3,000 medically underserved clients received primary care services.	250,000				250,000
DEPARTMENT OF HEALTH TOTALS			\$344,215,500	\$0	\$1,216,514,800	\$261,146,500	\$1,821,876,800
	* These programs do not collect income information and they are not specifically for low income individuals or families						
DHS Executive Director's Office:							

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	Office of Public Guardian	This program serves low income individuals to provide guardianship or conservatorship services to legally incapacitated adults who have no family support. Federal funds come from the Social Services Block Grant and Title XIX Medicaid Funds.	\$370,900		\$425,100		796,000
DHS Division of Substance Abuse and Mental Health:							
	Mental Health Services for Uninsured Clients	This program provides mental health services for indigent or uninsured mental health clients who would otherwise end up in jail or the emergency room.	\$2,660,000		\$0		2,660,000
	Utah State Hospital	Patients under the age of 21 or over the age of 65 may qualify for Medicaid funding.	\$4,425,300		\$10,472,200		14,897,500
DHS Division of Services for People with Disabilities:							
	Medicaid Home and Community Based Waiver Programs	Provides services to individuals with disabilities in the community rather than an institutional setting. These clients must meet the Medicaid eligibility requirements which are based on income and assets.	\$36,842,700		\$93,911,300		130,754,000
	Service Delivery	The service delivery staff evaluates and determines appropriate services for disabled clients. They coordinate the services through contracts including monitoring and evaluation of services delivered.	\$5,969,100		\$10,650,900		16,620,000
	Utah State Developmental Center	This program is an intermediate care facility for People with Mental Retardation which provides 24 hour residential treatment services. Most of the clients qualify for Medicaid.	\$10,231,300		\$24,861,700		35,093,000

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DHS Division of Child and Family Services:							
	Foster and Adoption Care Services	Many of the children in state custody qualify for TANF, Medicaid and Title IV (Foster Care and Adoption Services which are based on income levels).	\$16,262,900		\$42,409,100		58,672,000
DHS Division of Aging and Adult Services:							
	Aging Waiver	This program provides Medicaid Home and Community Based Waiver Services to the elderly in their community rather than in an institution. The services are provided at the local government level by the Area Agencies on Aging which draw down federal Medicaid funding directly from the Department of Health. The Medicaid funding shown here is for administration.	\$1,586,500		\$609,400		2,195,900
DEPARTMENT OF HUMAN SERVICES TOTALS			\$78,348,700		\$183,339,700		261,688,400
(Less the Division of Juvenile Justice Services)							
DWS	Family Employment Program	The Family Employment Program (FEP) helps families become self sufficient by helping clients find a job, and providing financial support to eligible parents.	17,966,900		68,799,300		86,766,200
DWS	Child Care	This program helps qualified working parents with child care subsidies. It helps parents find child care and works to improve child care quality.	7,674,900		58,831,400		66,506,300
DWS	General Assistance	This is a state funded program providing financial assistance to eligible single people and couples who do not qualify for the Family Employment Program (FEP).	10,605,500				10,605,500
DWS	Food Stamps	This federal program helps eligible individuals buy food.	20,938,900		155,094,000		176,032,900
DWS	Employment and Training Programs	Includes Wagner Peyser, Trade and Veterans Programs			16,670,500		16,670,500

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DWS	Workforce Service Investment Act	This federally funded program prepares low-income youth and adults, the long-term unemployed, dislocated workers, and persons with other barriers, for jobs in private industry.			13,235,000		13,235,000
DWS	Medical Programs	Funding for Medicaid programs such as SCHIP and others.	20,281,000		26,604,900		46,885,900
DWS	All Other Programs	Includes Refugee, Bureau of Labor Statistics Grants, Department of Labor Grants, Displaced Homemaker, and Various Contracted Services			5,525,900		5,525,900
USOR	Vocational Rehabilitation	Vocational Rehabilitation provides services directed towards the goal of employment.	265,100	15,621,600	24,272,500	784,000	40,943,200
USOR	Blind and Visually Impaired	Helps increase productivity and community integration of individuals who are deaf or hard-of-hearing.		3,625,900	1,896,000	63,900	5,585,800
DEPARTMENT OF WORKFORCE SERVICES AND USOR TOTALS			\$77,732,300	\$19,247,500	\$370,929,500	\$847,900	\$468,757,200
Public Ed	Highly Impacted Schools	Funding for approximately 54 schools with the highest rates of English language deficiency, student mobility, single parent families, free-lunch eligibility, and ethnic minority students. Funding represents the state appropriation only, school districts and charter schools may supplement these funds with other available revenues.	0	5,123,200			5,123,200
Public Ed	At-Risk Regular Program	Promotes raising achievement levels among demographic sub-groups. The number of students eligible for free or reduced-price lunch is used in the distribution formula to districts and charter schools. Funding represents the state appropriation only, school districts and charter schools may supplement these funds with other available revenues		6,838,300			6,838,300

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Public Ed	Homeless and Disadvantaged Minority	Additional services for homeless and economically disadvantaged students. Districts receive funding based on the number of homeless students residing in homeless shelters in the district and the number of students eligible for free or reduced-price lunch. Funding represents the state appropriation only, school districts may supplement these funds with other available revenues.		1,743,300			1,743,300
Public Ed	Child Nutrition Programs	Provides funding for school lunch and breakfast programs. Students from low-income families may qualify for free or reduced-price meals based on guidelines established by the federal government. The "Other Funds" reported for this program is reported as "Dedicated Credits", but is state revenue received from the liquor tax.		168,100	107,448,500	21,611,700	129,228,300
PUBLIC EDUCATION TOTALS			\$0	\$13,872,900	\$107,448,500	\$21,611,700	\$142,933,100
DCED	Weatherization Assistance	Reduce energy consumption by more energy efficient households; no estimate on number clients served	266,000		4,550,600	663,800	5,480,400
DCED	Homeless Committee	Guidance towards self-sufficiency through specialized programs, estimated clients 7,200	1,679,500		711,000	1,350,000	3,740,500
DCED	Special Housing	Utility, building renovations and leased space for the homeless; estimated clients 12,000			125,300		125,300
DCED	Emergency Food Network	Food pantries, estimated clients served 275,849	301,300		5,600	(9,700)	297,200
DCED	Housing Development	Olene Walker Housing Loan Fund; clients served estimated at 2,232 (3.13x713)	310,100		566,000		876,100
DCED	Community Services	Anti-poverty, housing counseling, and employment related services; number served 28,500	55,400		3,435,800		3,491,200
DCED	HEAT	Low-Income Home Energy Assistance Program/Home Energy Assistance Target, served 31,000			16,791,400	196,200	16,987,600
COMMUNITY AND ECONOMIC DEVELOPMENT TOTALS			\$2,612,300	\$0	\$26,185,700	\$2,200,300	\$30,998,300

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Higher Ed	University of Utah	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	719,600	43,900		34,500	798,000
Higher Ed	Utah State University	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	236,500	14,700			251,200
Higher Ed	Weber State University	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	340,300	33,000			373,300
Higher Ed	Southern Utah University	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	95,400	5,700			101,100
Higher Ed	Snow College	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	32,000				32,000
Higher Ed	Dixie State College	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	30,600				30,600
Higher Ed	College of Eastern Utah	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	117,800	1,400			119,200
Higher Ed	Utah Valley University	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	139,100	13,400			152,500
Higher Ed	Salt Lake Community College	Scholarship, tutoring, counseling, and related support services for economically disadvantaged students *	178,400				178,400
HIGHER EDUCATION TOTALS			\$1,889,700	\$112,100	\$0	\$34,500	\$2,036,300
		* Services vary among institutions					
Courts		Utah judges are allowed to waive court fees for individuals determined impecunious. The judge can waive all, part, or none of the fees. The following are the numbers of cases and estimated fee waivers listed by different types of civil cases filed in Utah courts in FY 2008. It is estimated that the average fee waiver was \$120.					

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			GEN FUND	ED FUNDS	FED FUNDS	OTHER FUNDS	
Courts	Administrative Agency	Number of Cases = 396 - Est. fee waived = 3	360				360
Courts	Adoption	Number of Cases = 1,770 - Est. fee waived = 11	1,320				1,320
Courts	Civil Stalking	Number of Cases = 821 - Est. fee waived = 0					0
Courts	Common Law Marriage	Number of Cases = 35 - Est. fee waived = 3	360				360
Courts	Condemnation	Number of Cases = 48 - Est. fee waived = 0					0
Courts	Conservatorship	Number of Cases = 360 - Est. fee waived = 360	36,720				36,720
Courts	Custody and Support	Number of Cases = 667 - Est. fee waived = 34	4,080				4,080
Courts	Divorce/Annulment	Number of Cases = 13,273 - Est. fee waived = 1,126	135,120				135,120
Courts	Estate Informal Personal Representative	Number of Cases = 1,703 - Est. fee waived = 1	120				120
Courts	Eviction	Number of Cases = 7,693 - Est. fee waived = 21	2,520				2,520
Courts	Grandparent Visitation	Number of Cases = 39 - Est. fee waived = 3	360				360
Courts	Guardianship	Number of Cases = 1,435 - Est. fee waived = 150	18,000				18,000
Courts	Malpractice	Number of Cases = 209 - Est. fee waived = 3	360				360
Courts	Miscellaneous	Number of Cases = 1,895 - Est. fee waived = 13	1,560				1,560
Courts	Name Change	Number of Cases = 871 - Est. fee waived = 84	10,080				10,080
Courts	Other Probate	Number of Cases = 404 - Est. fee waived = 2	240				240
Courts	Paternity	Number of Cases = 1,253 - Est. fee waived = 122	14,640				14,640
Courts	Post Conv Rel	Number of Cases = 84 - Est. fee waived = 36	4,320				4,320
Courts	SC denovo District	Number of Cases = 274 - Est. fee waived =					240

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			GEN FUND	ED FUNDS	FED FUNDS	OTHER FUNDS	
		2	240				
Courts	SC denovo Justice	Number of Cases = 147 - Est. fee waived = 2	240				240
Courts	Separate Maintenance	Number of Cases = 27 - Est. fee waived = 5	600				600
Courts	Small Claims	Number of Cases = 20,569 - Est. fee waived = 52	6,240				6,240
Courts	UIFSA Action	Number of Cases = 156 - Est. fee waived = 2	240				240
Courts	Unsolicited Communications	Number of Cases = 5 - Est. fee waived = 1	120				120
Courts	Wrongful Death	Number of Cases = 48 - Est. fee waived = 1	120				120
Courts	Wrongful Termination	Number of Cases = 19 - Est. fee waived = 1	120				120
COURTS TOTALS			\$238,080	\$0	\$0	\$0	\$238,080
STATEWIDE TOTALS			\$505,036,580	\$33,232,500	\$1,904,418,200	\$285,840,900	\$2,728,528,180

Appendix A

36-12-13. Office of Legislative Fiscal Analyst established -- Powers, functions, and duties -- Qualifications.

(1) There is established an Office of Legislative Fiscal Analyst as a permanent staff office for the Legislature.

(2) The powers, functions, and duties of the Office of Legislative Fiscal Analyst under the supervision of the fiscal analyst are:

(a) to analyze in detail the executive budget before the convening of each legislative session and make recommendations to the Legislature on each item or program appearing in the executive budget;

(b) to prepare cost estimates on all proposed bills that anticipate state government expenditures;

(c) to prepare cost estimates on all proposed bills that anticipate expenditures by county, municipal, local district, or special service district governments;

(d) to prepare cost estimates on all proposed bills that anticipate direct expenditures by any Utah resident, and the cost to the overall impacted Utah resident population;

(e) to prepare a review and analysis of revenue estimates for existing and proposed revenue acts;

(f) to report instances in which the administration may be failing to carry out the expressed intent of the Legislature;

(g) to direct attention to each new proposed service contained in the governor's budget;

(h) to direct attention to each budget item previously denied by the Legislature;

(i) to propose and analyze statutory changes for more effective operational economies or more effective administration;

(j) to prepare, after each session of the Legislature, a summary showing the effect of the final legislative program on the financial condition of the state;

(k) to conduct organizational and management improvement studies;

(l) to prepare and deliver upon request of any interim committee or the Legislative Management Committee, reports on the finances of the state and on anticipated or proposed requests for appropriations;

(m) to recommend areas for research studies by the executive department or the interim committees;

(n) to assist in prescribing the format for the presentation of the governor's budget to facilitate program and in-depth review of state expenditures in accordance with Sections **63J-1-501** and **63J-1-502**;

(o) to recommend to the appropriations subcommittees the agencies or programs for which an in-depth budget review should be requested, and to recommend to the Legislative Management Committee the priority in which the request should be made;

(p) to appoint and develop a professional staff within budget limitations; and

(q) to prepare and submit the annual budget request for the office.

(3) (a) In accordance with Subsection (3)(b) and subject to Subsection (3)(c), the Office of Legislative Fiscal Analyst shall submit an annual report to the Executive Appropriations Committee of the Legislature, at the committee's November meeting, on funds expended by the state during the preceding state fiscal year to provide financial assistance or services to low-income individuals and families.

(b) The report described in Subsection (3)(a) shall:

(i) separate the funds expended into categories by program, service, or population served;

(ii) indicate whether the expended funds described in Subsection (3)(a) are state or federal funds; and

(iii) include a total of all state funds and federal funds expended by the state in the preceding fiscal

year to provide financial assistance or services to low-income individuals and families.

(c) If the Executive Appropriations Committee of the Legislature does not meet in November, the Office of Legislative Fiscal Analyst shall submit the report described in Subsection (3)(a) at the committee's next meeting.

(4) The legislative fiscal analyst shall have a master's degree in public administration, political science, economics, accounting, or the equivalent in academic or practical experience.

(5) In carrying out the duties provided for in this section, the legislative fiscal analyst may obtain access to all records, documents, and reports necessary to the scope of the legislative fiscal analyst's duties according to the procedures contained in Title 36, Chapter 14, Legislative Subpoena Powers.