



CARRYFORWARD NONLAPSING BALANCES – DEPARTMENT OF HUMAN SERVICES

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: STEPHEN JARDINE

ISSUE BRIEF

SUMMARY

Authorized by either Legislative intent or statutory language, line items within the Department of Human Services (DHS) frequently have unspent General Fund they are able to carry forward from one year into the next. This brief includes tables showing the history of DHS line item carry forward amounts. The Fiscal Analyst recommends technical corrections to more accurately restate estimated carry forward funding balances for FY 2014 and FY 2015 based upon the history of these balances in the divisions of Child and Family Services (DCFS) and Services for People with Disabilities (DSPD).

LEGISLATIVE ACTION

1. The Fiscal Analyst recommends technical corrections to more accurately restate estimated carry forward funding balances for FY 2014 and FY 2015 based upon the history of these balances in DCFS (Table 4) and DSPD (Table 1).

HISTORY OF HUMAN SERVICES CARRY FORWARD NONLAPSING BALANCES

Authorized by either Legislative intent or statutory language, line items within the Department of Human Services frequently have unspent General Fund they are able to carry forward from one year into the next. Tables 1 through 6 show the history of DHS carry forward funds by line item. The Division of Child and Family Services and the Division of Services for People with Disabilities have histories of material carry forward nonlapsing balances. The Fiscal Analyst recommends technical corrections to more accurately restate estimated carry forward funding balances for FY 2014 and FY 2015 based upon the history of these balances in DCFS (Table 4) and DSPD (Table 1).

History of Services for People with Disabilities (DSPD) Nonlapsing Carry Forward Funds							
Fiscal Year	Unspent Balance	Nonlapsing Balance	Lapsing Balance	Lapse to Unrestricted	Lapse to Other Funds	Total Gen Fund	NL as % of Tot GF
FY 2007	1,949,900	1,849,800	100,100	100	100,000	52,147,600	3.5%
FY 2008	2,217,900	2,117,800	100,100	100	100,000	55,749,800	3.8%
FY 2009	3,888,800	2,449,400	1,439,400	1,339,400	100,000	47,338,200	5.2%
FY 2010	17,600	17,500	100	100	-	36,043,900	0.0%
FY 2011	73,700	73,600	100	100	-	44,835,600	0.2%
FY 2012	695,100	695,000	100	100	-	57,517,600	1.2%
FY 2013	2,917,500	2,717,400	200,100	100	200,000	65,863,900	4.1%
FY 2014 DSPD Est.	600,000	600,000	-	-	-	69,525,000	0.9%
FY 2015 DSPD Est.	-	-	-	-	-	69,525,000	0.0%
FY 2014 LFA Est. *	2,085,800	2,085,800	-	-	-	69,525,000	3.0%
FY 2015 LFA Est. *	2,085,800	2,085,800	-	-	-	69,525,000	3.0%

** Analyst recommends restating FY 2014 and FY 2015 budgets to more accurately reflect estimated nonlapsing carry forward funds*

Table 1

History of Substance Abuse and Mental Health (DSAMH) Nonlapsing Carry Forward Funds							
Fiscal Year	Unspent Balance	Nonlapsing Balance	Lapsing Balance	Lapse to Unrestricted	Lapse to Other Funds	Total Gen Fund	NL as % of Tot GF
FY 2007	418,500	127,000	291,500	266,300	25,200	78,606,900	0.2%
FY 2008	1,118,500	283,500	835,000	809,600	25,400	87,507,600	0.3%
FY 2009	332,800	89,100	243,700	223,400	20,300	91,398,800	0.1%
FY 2010	1,956,800	114,700	1,842,100	1,810,300	31,800	83,868,200	0.1%
FY 2011	398,700	249,500	149,200	103,700	45,500	80,865,400	0.3%
FY 2012	256,800	208,800	48,000	-	48,000	84,745,400	0.2%
FY 2013	621,400	254,800	366,600	236,800	129,800	90,236,000	0.3%
FY 2014 DSAMH Est.	-	-	-	-	-	95,231,300	0.0%
FY 2015 DSAMH Est.	-	-	-	-	-	91,361,300	0.0%

Analyst does not recommend restating budgets due to immaterial nonlapsing carry forward amounts

Table 2

History of Aging and Adult Services (DAAS) Nonlapsing Carry Forward Funds							
Fiscal Year	Unspent Balance	Nonlapsing Balance	Lapsing Balance	Lapse to Unrestricted	Lapse to Other Funds	Total Gen Fund	NL as % of Tot GF
FY 2007	98,800	98,800	-	-	-	13,234,100	0.7%
FY 2008	75,900	-	75,900	75,900	-	14,229,200	0.0%
FY 2009	7,400	-	7,400	7,400	-	12,850,400	0.0%
FY 2010	270,300	-	270,300	270,300	-	12,461,400	0.0%
FY 2011	297,100	8,900	288,200	288,200	-	12,342,700	0.1%
FY 2012	58,200	-	58,200	58,200	-	12,421,300	0.0%
FY 2013	63,200	-	63,200	63,200	-	12,767,500	0.0%
FY 2014 DAAS Est.	-	-	-	-	-	13,005,200	0.0%
FY 2015 DAAS Est.	-	-	-	-	-	12,905,200	0.0%

Analyst does not recommend restating budgets due to immaterial nonlapsing carry forward amounts

Table 3

History of Child and Family Services (DCFS) Nonlapsing Carry Forward Funds							
Fiscal Year	Unspent Balance	Nonlapsing Balance	Lapsing Balance	Lapse to Unrestricted	Lapse to Other Funds	Total Gen Fund	NL as % of Tot GF
FY 2007	6,675,807	6,610,900	64,900	37,400	27,500	92,747,200	7.1%
FY 2008	5,578,912	5,550,200	28,700	100	28,600	98,314,500	5.6%
FY 2009	6,330,112	5,271,000	1,059,100	978,700	80,400	95,067,500	5.5%
FY 2010	12,166,819	3,764,200	8,402,600	7,438,800	963,800	91,554,600	4.1%
FY 2011	7,828,706	7,809,300	19,400	-	19,400	112,714,700	6.9%
FY 2012	7,395,700	7,254,000	141,700	-	141,600	101,635,800	7.1%
FY 2013	5,819,600	5,690,500	129,100	-	129,100	104,199,800	5.5%
FY 2014 DCFS Est.	-	-	-	-	-	109,980,700	0.0%
FY 2015 DCFS Est.	-	-	-	-	-	109,585,500	0.0%
FY 2014 LFA Est. *	-	3,299,400	-	-	-	109,980,700	3.0%
FY 2015 LFA Est. *	-	3,287,600	-	-	-	109,585,500	3.0%

** Analyst recommends restating FY 2014 and FY 2015 budgets to more accurately reflect estimated nonlapsing carry forward funds*

Table 4

CARRY FORWARD NONLAPSING BALANCES – DEPARTMENT OF HUMAN SERVICES

History of Office of Recovery Services (ORS) Nonlapsing Carry Forward Funds							
Fiscal Year	Unspent Balance	Nonlapsing Balance	Lapsing Balance	Lapse to Unrestricted	Lapse to Other Funds	Total Gen Fund	NL as % of Tot GF
FY 2007	9,000	8,200	800	800	-	12,450,900	0.1%
FY 2008	1,700	-	1,700	1,700	-	15,005,200	0.0%
FY 2009	1,200	-	1,200	1,200	-	15,722,400	0.0%
FY 2010	1,700	-	1,700	1,700	-	13,201,400	0.0%
FY 2011	1,503,300	-	1,503,300	1,503,300	-	13,709,100	0.0%
FY 2012	1,900	-	1,900	1,900	-	12,692,400	0.0%
FY 2013	3,000	-	3,000	3,000	-	12,535,400	0.0%
FY 2014 Est.	-	-	-	-	-	12,700,100	0.0%
FY 2015 Est.	-	-	-	-	-	12,700,100	0.0%

Analyst does not recommend restating budgets due to immaterial nonlapsing carry forward amounts

Table 5

History of Executive Director Operations (EDO) Nonlapsing Carry Forward Funds							
Fiscal Year	Unspent Balance	Nonlapsing Balance	Lapsing Balance	Lapse to Unrestricted	Lapse to Other Funds	Total Gen Fund	NL as % of Tot GF
FY 2007	551,800	401,300	150,500	150,500	-	10,345,200	3.9%
FY 2008	2,457,500	2,339,300	118,200	118,200	-	15,017,100	15.6%
FY 2009	95,300	-	95,300	95,300	-	7,410,700	0.0%
FY 2010	606,000	-	606,000	606,000	-	7,966,600	0.0%
FY 2011	56,900	-	56,900	56,900	-	7,489,400	0.0%
FY 2012	149,800	-	149,800	149,800	-	7,107,400	0.0%
FY 2013	16,400	-	16,400	16,400	-	7,108,500	0.0%
FY 2014 Est.	-	-	-	-	-	7,646,300	0.0%
FY 2015 Est.	-	-	-	-	-	7,301,300	0.0%

Analyst does not recommend restating budgets due to immaterial nonlapsing carry forward amounts

Table 6