

## Memorandum

To: Utah Political Subdivisions Interim Committee

From: Brooke W. Harkness, Executive Director  
Utah Political Subdivisions Ethics Review Commission

Date: October 5, 2015

RE: Utah Political Ethics Review Commission Annual Summary Data Report

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Pursuant to Utah Code Ann. § 11-49-202(3)(a), the Utah Political Ethics Review Commission (the “Commission”) submits the following summary data report for 2014.

### **1. General Description of the Activities of the Commission During the Past Year:**

The Executive Director has fielded many phone calls and several emails from individuals seeking information about filing complaints. She has updated the Commission’s website (located at [www.ethics.utah.gov](http://www.ethics.utah.gov)). The website gives citizens the information they need to file complaints with the Commission.

One of our commissioners, Bette Arial, had to leave the commission because she became a city council member in St. George. Lori Nay was appointed to take her place. Another of our commissioners, Gene Moser, passed away this year. Greg Hudnall was appointed to take his place.

### **2. Number of Ethics Complaints Filed With the Commission:**

In 2015, two complaints were received by the Commission.

The first complaint was initially received March 19, 2015, but was incomplete. The Executive Director notified the parties filing the complaint that it was incomplete and needed additional information. The complaint was subsequently re-filed on April 14, 2015. The Commission met on June 11, 2015 and after

careful review, the Commission voted unanimously to not forward any of the allegations of the complaint for further review and the complaint was dismissed.

The second complaint was received August 1, 2015, but was incomplete. The Executive Director notified the party filing the complaint that it was incomplete and needed additional information. She also notified the applicable political subdivision governing body that a complaint was filed against an unidentified political subdivision officer or employee but was returned because it did not comply with Utah Code Ann. § 11-49-501.

3. **Number of Ethics Complaints Dismissed in Accordance with Section 11-49-602:**

The Commission dismissed one complaint in accordance with Section 11-49-602. As noted above, after conducting a preliminary review on June 11, 2015, the Commission voted unanimously to not forward any of the allegations of the complaint for further review.

4. **Number of Ethics Complaints Reviewed by the Commission in Accordance with Section 11-49-701:**

The Commission did not review any complaints pursuant to Section 11-49-701.

5. **Executive Summary of Each Complaint Reviewed by the Commission in Accordance with Section 11-49-701:**

There were no complaints reviewed by the Commission pursuant to Section 11-49-701.

6. **An Accounting of the Commission's Budget and Expenditures:**

To date this year, the Commission's total expenditures are approximately \$4000.00 for compensation for the Executive Director. Expenditures the Commission could incur in the future include the expenses in the following categories: mileage reimbursements; per diem expenditures for members of the Commission; supplies, postage, and copying costs.