

Office of the
Legislative Fiscal Analyst

FY 2002 Budget Recommendations

Joint Appropriations Subcommittee for
Health and Human Services

Utah Department of Human Services
Executive Director Operations

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1.0 Summary: Executive Director Operations

The Executive Director Operations (EDO) division includes the Department Director’s Office and various bureaus that serve other divisions in the department such as Fiscal Operations, Human Resource Management, and the Office of Technology. It also includes programs that are independent of the other department divisions such as the Governor’s Council for People with Disabilities, Foster Care Citizens’ Review Boards, and the Office of Children’s Ombudsman.

Financial Summary

The Fiscal Analyst recommends an FY 2002 budget for EDO totaling approximately \$19.2 million, including \$8.3 million from the General Fund. This recommendation includes a decrease in the retirement rate contribution of \$297,000 (\$158,700 General Fund), increase of \$62,500 for internal service fund rate adjustments (\$65,600 General Fund), and a net decrease of \$67,700 General Funds due to several recommended transfers.

	Analyst FY 2002 Base	Analyst FY 2002 Changes	Analyst FY 2002 Total
Financing			
General Fund	8,368,900	(67,700)	8,301,200
Federal Funds	8,266,300		8,266,300
Dedicated Credits Revenue	69,900		69,900
Transfers - H - Medical Assistance	531,400		531,400
Transfers - Other Agencies	2,076,200		2,076,200
Total	\$19,312,700	(\$67,700)	\$19,245,000
Programs			
Executive Director's Office	2,182,900	20,000	2,202,900
Legal Affairs	1,079,800	(56,000)	1,023,800
Information Technology	4,194,800		4,194,800
Administrative Support	3,147,900	68,300	3,216,200
Fiscal Operations	2,651,600		2,651,600
Human Resources	1,262,000		1,262,000
Local Discretionary	1,492,000		1,492,000
Special Projects	911,400	(100,000)	811,400
Children's Ombudsman	414,500		414,500
Developmental Disabilities Council	680,900		680,900
Foster Care Citizens Review Boards	1,294,900		1,294,900
Total	\$19,312,700	(\$67,700)	\$19,245,000
FTE/Other			
Total FTE	225	(1)	224
Vehicles	11		11

2.0 Issues: Executive Director Operations

2.1 Adjustments to Base Budgets

The Analyst recommends several transfers of General Funds across divisional line items of appropriation for FY 2002. The net effect on the EDO budget is to transfer out \$67,700. These adjustments include:

- ▶ Transfer \$56,000 from the EDO “Legal Affairs” budget to the Division of Substance Abuse for the movement of an employee who will now be devoting his time to substance abuse matters.
- ▶ Transfer of \$100,000 from the EDO “Special Projects” budget to the Division of Services for People with Disabilities (DSPD) for “dual diagnosis” clients. These funds were originally appropriated to EDO for the cost of court-ordered placements of individuals with diagnosis of both mental illness and mental retardation. The Department now believes the funding belongs with DSPD.
- ▶ Transfer \$75,000 to the EDO Office of Licensing in the “Administrative Support” budget. The funds come from the Division of Child and Family Services (\$50,000) and the Division of Youth Correction (\$25,000). They are to finance two additional licensing agents in the Office.
- ▶ Transfer \$20,000 from the Office of Recovery Services (ORS) Administration budget to the EDO Executive Director’s Office budget. These funds are associated with the federal “Child Access and Visitation Program” grant awarded the Department in 1997. This grant is awarded “to establish and administer programs to support and facilitate non-custodial parents’ access to and visitation of their children.” The General Funds were originally provided to ORS, but the program is operated out of the Executive Director’s Office.
- ▶ Transfer \$6,700 from the EDO “Administrative Support” budget to the Department of Health for “general services functions” now being performed in that department.

2.2 Legislative Intent Language:

The 2000 Legislature included the following intent language in the FY 2001 EDO appropriation for the Department of Human Services:

Outcome Measures

“It is the intent of the Legislature that the Department of Human Services present to the Legislative Fiscal Analyst’s Office detailed outcome measures for each budget area in each division within the Department. These outcome measures shall be, wherever possible, reported in terms of outcomes achieved with the population served in addition to the report of total numbers served. The report shall include those who are statistically eligible but did not need or accept state-funded services. The Legislative Fiscal Analyst Office shall include the department’s report including measurements within their budget presentation on an item by item basis.”

The Department has provided the Fiscal Analyst with a publication entitled “Utah Department of Human Services: Outcomes – Results Oriented Information.” Data from this publication and from other sources have been included in the Analyst’s reports on the division level. The Department will report in greater detail during subcommittee hearings.

Cross Agency Budgets for Services for Aging and People with Disabilities

“It is the intent of the Legislature that the Departments of Health and Human Services, the Division of Employment Development in the Department of Workforce Services, and the State Office of Education work jointly through the regular budget process to present program budget overviews for services to people with disabilities and for services to the aging to be presented to the 2001 Health and Human Services Appropriations Subcommittee. These program budget overviews will include a discussion of the most appropriate and least costly funding options.”

This information will be presented to the subcommittee during one of its early committee meetings during the 2001 session.

Administrative and Services Cost Report

“It is the intent of the Legislature that the budget analysis for the Department of Human Services be presented with a breakdown between costs of administration and services delivered.”

This information will be presented to the subcommittee during one of its early committee meetings during the 2001 session.

Replacement of One-time Transfers of TANF Funds

“It is the intent of the Legislature that any TANF (Temporary Assistance to Needy Families) grant transferred to the Department of Human Services in excess of \$2,937,000 (the amount transferred in the FY 2000 base budget) be considered one-time and that the Legislative Fiscal Analyst, in preparing the recommendations for the FY 2002 budget, consider replacing the excess TANF transfers with sufficient General Funds to provide the equivalent amount of service.”

The Fiscal Analyst has included \$1,500,000 from the General Fund in the FY 2002 budget recommendation for the Division of Services for People with Disabilities.

3.0 Programs – Executive Director Operations

Performance Measurers

Performance Measures: The Analyst is not including any performance measures directly related to functions in the Executive Director’s Office. All measures used in the divisions are an indirect reflection of the effectiveness of this office. The Executive Director will report in greater extent to the subcommittee on the department’s outcome and performance measures.

3.1 Executive Director’s Office

Recommendation

The Analyst recommends an FY 2002 budget of \$2.2 million, including \$1.2 million from the General Fund. The recommendation includes the transfer of \$20,000 General Fund from the Office of Recovery Services for the “Child Visitation” grant.

	2000 Actual	2001 Estimated	2002 Analyst	Est/Analyst Difference
Financing				
General Fund	1,159,173	1,221,900	1,200,400	(21,500)
Federal Funds	989,416	839,900	828,500	(11,400)
Dedicated Credits Revenue	80,450	82,300	69,900	(12,400)
Transfers - Other Agencies	62,572	20,000	19,600	(400)
Transfers - H - Medical Assistance	101,058	85,800	84,500	(1,300)
Total	<u>\$2,392,669</u>	<u>\$2,249,900</u>	<u>\$2,202,900</u>	<u>(\$47,000)</u>
Expenditures				
Personal Services	1,677,071	1,653,700	1,593,400	(60,300)
In-State Travel	18,265	29,100	28,300	(800)
Out of State Travel	14,423	14,400	14,300	(100)
Current Expense	430,108	465,400	479,600	14,200
DP Current Expense	77,802	87,300	87,300	
Other Charges/Pass Thru	175,000			
Total	<u>\$2,392,669</u>	<u>\$2,249,900</u>	<u>\$2,202,900</u>	<u>(\$47,000)</u>
FTE/Other				
Total FTE	26	26	25	(1)

Purpose

Programs funded in the Executive Director Operations’ budget:

The Executive Director’s Office is responsible for the overall direction of the Department. This includes administration and support, constituent services, public relations, legislative liaison, planning and policy development.

F.A.C.T. Administration: Families, Agencies, and Communities Together (FACT) is a program to provide flexible preventive services to families with children at-risk of failing in school, and to prevent abuse and neglect. One staff member in the DHS Executive Director’s Office administers this program. The program involves the Departments of Human Services, Health, Public Education, and the Juvenile Courts.

DCFS Compliance Unit coordinates and monitors the Department's efforts to comply with requirements of state and federal regulations, court rulings, and division policy. This includes performing quality control reviews of Child Protective Services and Foster Care cases in the Division of Child and Family Services. Cases are audited for accuracy and conformance to existing laws, policies and procedures.

3.2 Legal Affairs

Recommendation

The Analyst recommends an FY 2002 appropriation for the Office of Legal Affairs totaling just over \$1 million, with about half coming from the General Fund. This budget reflects the transfer of one FTE and \$56,000 (General Fund) to the Division of Substance Abuse.

	2000	2001	2002	Est/Analyst
	Actual	Estimated	Analyst	Difference
Financing				
General Fund	490,100	570,600	497,200	(73,400)
Federal Funds	353,241	450,700	446,400	(4,300)
Transfers - Other Agencies	85,179	40,200	40,200	
Transfers - H - Medical Assistance	41,426	40,000	40,000	
Total	<u>\$969,946</u>	<u>\$1,101,500</u>	<u>\$1,023,800</u>	<u>(\$77,700)</u>
Expenditures				
Personal Services	807,975	877,800	857,200	(20,600)
In-State Travel	9,017	10,700	10,700	
Out of State Travel	6,205	6,200	6,200	
Current Expense	108,146	172,000	114,900	(57,100)
DP Current Expense	38,603	34,800	34,800	
Total	<u>\$969,946</u>	<u>\$1,101,500</u>	<u>\$1,023,800</u>	<u>(\$77,700)</u>
FTE/Other				
Total FTE	14	16	15	(1)

Purpose

This office consists of the following units and offices:

Administrative Hearings conducts hearings required by state and federal law where persons may appeal decisions of administrative agencies in the Department. Among the programs served are child support enforcement, foster care due process, licensing, and disciplinary proceedings by Division of Youth Corrections. The Office also hears departmental employee grievances.

The Office of Legal Counsel provides services to the Executive Director and the divisions about legal issues and public policy matters.

The Consumer Hearing Panel was created to hear and resolve consumer complaints in the Division of Child and Family Services.

The Office of Public Guardian

functions include serving as a guardian or conservator for individuals found to educating the public about the role and function of guardians and

3.3 Information Technology

Recommendation

General Fund) be appropriated to the Office of Information Technology for

	2000 Actual	2001 Estimated	2002 Analyst	Est/Analyst Difference
Financing				
General Fund	1,244,362	1,088,100	1,055,100	(33,000)
Federal Funds	620,388	1,157,400	1,124,400	(33,000)
Transfers - Other Agencies	1,982,219	1,925,300	1,915,300	(10,000)
Transfers - H - Medical Assistance	106,748	100,000	100,000	
Beginning Nonlapsing	25,000			
Lapsing Balance	(103)			
Total	<u>\$3,978,614</u>	<u>\$4,270,800</u>	<u>\$4,194,800</u>	<u>(\$76,000)</u>
Expenditures				
Personal Services	2,487,332	2,602,900	2,541,900	(61,000)
In-State Travel	32,134	34,500	34,500	
Out of State Travel	9,984	14,000	14,000	
Current Expense	322,360	370,900	359,400	(11,500)
DP Current Expense	1,012,640	1,248,500	1,245,000	(3,500)
DP Capital Outlay	114,164			
Total	<u>\$3,978,614</u>	<u>\$4,270,800</u>	<u>\$4,194,800</u>	<u>(\$76,000)</u>
FTE/Other				
Total FTE	38	40	40	
Vehicles		4	4	

Purpose

This office is responsible for the support and maintenance of the department’s data processing network, including program development, system design, and data security. It also manages the Unified Social Services Delivery System (USSDS).

3.4 Administrative Support Services

Recommendation

The Analyst’s FY 2002 recommendation for Administrative Support Services totals \$3.2 million, with \$1.9 million from the General Fund. This recommendation includes the transfer of \$75,000 General Fund from the Division of Youth Corrections (\$25,000) and the Division of Child and Family Services (\$50,000) for two positions in the Office of Licensing. It also includes a transfer of \$6,700 General Fund to the Department of Health for the cost of “administrative support” functions now performed in that department.

	2000	2001	2002	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
General Fund	1,855,800	1,909,600	1,937,200	27,600
Federal Funds	1,187,035	1,280,800	1,279,000	(1,800)
Transfers - Other Agencies	471,531	100,000		(100,000)
Total	\$3,514,366	\$3,290,400	\$3,216,200	(\$74,200)
Expenditures				
Personal Services	2,618,767	2,681,500	2,621,600	(59,900)
In-State Travel	55,868	49,500	49,500	
Out of State Travel	1,981	1,900	1,900	
Current Expense	542,254	433,200	418,900	(14,300)
DP Current Expense	248,859	124,300	124,300	
Capital Outlay	46,637			
Total	\$3,514,366	\$3,290,400	\$3,216,200	(\$74,200)
FTE/Other				
Total FTE	55	54	54	(0)
Vehicles		5	5	

Purpose

This bureau is responsible for facilities management and planning, risk management, “Americans with Disabilities Act” coordination, and emergency management, and constituent services. It provides such general services as mailing, distribution and forms control. This office also manages the Office of Licensing and is responsible for conducting the random moment sample used to allocate workers time between federal programs.

3.5 Fiscal Operations

Recommendation

The Analyst’s FY 2002 recommended budget for the Office of Fiscal Operations totals \$2.65 million, including \$1.15 million from the General Fund.

	2000	2001	2002	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
General Fund	1,158,500	1,176,600	1,152,500	(24,100)
Federal Funds	1,479,101	1,530,400	1,499,100	(31,300)
Total	\$2,637,601	\$2,707,000	\$2,651,600	(\$55,400)
Expenditures				
Personal Services	2,252,065	2,287,600	2,235,400	(52,200)
In-State Travel	7,820	17,600	17,600	
Out of State Travel	9,464	10,800	10,800	
Current Expense	252,172	279,300	276,100	(3,200)
DP Current Expense	116,080	111,700	111,700	
Total	\$2,637,601	\$2,707,000	\$2,651,600	(\$55,400)
FTE/Other				
Total FTE	37	37	37	

Purpose

This office provides financial services and information to the department. The Bureau of Budget oversees the appropriation request and budgeting process. The Bureau of Finance is responsible for cost allocations for federal grants, purchasing, accounting, cash management, and payroll services. The Bureau of Internal Review and Audit reports to department management on fiscal accountability, efficiency, economy, and effectiveness of programs and services. Internal Review also functions as the liaison between the Department of Human Services and the Division of Health Care Financing and oversees federal fund utilization and managed care strategies. The Contract Monitoring Unit oversees, processes, and reviews all department contracts and procedures.

3.6 Human Resources

Recommendation

The Fiscal Analyst recommends the Legislature appropriate approximately \$1.3 million (\$550,800 General Fund) for this office for FY 2002.

	2000 Actual	2001 Estimated	2002 Analyst	Est/Analyst Difference
Financing				
General Fund	546,400	562,700	550,800	(11,900)
Federal Funds	645,274	726,700	711,200	(15,500)
Transfers - Other Agencies	35,925			
Total	<u>\$1,227,599</u>	<u>\$1,289,400</u>	<u>\$1,262,000</u>	<u>(\$27,400)</u>
Expenditures				
Personal Services	1,071,587	1,078,100	1,053,400	(24,700)
In-State Travel	4,959	5,000	5,000	
Current Expense	111,670	166,500	163,800	(2,700)
DP Current Expense	39,383	39,800	39,800	
Total	<u>\$1,227,599</u>	<u>\$1,289,400</u>	<u>\$1,262,000</u>	<u>(\$27,400)</u>
FTE/Other				
Total FTE	18	19	19	

Purpose

This bureau is responsible for classification, compensation, recruitment, employee assistance, corrective discipline, grievance resolution, training, volunteer coordination, ADA and sexual harassment resolution. During the 2000 calendar year, the Bureau was responsible for services to the approximate 5,265 employees in the Department. Its staff of 32 processed 1,536 new hires and 1,038 employees who left the Department. Thirteen of the staff members work at the Developmental Center and the State Hospital and are funded there.

3.7 Local Discretionary Pass-through

Recommendation

The Analyst recommends an FY 2002 appropriation of nearly \$1.5 million, all from federal funding sources.

	2000	2001	2002	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
Federal Funds	1,416,566	1,501,000	1,492,000	(9,000)
Total	\$1,416,566	\$1,501,000	\$1,492,000	(\$9,000)
Expenditures				
Other Charges/Pass Thru	1,416,566	1,501,000	1,492,000	(9,000)
Total	\$1,416,566	\$1,501,000	\$1,492,000	(\$9,000)

Purpose

This budget includes the Social Services Block Grant (SSBG) portion passed through to local governments. Since the early 1990’s, the division has passed through about nine percent of the grant. Since FFY 1997 (Federal Fiscal Year), this block grant has been reduced approximately 23%, from \$2.5 billion to \$1.9 billion in FFY 1999 and was cut again in FY 2001 and 2002. The portion passed on to local entities has likewise been reduced. For FY 2001 and FY 2002, the SSBG passed through is expected to be \$1,211,000 and \$1,202,000 respectively.

This program also includes an estimated \$290,000 expected from a federal urban enterprise grant. This is a ten-year grant that the Department passes through to Ogden City for a variety of urban issues such as efforts to reduce drug abuse, increase effectiveness of law enforcement, improved access, etc.

3.8 Special Projects

Recommendation

The Analyst recommends an appropriation of \$811,400, mostly General Funds, for this program for FY 2002. This includes an increase of \$69,700 General Fund for a portion of the State Risk Management Liability premium funneled for the Department through this program. The recommendation also reflects the transfer of \$100,000 General Fund to the Division of Services for People with Disabilities (DSPD) for “dual diagnosis” clients. The Division has also transferred in \$24,600 General Fund from other programs in the Division for FY 2002.

	2000	2001	2002	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
General Fund	877,219	815,600	809,900	(5,700)
Federal Funds	1,500	1,500	1,500	
Transfers - Other Agencies	17,502	15,400		(15,400)
Total	\$896,221	\$832,500	\$811,400	(\$21,100)
Expenditures				
Current Expense	896,221	887,100	796,300	(90,800)
DP Current Expense		(54,600)	15,100	69,700
Total	\$896,221	\$832,500	\$811,400	(\$21,100)

Purpose No staff is funded directly in this program. The appropriated funds are used for special projects such as:

Families, Agencies, Communities Together (FACT): Funding for various FACT related projects is budgeted under this program unit. Staff for the DHS FACT involvement is budgeted in the Executive Director’s Office.

Carousel Project: This is a flexible funding source for difficult placements of children who have been in programs in more than one area and have failed. These children have typically been in Family Services, Mental Health, and Youth Corrections programs.

Single Audit: This is a billing from the State Auditor for expenses incurred in the financial audit required by the Federal Government.

3.9 Office Children’s Ombudsman

Recommendation The Fiscal Analyst recommends \$414,500 (including \$299,400) be appropriated for this program in FY 2002.

	2000 Actual	2001 Estimated	2002 Analyst	Est/Analyst Difference
Financing				
General Fund	229,754	305,700	299,400	(6,300)
Federal Funds	65,779	67,500	66,100	(1,400)
Transfers - H - Medical Assistance	54,582	50,000	49,000	(1,000)
Total	<u>\$350,115</u>	<u>\$423,200</u>	<u>\$414,500</u>	<u>(\$8,700)</u>
Expenditures				
Personal Services	288,845	359,600	351,700	(7,900)
In-State Travel	576	1,000	1,000	
Out of State Travel	1,959	1,500	1,500	
Current Expense	36,923	37,800	37,700	(100)
DP Current Expense	21,812	23,300	22,600	(700)
Total	<u>\$350,115</u>	<u>\$423,200</u>	<u>\$414,500</u>	<u>(\$8,700)</u>
FTE/Other				
Total FTE	6	7	7	

Purpose In January 1996, the Department created an Ombudsman Office for children in the child welfare system. The purpose of the office is to investigate and resolve complaints and concerns from parents, relatives, and others interested in child welfare.

3.10 Governor’s Council for People with Disabilities

Recommendation The Fiscal Analyst recommends an FY 2002 appropriation of \$680,900, including \$77,600 from the General Fund. Federal funds make up most of the Council’s budget.

	2000	2001	2002	Est/Analyst
	Actual	Estimated	Analyst	Difference
Financing				
General Fund	75,601	79,400	77,600	(1,800)
Federal Funds	453,129	507,500	502,200	(5,300)
Transfers - Other Agencies	92,914	101,100	101,100	
Total	<u>\$621,644</u>	<u>\$688,000</u>	<u>\$680,900</u>	<u>(\$7,100)</u>
Expenditures				
Personal Services	239,858	298,600	291,800	(6,800)
In-State Travel	10,133	10,800	10,800	
Out of State Travel	9,314	9,800	9,800	
Current Expense	81,303	99,100	98,800	(300)
DP Current Expense	24,531	30,900	30,900	
Other Charges/Pass Thru	256,505	238,800	238,800	
Total	<u>\$621,644</u>	<u>\$688,000</u>	<u>\$680,900</u>	<u>(\$7,100)</u>
FTE/Other				
Total FTE	6	7	7	

Purpose

Federal law requires that states have councils to serve as coordinating, advocacy and long range planning bodies for people with disabilities. The Utah Council monitors the range, scope, and size of programs and evaluates their effectiveness. A major function of the Council is to make grants of federal funds for pilot service programs. The State must match 25 percent of the administrative cost.

The Council also administers the “Access Utah Network” program. This information delivery program is funded jointly by the State Offices of Education and Rehabilitation, and the Departments of Health and Human Services. Its purpose is to meet the information needs of persons with disabilities and their families. Areas of information maintained include, but are not limited to, services available for people with disabilities, working knowledge of the Americans with Disabilities Act (ADA), assistive technology and programs located throughout the state for individuals with disabilities. It operates a toll-free telephone service, maintains an Internet home page, a Cooperative Service Directory of program service providers, a used equipment data bank, an ADA resource library, and a listing of assistive technology equipment available nationwide.

3.11 Foster Care Citizen’s Review Board

Recommendation

The Analyst’s FY 2002 recommended budget for the FCCRB system totals approximately \$1.3 million, with \$721,100 from the General Fund.

	2000	2001	2002	Est/Analyst
	Actual	Estimated	Analyst	Difference
Financing				
General Fund	613,891	731,800	721,100	(10,700)
Federal Funds	293,270	320,500	315,900	(4,600)
Transfers - H - Medical Assistance	234,812	261,700	257,900	(3,800)
Total	<u>\$1,141,973</u>	<u>\$1,314,000</u>	<u>\$1,294,900</u>	<u>(\$19,100)</u>
Expenditures				
Personal Services	703,125	869,800	851,700	(18,100)
In-State Travel	20,361	25,700	25,700	
Out of State Travel	10,537	12,400	12,400	
Current Expense	277,371	309,600	308,600	(1,000)
DP Current Expense	115,984	96,500	96,500	
Capital Outlay	14,595			
Total	<u>\$1,141,973</u>	<u>\$1,314,000</u>	<u>\$1,294,900</u>	<u>(\$19,100)</u>
FTE/Other				
Total FTE	17	22	22	
Vehicles		2	2	

Purpose

The Foster Care Citizens’ Review Board (FCCRB) was started as a pilot project in 1993 to evaluate an alternative method of reviewing foster care cases. Federal statute requires that the status of all children in foster care be reviewed at least every six months. Prior to the establishment of the FCCRB system, an administrative review process in the Division performed these reviews. In FY 1999, the Legislature completed funding for the FCCRB program so it could serve the entire state. Currently, there are 42 volunteer boards functioning, staffed by 373 volunteer board members. The boards are located throughout the State and meet monthly to review foster care cases in their areas. There are currently about 2,500 children in foster care. The project is governed by a 16-member steering committee representing the Legislature, the Department of Human Services, the juvenile court, the guardian ad litem, the Foster Parent Association, independent child advocates, service providers, and FCCRB volunteers. A full-time director and 22 other full-time and part-time employees provide support to the Boards. The citizen boards are advisory and can only make recommendations to the Department or to the courts.

4.0 Additional Information: Executive Director Operations

4.1 Funding History

	1998	1999	2000	2001	2002
	Actual	Actual	Actual	Estimated	Analyst
Financing					
General Fund	8,034,999	8,106,398	8,250,800	8,462,000	8,301,200
Federal Funds	7,450,407	7,946,580	7,504,699	8,383,900	8,266,300
Dedicated Credits Revenue	25,885	29,050	80,450	82,300	69,900
Transfers - H - Medical Assistance	120,259	470,228	538,626	537,500	531,400
Transfers - Other Agencies	702,631	619,573	2,747,842	2,202,000	2,076,200
Transfers - Within Agency	1,666,136	1,574,150			
Beginning Nonlapsing	140,071	121,309	25,000		
Closing Nonlapsing	(121,308)	(25,000)			
Lapsing Balance	(41,178)	(112,666)	(103)		
Total	\$17,977,902	\$18,729,622	\$19,147,314	\$19,667,700	\$19,245,000
Programs					
Executive Director's Office	2,254,743	2,421,785	2,392,669	2,249,900	2,202,900
Legal Affairs	573,491	736,058	969,946	1,101,500	1,023,800
Information Technology	3,846,130	4,586,316	3,978,614	4,270,800	4,194,800
Administrative Support	2,846,525	3,049,517	3,514,366	3,290,400	3,216,200
Fiscal Operations	2,273,378	2,282,592	2,637,601	2,707,000	2,651,600
Human Resources	1,177,764	1,211,768	1,227,599	1,289,400	1,262,000
Local Discretionary	1,928,958	1,603,596	1,416,566	1,501,000	1,492,000
Special Projects	915,937	846,440	896,221	832,500	811,400
Children's Ombudsman	361,152	351,154	350,115	423,200	414,500
Developmental Disabilities Council	677,164	706,969	621,644	688,000	680,900
Foster Care Citizens Review Boards	698,360	910,180	1,141,973	1,314,000	1,294,900
Monitoring Panel	424,300	23,247			
Total	\$17,977,902	\$18,729,622	\$19,147,314	\$19,667,700	\$19,245,000
Expenditures					
Personal Services	10,653,037	11,080,950	12,146,625	12,709,600	12,398,100
In-State Travel	186,441	152,190	159,133	183,900	183,100
Out of State Travel	56,448	47,755	63,867	71,000	70,900
Current Expense	2,830,085	2,646,133	3,058,528	3,220,900	3,054,100
DP Current Expense	1,773,860	2,454,597	1,695,694	1,742,500	1,808,000
DP Capital Outlay	39,567	106,320	114,164		
Capital Outlay		58,351	61,232		
Other Charges/Pass Thru	2,438,464	2,183,326	1,848,071	1,739,800	1,730,800
Total	\$17,977,902	\$18,729,622	\$19,147,314	\$19,667,700	\$19,245,000
FTE/Other					
Total FTE	214	212	216	226	224
Vehicles				11	11

4.2 Federal Funds

Program	FY 2000 Actual	FY 2001 Authorized	FY 2002 Analyst
Title XX Soc Svc Block	\$1,679,606	\$1,579,600	\$1,570,700
Required State Match	<u>\$465,251</u>	<u>\$437,549</u>	<u>\$435,084</u>
Totals for this grant/contract	\$2,144,857	\$2,017,149	\$2,005,784
Title IVD Child Support	\$31,213	\$52,700	\$52,700
General Funds	<u>15,607</u>	<u>26,350</u>	<u>26,350</u>
Totals for this grant/contract	\$46,820	\$79,050	\$79,050
Title IVE AFDC FC	\$885,417	\$854,000	\$879,000
General Funds	<u>885,417</u>	<u>854,000</u>	<u>879,000</u>
Totals for this grant/contract	\$1,770,834	\$1,708,000	\$1,758,000
Dev Disability Grants *	\$453,129	\$507,500	\$507,500
General Funds	<u>149,533</u>	<u>167,475</u>	<u>167,475</u>
Totals for this grant/contract	\$602,662	\$674,975	\$674,975
<i>*For administration, state match is 35%. Grant recipients must provide their own match.</i>			
Title IVE Adoptions	\$30,403	\$35,000	\$35,000
General Funds	<u>30,403</u>	<u>35,000</u>	<u>35,000</u>
Totals for this grant/contract	\$60,806	\$70,000	\$70,000
DHS Other Grants	\$4,222,612	\$4,947,500	\$4,931,400
General Funds	<u>4,222,612</u>	<u>4,947,500</u>	<u>4,931,400</u>
Totals for this grant/contract	\$8,445,224	\$9,895,000	\$9,862,800
Urban Enterprise Grant	\$202,320	\$290,000	\$290,000
General Funds	<u>0</u>	<u>0</u>	<u>0</u>
Totals for this grant/contract	\$202,320	\$290,000	\$290,000
Total Federal Funds	\$7,504,700	\$8,266,300	\$8,266,300
Total State Matching Funds	<u>5,768,822</u>	<u>6,467,874</u>	<u>6,474,309</u>
Total Funds	<u>\$13,273,522</u>	<u>\$14,734,174</u>	<u>\$14,740,609</u>

Future Impact of Current Federal Fund Decisions

The future of the Social Services Block Grant is tenuous. It has been reduced significantly over the past few years and will possibly be reduced in the future. There was a move in the previous Congress to reduce the grant by 60 percent.

The State has been transferring TANF (Temporary Assistance for Needy Families) “surplus” funds for the past several years. There is currently budgeted \$30,000 of these transfers in the EDO operating budget. The Analyst has included the same amount in the FY 2002 recommended budget. However, these transfers are one-time in nature. By FY 2003, Congress will have reauthorized the TANF program and grant. It is unknown at this time whether there will be funds available for this transfer in FY 2003 or beyond.

4.3 Fee Schedule

The fee schedule for licensure must be approved by the Legislature and included in the Appropriations Act. Fees collected are deposited with the General Fund. Actual collections in FY 2000 amounted to \$48,903. Fees are deposited into the General Fund. The following fee schedule is proposed for FY 2001. No changes are proposed in the current fee schedule. It should be noted that current department policy is to charge only one-half of these fees for second and subsequent licenses issued to the same provider.

	Current Fee	Changes for FY 02	Estimated # Licenses (FY01)	Estimated Revenue
Department of Human Services				
Initial-new program	\$ 200.00	No change	70	\$ 14,000
Adult Day Care (0-50)	50.00	No change	12	600
Adult Day Care per cap	1.25	No change	630	788
Adult Day Care (50+)	100.00	No change	2	200
Child Placing	150.00	No change	83	12,450
Day Treatment	75.00	No change	170	12,750
Outpatient Treatment	50.00	No change	272	13,600
Residential Support	50.00	No change	78	3,900
Residential Treatment	100.00	No change	225	22,500
Residential Treatment per cap	1.50	No change	6,049	9,074
Social Detoxification	100.00	No change	14	1,400
Life Safety Pre-inspection	100.00	No change	65	6,500
Outdoor Youth Program	100.00	No change	9	900
Outdoor Youth Program per cap	5.00	No change	270	1,350
Intermediate Secure Treatment	150.00	No change	4	600
FBI Fingerprint Checks *	24.00	No change	250	6,000
Present policy allows for a 1/2 fee for each additional license issued to same provider.				
<i>*Note: The fees collected for the FBI fingerprint checks are passed through to the FBI.</i>				