



BUSINESS, ECONOMIC DEVELOPMENT AND LABOR: FEDERAL FUNDS

BUSINESS, ECONOMIC DEVELOPMENT AND LABOR APPROPRIATIONS SUBCOMMITTEE
 STAFF: ANDREA WILKO & ZACK KING

ISSUE BRIEF

SUMMARY

Utah Code Annotated, Section 63J-5-201, states: “The Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency’s budget for review during each annual general session. Each legislative appropriations subcommittee shall review the federal funds requests summary and may recommend the agency accept the federal funds or participate in the federal program for the fiscal year under consideration, or recommend that the agency not accept the federal fund, or not participate in the federal program for the fiscal year under consideration.”

The list that follows identifies the federal funds grants anticipated for the agencies in the Business, Economic Development and Labor Appropriations Subcommittee.

FY 2012 Overview

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	
				Matching State Funds							Local/Other			
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match				
COMMUNITY AND CULTURE														
Homelessness Prevention & Rapid Re-Housing Program - ARRA	14.257	X	\$1,000,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00
Weatherization Assistance Program - ARRA	81.042	X	\$2,911,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	95%	0.00
Division/Program Totals			\$3,911,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	96%	0.00

COMMUNITY AND CULTURE TOTALS														
State FY 2012				\$3,911,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	96%	0.00

GOED														
State Trade and Export Promotion Grant Program STEP Grant	59-061		\$585,000	\$94,700	\$0	\$0	\$0	\$101,600	\$0	\$196,300	\$24,100	0%	0.00	The STEP program grant is designed for states to increase exports by creating new outreach programs that target women and minority-owned businesses. It also provides support for increasing trade missions, as well as recruiting small and rural businesses.
Utah Health Exchange Planning Grant	93.525		\$730,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	The Health Exchange Planning Grant supports implementation of exchanges by states and is designed to support Utah in providing their own system. Funds support core functions of the Exchange, interfacing the Exchange with eligibility determinations, provid
Utah Health Exchange Level 1 Establishment Grant	93.525		\$500,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Funding will be used to continue to build the required functionality in the Health Exchange for small businesses and individuals. The funds will also be used for an interface for cost/quality data, revamping individual market solutions, and the consumer p
Division/Program Totals			\$1,815,000	\$94,700	\$0	\$0	\$0	\$101,600	\$0	\$196,300	\$24,100	0%	0.00	

BUSINESS, ECONOMIC DEVELOPMENT AND LABOR: FEDERAL FUNDS

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
				Matching State Funds										
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other			
INSURANCE														
2010 Grants to States for Health Insurance Premium Review - Cycle I Continuation	93.511		\$783,979	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Cycle I continuation authority granted to 9/30/2012
Department of Justice Equitable Sharing Agreement, Fraud Division	UT01801 5Y		\$227,817	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Equitable Sharing money restricted to use for law enforcement purposes: investigations, training, equipment, travel, supplies, etc. Not allowed to fund personnel costs.
Division/Program Totals			\$1,011,796	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

COMPREHENSIVE HEALTH INSURANCE POOL														
Federal - HIPUtah Temporary High Risk Insurance Pool Program (PCIP)	2010 UTHRPC 93.529		\$17,050,387	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	This is not a grant but a Federal contract with the State of Utah Insurance Department Section 1101 of PPAC provides Fed requirements No state funds are used - This supplement is additional funding that has been requested from CCIIO
Division/Program Totals			\$17,050,387	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

INSURANCE-COMPREHENSIVE HEALTH INSURANCE POOL TOTALS														
State FY 2012			\$18,062,183	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0	0.00	

PUBLIC SERVICE COMMISSION														
Regulatory Assistance for PSC	81.122	X	\$50,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	30%	0.00	Pass-through monies will go to consultants to provide training. Supplemental request above currently authorized \$264,427.
Division/Program Totals			\$50,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	30%	0.00	

PUBLIC SERVICE COMMISSION TOTALS														
State FY 2012			\$50,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	30%	0.00	

TAX COMMISSION														
Odometer Fraud Enforcement	20.614		\$20,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Odometer Fraud Grant- 2 year - supplemental amount
Division/Program Totals			\$20,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

TAX COMMISSION TOTALS														
State FY 2012			\$20,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

USTAR														
Federal and State Technology Partnership Program (FAST)	59.058		\$55,444	\$41,583	\$0	\$0	\$0	\$0	\$0	\$41,583	\$0	0%	0.00	Strengthen the technological competitiveness of the Federal SBIR-STTR grant program at the state level. This program intends to improve the participation of small technology firms in the innovation and commercialization of new technology. The FAST Progr
Division/Program Totals			\$55,444	\$41,583	\$0	\$0	\$0	\$0	\$0	\$41,583	\$0	0%	0.00	

USTAR														
State FY 2012			\$55,444	\$41,583	\$0	\$0	\$0	\$0	\$0	\$41,583	\$0	0%	0.00	

BUSINESS, ECONOMIC DEVELOPMENT AND LABOR FEDERAL FUNDS

FY 2013 Overview

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
COMMERCE															
Pipeline Safety Grant	20.700		\$246,600	\$0	\$0	\$246,600	\$0	\$0	\$0	\$0	\$246,600	\$0	0%	0.00	--
Harold Rogers Prescription Drug Monitoring Program	16.754		\$398,196	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	One Time funding through 2013
Division/Program Totals			\$644,796	\$0	\$0	\$246,600	\$0	\$0	\$0	\$0	\$246,600	\$0	0%	0.00	

COMMERCE TOTALS															
State FY 2013			\$644,796	\$0	\$0	\$246,600	\$0	\$0	\$0	\$0	\$246,600	\$0	0%	0.00	

GOED															
State Trade and Export Promotion (STEP) Program Grant	59-061		\$585,000	\$94,700	\$0	\$0	\$0	\$101,600	\$0	\$0	\$196,300	\$24,100	0%	0.00	The STEP program grant is designed for states to increase exports by creating new outreach programs that target women and minority-owned businesses. It also provides support for increasing trade missions, as well as recruiting small and rural businesses.
DoD Coop Agreement	12-002		\$300,000	\$430,000	\$0	\$0	\$0	\$0	\$0	\$0	\$430,000	\$0	0%	0.00	Supports mission of the Procurement Technical Assistance Centers (PTAC) to assist Utah's small businesses to find, bid for and obtain government contracts.
Utah Health Exchange Level 1 Establishment Grant	93.525		\$500,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Funding will be used to continue to build the required functionality in the Health Exchange for small businesses and individuals. The funds will also be used for an interface for cost/quality data, revamping individual market solutions, and the consumer p
Division/Program Totals			\$1,385,000	\$524,700	\$0	\$0	\$0	\$101,600	\$0	\$0	\$626,300	\$24,100	0%	0.00	

INSURANCE															
Grants to Support States in Health Insurance Rate Review Grant Cycle II	93.511		\$1,115,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Cycle II Grant 10/01/2011 - 09/30/2014 (total grant for period \$3,315,679)
Department of Justice Equitable Sharing Agreement, Fraud Division	UT018015Y		\$140,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Equitable Sharing money restricted to use for law enforcement purposes: investigations, training, equipment, travel, supplies, etc. Not allowed to fund personnel costs.
Division/Program Totals			\$1,255,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

COMPREHENSIVE HEALTH INSURANCE POOL															
Continuation Grant Awards 2008 - Grants to States for Operation of Qualified High Risk Pools for 2008 Operational Losses HHS-CMs	93-780		\$1,900,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Amount included in FY2013 Budget - "Not determined at this time if continued grant funds will be available.
Federal - HIPUtah Temporary High Risk Insurance Pool Program (PCIP)	2010 UTHRPC 93.529		\$62,591,100	\$0	\$0	\$0	\$0	\$0	\$0	**	\$0	\$0	0%	0.00	This is not a grant but a Federal contract with the State of Utah Insurance Department. No state funds are used - Additional funding that has been requested from CCIO
Division/Program Totals			\$64,491,100	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

** There is a Maintenance of Effort requirement set forth in section 1101(b)(3) of the ACA. This MOE protects and supports matching funding for HIPUtah, Utah's state high risk pool. Utah agreed to the MOE with the standard being the per member per month equivalent of funding appropriated in the 2010 General Session, which equals \$8.075 million.

INSURANCE-COMPREHENSIVE HEALTH INSURANCE POOL TOTALS															
State FY 2013			\$65,746,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

BUSINESS, ECONOMIC DEVELOPMENT AND LABOR: FEDERAL FUNDS

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
Housing and Community Development															
Low-Income Home Energy Assistance Program (LIHEAP)	93.568		\$28,250,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	97%	0.00	--
Community Development Block Grant (CDBG)	14.228		\$6,700,000	\$126,700	\$0	\$0	\$0	\$7,300	\$0	\$134,000	\$0	\$0	97%	0.00	In-Kind Source is state GF paid for administrative staff.
HOME	14.239		\$782,500	\$100,000	\$0	\$0	\$0	\$0	\$0	\$100,000	\$0	\$0	95%	0.00	Match met with state appropriation to OWHLF.
Weatherization Assistance Program (transfer from LIHEAP)	93.568		\$3,500,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	97%	0.00	--
Community Services Block Grant (CSBG)	93.569		\$3,688,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	95%	0.00	--
Weatherization Assistance Program (WAP)	81.042		\$3,719,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	95%	0.00	--
Emergency Shelter Grant (ESG)	14.231		\$867,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	97%	0.00	--
Faith & Community Based Initiatives	n/a		\$100,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	--
Continuum of Care (HMIS)	14.235		\$375,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	--
Housing Opportunities for Persons with AIDS (HOPWA)	14.241		\$120,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	97%	0.00	--
Americorp Professional Development & Training Grant (PDAT)	94.009		\$100,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	40%	0.00	--
Americorp Disability Grant	94.007		\$60,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	40%	0.00	--
FEMA Emergency Food Program Administration	97.024		\$6,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	--
CDBG Neighborhood Stabilization Program (NSP)	14.256		\$85,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	95%	0.00	--
Volunteer Generation Grant	94.021		\$100,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	--
Homelessness Prevention & Rapid Re-Housing Program - ARRA	14.257	X	\$100,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)(f)
Americorp	94.006		\$3,150,000	\$153,700	\$0	\$0	\$0	\$96,300	\$0	\$250,000	\$0	\$0	97%	0.00	In-Kind Source is contributed goods and services.
Weatherization Training Center Grant	81.042	X	\$80,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	--
Shelter + Care	14.238		\$25,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)(f)
Division/Program Totals			\$51,809,200	\$380,400	\$0	\$0	\$0	\$103,600	\$0	\$484,000	\$0	\$0	95%	0.00	

State Library															
Institute of Museum and Library Services LSTA Annual Grant 2011	45.310		\$796,273	MOE IS GF	\$0	\$0	\$0	\$0	\$904,566	\$904,566	\$0	\$0	22%	0.00	This grant does not require an application. Funds are awarded automatically to all State Libraries who have submitted certified 5 year plans to the IMLS
Institute of Museum and Library Services LSTA Annual Grant 2012	45.310		\$1,015,227	MOE IS GF	\$0	\$0	\$0	\$0	\$1,153,298	\$1,153,298	\$0	\$0	28%	0.00	This grant does not require an application. Funds are awarded automatically to all State Libraries who have submitted certified 5 year plans to the IMLS Grant not yet approved. Amount estimated
Institute of Museum and Library Services LSTA Annual Grant 2013	45.310		\$13,000	MOE IS GF	\$0	\$0	\$0	\$0	\$14,768	\$14,768	\$0	\$0	28%	0.00	This grant does not require an application. Funds are awarded automatically to all State Libraries who have submitted certified 5 year plans to the IMLS Grant not yet approved. Amount estimated
Division/Program Totals			\$1,824,500		\$0	\$0	\$0	\$0	\$2,072,632	\$2,072,632	\$0	\$0	25%	0.00	

State History															
Department of the Interior-National Park Service-Historic Preservation Fund GRANT	15.904		\$710,000		\$84,000	\$0	\$0	\$0	\$0	\$84,000	\$200,000	\$0	10%	0.00	State Historical Preservation Grant
Department of the Interior-Bureau of Land Management-Cooperative Agreements	15.224		\$120,000		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Cooperative Agreement
United States Department of Agriculture Cooperative Agreements	10.069		\$10,000		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Cooperative Agreement
Division/Program Totals			\$840,000		\$84,000	\$0	\$0	\$0	\$0	\$84,000	\$200,000	\$0	8%	0.00	

Arts and Museums															
National Endowment for the Arts (NEA)	45.025		\$775,800		\$775,800	\$0	\$0	\$0	\$0	\$775,800	\$0	\$0	0%	0.00	--
Division/Program Totals			\$775,800		\$775,800	\$0	\$0	\$0	\$0	\$775,800	\$0	\$0	0%	0.00	

COMMUNITY AND CULTURE TOTALS															
State FY 2013			\$55,249,500	\$1,240,200	\$0	\$0	\$0	\$103,600	\$2,072,632	\$3,416,432	\$200,000	\$0	90%	0.00	

BUSINESS, ECONOMIC DEVELOPMENT AND LABOR FEDERAL FUNDS

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
LABOR															
Equal Employment Opportunity Commission Contract	30.02		\$257,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	No match required.
US Housing and Urban Development Contract	14.01		\$270,500	\$120,260	\$0	\$0	\$0	\$0	\$0	\$0	\$120,260	\$0	0%	0.00	Requires that 20% of State Funds for Antidiscrimination Division are used for HUD activities
Occupational Safety and Health 23G Compliance Grant	17.5032		\$1,579,200	\$929,500	\$0	\$127,300	\$0	\$522,400	\$0	\$0	\$1,579,200	\$0	0%	0.00	In-kind from existing Labor Commission expenses; 50% match required
ODI Occupational Disease survey grant	17.504		\$10,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	No match required.
Occupational Safety and Health 21D Consultation Grant	17.504		\$489,000	\$160,000	\$0	\$308,000	\$0	\$21,000	\$0	\$0	\$489,000	\$0	0%	0.00	In-kind from existing Labor Commission expenses; 10% match required (we provide a 50% match)
Bureau of Labor Statistics Survey and Fatalities Grant	17.504		\$76,000	\$63,000	\$0	\$0	\$0	\$13,000	\$0	\$0	\$76,000	\$0	0%	0.00	In-kind from existing Labor Commission expenses; 50% match required
			\$2,682,300	\$1,272,760	\$0	\$435,300	\$0	\$556,400	\$0	\$0	\$2,264,460	\$0	0%	0.00	

LABOR TOTALS

State FY 2013	\$2,682,300	\$1,272,760	\$0	\$435,300	\$0	\$556,400	\$0	\$0	\$2,264,460	\$0	0%	0.00
---------------	-------------	-------------	-----	-----------	-----	-----------	-----	-----	-------------	-----	----	------

PUBLIC SERVICE COMMISSION

State Broadband Data and Development Program	11.558	X	\$2,000,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$500,000	\$0	50%	0.00	In kind match consists of matching labor, data layers, geospatial infrastructure, and E-911 funds allocated to AGRC. Pass-through monies will go to local governments, consultants and vendors in support of project activities.
Regulatory Assistance for PSC	81.122	X	\$330,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	10%	0.00	Pass-through monies will go to consultants to provide training.
Division/Program Totals			\$2,330,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$500,000	\$0	44%	0.00	

PUBLIC SERVICE COMMISSION TOTALS

State FY 2013	\$2,330,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$500,000	\$0	44%	0.00
---------------	-------------	-----	-----	-----	-----	-----	-----	-----	-----	-----------	-----	-----	------

TAX COMMISSION

Cooperative Agreement	15.427		\$477,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Ongoing Audit Service Contract.
Motor Fuel Compliance Project	20.240		\$5,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Fuel Compliance Grant.
Odometer Fraud Enforcement	20.614		\$39,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Odometer Fraud Grant - 2 year - expires 9/30/2012
Division/Program Totals			\$521,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

TAX COMMISSION TOTALS

State FY 2013	\$521,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00
---------------	-----------	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	----	------

Subcommittee Options for Federal Grants

As per UCA 63J-5-201 the subcommittee has the following three options:

1. Recommend that the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.
2. Recommend that the agency not accept the federal funds or participate in the federal program for the fiscal year under consideration.
3. Direct the agency to accept some, but not all of the federal funds listed.

Grants Subject to Approval by the Executive Appropriations Committee

As per UCA 63J-5-204 grants received after this approval process by the subcommittee that meet any of the following 3 criteria must be approved by the Executive Appropriations Committee:

1. More than \$50,000 up to \$1,000,000
2. Add 1 to 10 permanent or part-time employees
3. Require any State match up to \$1,000,000

Grants Subject to Approval by the Full Legislature

As per UCA 63J-5-204 grants received after this approval process by the subcommittee that meet any of the following 3 criteria must be approved by the full Legislature.

1. Above \$1,000,000
2. Add 11 or more permanent or part-time employees
3. Require any State match above \$1,000,000

RECOMMENDATION

The Analyst recommends that the Subcommittee authorize the federal funds for the respective years shown above and direct the Fiscal Analyst to include those federal funds and associated federal programs in the annual appropriations act.