

ADOPTION AMENDMENTS

2006 GENERAL SESSION

STATE OF UTAH

Chief Sponsor: Ann W. Hardy

Senate Sponsor: Carlene M. Walker

---

---

LONG TITLE

General Description:

This bill amends provisions of the Judicial Code relating to adoption.

Highlighted Provisions:

This bill:

- ▶ defines terms;
- ▶ describes the persons from whom consent for adoption of a child, or consent to relinquish a child for adoption, must be obtained;
- ▶ describes when an unmarried biological father is entitled to consent, or withhold consent, to the adoption of a child, or relinquishment of a child for adoption;
- ▶ establishes a procedure for an unmarried biological father to preserve his right to consent to adoption of a child, or relinquishment of a child for adoption, when a declaration of paternity of the unmarried biological father is rescinded;
- ▶ describes the requirements necessary for an unmarried biological father to preserve his right to consent to adoption of a child, or relinquishment of a child for adoption:
  - when the unmarried biological father did not know, and through the exercise of reasonable diligence would not be expected to know, that the state of Utah may have obtained jurisdiction over the child or the child's mother; or
  - when the unmarried biological father did not have time to take action to preserve his rights within the state of Utah after he became aware, or should have become aware, that the state of Utah may have obtained jurisdiction over the child or the child's mother;
- ▶ describes the circumstances under which an adoption may be finalized after the

30 death of an adoptive parent or an adoptee;

31       ▶ requires the Office of Vital Records within the Department of Health to mail notice

32 of the rescission of a declaration of paternity to the nonrescinding signatory; and

33       ▶ makes technical changes.

**34 Monies Appropriated in this Bill:**

35       None

**36 Other Special Clauses:**

37       None

**38 Utah Code Sections Affected:**

39 AMENDS:

- 40       **78-30-1.1**, as last amended by Chapter 137, Laws of Utah 2005
- 41       **78-30-4.12**, as last amended by Chapter 137, Laws of Utah 2005
- 42       **78-30-4.15**, as last amended by Chapter 129, Laws of Utah 1998
- 43       **78-30-14**, as last amended by Chapter 318, Laws of Utah 1996
- 44       **78-45g-306**, as enacted by Chapter 150, Laws of Utah 2005

45 REPEALS AND REENACTS:

- 46       **78-30-4.14**, as last amended by Chapter 137, Laws of Utah 2005



48 *Be it enacted by the Legislature of the state of Utah:*

49       Section 11. Section **78-30-1.1** is amended to read:

50       **78-30-1.1. Definitions.**

51       As used in this chapter:

- 52       (1) "Adoption service provider" means a:
  - 53       (a) child-placing agency; or
  - 54       (b) licensed counselor who has at least one year of experience providing professional
- 55       social work services to:
  - 56       (i) adoptive parents; or
  - 57       (ii) birth parents.

58 (2) "Child-placing agency" means an agency licensed to place children for adoption  
59 under Title 62A, Chapter 4a, Part 6, Child ~~[and Family Services]~~ Placing.

60 (3) "Division" means the Division of Child and Family Services, within the  
61 Department of Human Services, created in Section 62A-4a-103.

62 [~~(3)~~] (4) "Licensed counselor" means a person who is licensed by the state, or another  
63 state, district, or territory of the United States as a:

64 (a) certified social worker;

65 (b) clinical social worker;

66 (c) psychologist;

67 (d) marriage and family therapist;

68 (e) professional counselor; or

69 (f) an equivalent licensed professional of another state, district, or territory of the  
70 United States.

71 [~~(4)~~] (5) "Parent," for purposes of Section 78-30-3.3, means any person described in  
72 Subsections 78-30-4.14(1)(b) through (f) from whom consent for adoption or relinquishment  
73 for adoption is required under Section 78-30-4.14.

74 [~~(5)~~] (6) "Unmarried biological father" means a person who:

75 (a) is the biological father of a child; and

76 (b) was not married to the biological mother of the child described in Subsection [~~(5)~~]  
77 (6)(a) at the time of the child's:

78 (i) conception; or

79 (ii) birth.

80 Section 22. Section **78-30-4.12** is amended to read:

81 **78-30-4.12. Rights and responsibilities of parties in adoption proceedings.**

82 (1) The Legislature finds that the rights and interests of all parties affected by an  
83 adoption proceeding must be considered and balanced in determining what constitutional  
84 protections and processes are necessary and appropriate.

85 (2) The Legislature finds that:

86 (a) the state has a compelling interest in providing stable and permanent homes for  
87 adoptive children in a prompt manner, in preventing the disruption of adoptive placements, and  
88 in holding parents accountable for meeting the needs of children;

89 (b) an unmarried mother, faced with the responsibility of making crucial decisions  
90 about the future of a newborn child, is entitled to privacy, and has the right to make timely and  
91 appropriate decisions regarding her future and the future of the child, and is entitled to  
92 assurance regarding the permanence of an adoptive placement;

93 (c) adoptive children have a right to permanence and stability in adoptive placements;

94 (d) adoptive parents have a constitutionally protected liberty and privacy interest in  
95 retaining custody of an adopted child; and

96 (e) an unmarried biological father has an inchoate interest that acquires constitutional  
97 protection only when he demonstrates a timely and full commitment to the responsibilities of  
98 parenthood, both during pregnancy and upon the child's birth. The state has a compelling  
99 interest in requiring unmarried biological fathers to demonstrate that commitment by providing  
100 appropriate medical care and financial support and by establishing legal paternity, in  
101 accordance with the requirements of this chapter.

102 (3) (a) In enacting [~~Subsection 78-30-1.1(5) and~~] Sections 78-30-4.12 through  
103 78-30-4.21, the Legislature prescribes the conditions for determining whether an unmarried  
104 biological father's action is sufficiently prompt and substantial to require constitutional  
105 protection.

106 (b) If an unmarried biological father fails to grasp the opportunities to establish a  
107 relationship with his child that are available to him, his biological parental interest may be lost  
108 entirely, or greatly diminished in constitutional significance by his failure to timely exercise it,  
109 or by his failure to strictly comply with the available legal steps to substantiate it.

110 (c) A certain degree of finality is necessary in order to facilitate the state's compelling  
111 interest. The Legislature finds that the interests of the state, the mother, the child, and the  
112 adoptive parents described in this section outweigh the interest of an unmarried biological  
113 father who does not timely grasp the opportunity to establish and demonstrate a relationship

114 with his child in accordance with the requirements of this chapter.

115 (d) An unmarried biological father has the primary responsibility to protect his rights.

116 (e) An unmarried biological father is presumed to know that the child may be adopted  
117 without his consent unless he strictly complies with the provisions of this chapter, manifests a  
118 prompt and full commitment to his parental responsibilities, and establishes paternity.

119 (4) The Legislature finds that an unmarried mother has a right of privacy with regard to  
120 her pregnancy and adoption plan, and therefore has no legal obligation to disclose the identity  
121 of an unmarried biological father prior to or during an adoption proceeding, and has no  
122 obligation to volunteer information to the court with respect to the father.

123 Section 33. Section ~~78-30-4.14~~ is repealed and reenacted to read:

124 **78-30-4.14. Necessary consent to adoption or relinquishment for adoption.**

125 (1) Except as provided in Subsection (2), consent to adoption of a child, or  
126 relinquishment of a child for adoption, is required from:

127 (a) the adoptee, if the adoptee is more than 12 years of age, unless the adoptee does not  
128 have the mental capacity to consent;

129 (b) both parents or the surviving parent of an adoptee who was conceived or born  
130 within a marriage;

131 (c) the mother of an adoptee born outside of marriage;

132 (d) any biological parent who has been adjudicated to be the child's biological father by  
133 a court of competent jurisdiction prior to the mother's execution of consent to adoption or her  
134 relinquishment of the child for adoption;

135 (e) consistent with Subsection (3), any biological parent who has executed and filed a  
136 voluntary declaration of paternity with the state registrar of vital statistics within the  
137 Department of Health in accordance with Title 78, Chapter 45e, Voluntary Declaration of  
138 Paternity Act, prior to the mother's execution of consent to adoption or her relinquishment of  
139 the child for adoption;

140 (f) an unmarried biological father of an adoptee, only if he strictly complies with the  
141 requirements of Subsections (4) through (8) and (10); and

142 (g) the person or agency to whom an adoptee has been relinquished and that is placing  
143 the child for adoption.

144 (2) (a) The consent of a person described in Subsections (1)(b) through (g) is not  
145 required if the adoptee is 18 years of age or older.

146 (b) The consent of a person described in Subsections (1)(b) through (f) is not required  
147 if the person's parental rights relating to the adoptee have been terminated.

148 (3) For purposes of Subsection (1)(e), a voluntary declaration of paternity is considered  
149 filed when it is entered into a database that:

150 (a) can be accessed by the Department of Health; and

151 (b) is designated by the state registrar of vital statistics as the official database for  
152 voluntary declarations of paternity.

153 (4) Except as provided in Subsections (5)(a) and (10), and subject to Subsection (8),  
154 with regard to a child who is placed with adoptive parents more than six months after birth,  
155 consent of an unmarried biological father is not required unless the unmarried biological father:

156 (a) (i) developed a substantial relationship with the child by:

157 (A) visiting the child monthly, unless the unmarried biological father was physically or  
158 financially unable to visit the child on a monthly basis; or

159 (B) engaging in regular communication with the child or with the person or authorized  
160 agency that has lawful custody of the child;

161 (ii) took some measure of responsibility for the child and the child's future; and

162 (iii) demonstrated a full commitment to the responsibilities of parenthood by financial  
163 support of the child of a fair and reasonable sum in accordance with the father's ability; or

164 (b) (i) openly lived with the child:

165 (A) (I) for a period of at least six months during the one-year period immediately  
166 preceding the day on which the child is placed with adoptive parents; or

167 (II) if the child is less than one year old, for a period of at least six months during the  
168 period of time beginning on the day on which the child is born and ending on the day on which  
169 the child is placed with adoptive parents; and

170 (B) immediately preceding placement of the child with adoptive parents; and  
171 (ii) openly held himself out to be the father of the child during the six-month period  
172 described in Subsection (4)(b)(i)(A).

173 (5) (a) If an unmarried biological father was prevented from complying with a  
174 requirement of Subsection (4) by the person or authorized agency having lawful custody of the  
175 child, the unmarried biological father is not required to comply with that requirement.

176 (b) The subjective intent of an unmarried biological father, whether expressed or  
177 otherwise, that is unsupported by evidence that the requirements in Subsection (4) have been  
178 met, shall not preclude a determination that the father failed to meet the requirements of  
179 Subsection (4).

180 (6) Except as provided in Subsection (10), and subject to Subsection (8), with regard to  
181 a child who is six months of age or less at the time the child is placed with adoptive parents,  
182 consent of an unmarried biological father is not required unless, prior to the time the mother  
183 executes her consent for adoption or relinquishes the child for adoption, the unmarried  
184 biological father:

185 (a) initiates proceedings to establish paternity under Title 78, Chapter 45g, Utah  
186 Uniform Parentage Act;

187 (b) files with the court that is presiding over the paternity proceeding a sworn affidavit:

188 (i) stating that he is fully able and willing to have full custody of the child;

189 (ii) setting forth his plans for care of the child; and

190 (iii) agreeing to a court order of child support and the payment of expenses incurred in  
191 connection with the mother's pregnancy and the child's birth;

192 (c) consistent with Subsection (7), files notice of the commencement of paternity  
193 proceedings with the state registrar of vital statistics within the Department of Health, in a  
194 confidential registry established by the department for that purpose; and

195 (d) offered to pay and paid a fair and reasonable amount of the expenses incurred in  
196 connection with the mother's pregnancy and the child's birth, in accordance with his financial  
197 ability, unless:

- 198 (i) he did not have actual knowledge of the pregnancy;
- 199 (ii) he was prevented from paying the expenses by the person or authorized agency
- 200 having lawful custody of the child; or
- 201 (iii) the mother refuses to accept the unmarried biological father's offer to pay the
- 202 expenses described in this Subsection (6)(d).

203 (7) The notice described in Subsection (6)(c) is considered filed when it is entered into

204 the registry described in Subsection (6)(c).

205 (8) Consent of an unmarried biological father is not required under this section if:

206 (a) the court determines, in accordance with the requirements and procedures of Title

207 78, Chapter 3a, Part 4, Termination of Parental Rights Act, that the unmarried biological

208 father's rights should be terminated, based on the petition of any interested party; or

209 (b) (i) a declaration of paternity declaring the unmarried biological father to be the

210 father of the child is rescinded under Section 78-45g-306; and

211 (ii) the unmarried biological father fails to comply with Subsection (6) within ten

212 business days after the day that notice of the rescission described in Subsection (8)(b)(i) is

213 mailed by the Office of Vital Records within the Department of Health as provided in Section

214 78-45g-306.

215 (9) Unless the adoptee is conceived or born within a marriage, the petitioner in an

216 adoption proceeding shall, prior to entrance of a final decree of adoption, file with the court a

217 certificate from the state registrar of vital statistics within the Department of Health, stating:

218 (a) that a diligent search has been made of the registry of notices from unmarried

219 biological fathers described in Subsection (6)(c); and

220 (b) (i) that no filing has been found pertaining to the father of the child in question; or

221 (ii) if a filing is found, the name of the putative father and the time and date of filing.

222 (10) (a) For purposes of this Subsection (10), "qualifying circumstance" means that, at

223 any point during the time period beginning at the conception of the child and ending at the time

224 the mother executed a consent to adoption or relinquishment of the child for adoption:

225 (i) the child or the child's mother resided, on a permanent or temporary basis, in the

226 state of Utah;  
227 (ii) the mother intended to give birth to the child in the state of Utah;  
228 (iii) the child was born in the state of Utah; or  
229 (iv) the mother intended to execute a consent to adoption or relinquishment of the child  
230 for adoption:  
231 (A) in the state of Utah; or  
232 (B) under the laws of the state of Utah.  
233 (b) Notwithstanding the provisions of Subsections (4) and (6), the consent of an  
234 unmarried biological father is required with respect to an adoptee who is under the age of 18 if:  
235 (i) (A) the unmarried biological father did not know, and through the exercise of  
236 reasonable diligence could not have known, before the time the mother executed a consent to  
237 adoption or relinquishment of the child for adoption, that a qualifying circumstance existed;  
238 and  
239 (B) before the mother executed a consent to adoption or relinquishment of the child for  
240 adoption, the unmarried biological father fully complied with the requirements to establish  
241 parental rights in the child, and to preserve the right to notice of a proceeding in connection  
242 with the adoption of the child, imposed by:  
243 (I) the last state where the unmarried biological father knew, or through the exercise of  
244 reasonable diligence should have known, that the mother resided in before the mother executed  
245 the consent to adoption or relinquishment of the child for adoption; or  
246 (II) the state where the child was conceived; or  
247 (ii) (A) the unmarried biological father knew, or through the exercise of reasonable  
248 diligence should have known, before the time the mother executed a consent to adoption or  
249 relinquishment of the child for adoption, that a qualifying circumstance existed; and  
250 (B) the unmarried biological father complied with the requirements of Subsection (4)  
251 or (6) before the later of:  
252 (I) 20 days after the day that the unmarried biological father knew, or through the  
253 exercise of reasonable diligence should have known, that a qualifying circumstance existed; or

254 (II) the time that the mother executed a consent to adoption or relinquishment of the  
255 child for adoption.

256 (11) An unmarried biological father who does not fully and strictly comply with the  
257 requirements of this section is considered to have waived and surrendered any right in relation  
258 to the child, including the right to:

259 (a) notice of any judicial proceeding in connection with the adoption of the child; and

260 (b) consent, or refuse to consent, to the adoption of the child.

261 Section 44. Section **78-30-4.15** is amended to read:

262 **78-30-4.15. Responsibility of each party for own actions -- Fraud or**  
263 **misrepresentation.**

264 (1) Each parent of a child conceived or born outside of marriage is responsible for his  
265 or her own actions and is not excused from strict compliance with the provisions of this  
266 chapter based upon any action, statement, or omission of the other parent or third parties.

267 (2) Any person injured by fraudulent representations or actions in connection with an  
268 adoption is entitled to pursue civil or criminal penalties in accordance with existing law. A  
269 fraudulent representation is not a defense to strict compliance with the requirements of this  
270 chapter, and is not a basis for dismissal of a petition for adoption, vacation of an adoption  
271 decree, or an automatic grant of custody to the offended party. Custody determinations shall be  
272 based on the best interest of the child, in accordance with the provisions of Section 78-30-4.16.

273 (3) The Legislature finds no practical way to remove all risk of fraud or  
274 misrepresentation in adoption proceedings, and has provided a method for absolute protection  
275 of an unmarried biological father's rights by compliance with the provisions of this chapter. In  
276 balancing the rights and interests of the state, and of all parties affected by fraud, specifically  
277 the child, the adoptive parents, and the unmarried biological father, the Legislature has  
278 determined that the unmarried biological father is in the best position to prevent or ameliorate  
279 the effects of fraud and that, therefore, the burden of fraud shall be borne by him.

280 ~~[(4) The Legislature finds that an unmarried biological father who resides in another~~  
281 ~~state may not, in every circumstance, be reasonably presumed to know of, and strictly comply~~

282 with, the requirements of this chapter. Therefore when all of the following requirements have  
283 been met, that unmarried biological father may contest an adoption, prior to finalization of the  
284 decree of adoption, and assert his interest in the child; the court may then, in its discretion,  
285 proceed with an evidentiary hearing under Subsection 78-30-4.16(2):]

286 [(a) the unmarried biological father resides and has resided in another state where the  
287 unmarried mother was also located or resided;]

288 [(b) the mother left that state without notifying or informing the unmarried biological  
289 father that she could be located in the state of Utah;]

290 [(c) the unmarried biological father has, through every reasonable means, attempted to  
291 locate the mother but does not know or have reason to know that the mother is residing in the  
292 state of Utah; and]

293 [(d) the unmarried biological father has complied with the most stringent and complete  
294 requirements of the state where the mother previously resided or was located, in order to  
295 protect and preserve his parental interest and right in the child in cases of adoption.]

296 Section 55. Section **78-30-14** is amended to read:

297 **78-30-14. Division of Child and Family Services -- Duties -- Report -- Fee.**

298 (1) At the request of the court, the [~~Division of Child and Family Services~~] division,  
299 through its field agents, persons licensed by the division for the care and placement of children,  
300 or through the probation officer of the juvenile court or court of like jurisdiction of the county,  
301 under the division's supervision, shall:

302 (a) verify the allegations of the petition for adoption of a minor child[;];

303 (b) make a thorough investigation of the matter[;]; and

304 (c) report [its] the division's findings in writing to the court.

305 (2) (a) When the court requests an investigation under Subsection (1), [it] the court  
306 shall serve a copy of the petition, together with a statement containing the names and addresses  
307 of the child and petitioners, on the division by certified mail.

308 (b) The division, or the person appointed by the division, shall complete the  
309 investigation described in Subsection (2)(a) and submit [the] a written report to the court

310 within 60 days ~~[of that service]~~ after the day that the petition is served on the division.

311 (3) (a) The division shall charge the petitioner a reasonable fee for the services  
312 provided under this section.

313 (b) Fees collected shall be deposited in the General Fund.

314 (4) The written report submitted to the court under this section shall state:

315 (a) why the birth parents, if living, desire to be released from the care, support, and  
316 guardianship of the child;

317 (b) whether the birth parents have abandoned the child or are morally unfit for custody;

318 (c) whether the proposed adoptive parent or parents are financially able and morally fit  
319 to have the care, supervision, and training of the child;

320 (d) the physical and mental condition of the child, so far as that may be determined;  
321 and

322 (e) any other facts and circumstances pertaining to the child and ~~[his]~~ the child's  
323 welfare.

324 (5) (a) The court shall conduct a full hearing on the petition for adoption and examine  
325 the parties in interest under oath.

326 (b) The court may adjourn the hearing from time to time as the nature of the case  
327 requires.

328 (6) If the report submitted by the ~~[Division of Child and Family Services]~~ division  
329 under Subsection (2) disapproves of the adoption of the child by the petitioner, the court may  
330 dismiss the petition.

331 (7) (a) ~~[A]~~ Except as provided in Subsection (7)(b), a final decree of adoption may not  
332 be entered until the child has lived in the home of the adoptive parent or parents for six months.  
333 ~~[However, if]~~

334 (b) If the adoptive parent is the spouse of the birth parent, a final decree of adoption  
335 may not be entered until the child has lived in the home of that adoptive parent for one year.

336 (c) In the event the child dies ~~[prior to the expiration of that six-month or one-year~~  
337 period,] during the time that the child is placed in the home of an adoptive parent or parents for

338 the purpose of adoption, the court has authority to enter a final decree of adoption after the  
 339 child's death upon the request of the adoptive parents. [~~This subsection shall not~~]

340 (d) The court may enter a final decree of adoption declaring that a child is adopted by  
 341 both a deceased and a surviving adoptive parent if, after the child is placed in the home of the  
 342 child's adoptive parents:

343 (i) one of the adoptive parents dies;

344 (ii) the surviving adoptive parent requests that the court enter the decree; and

345 (iii) the decree is entered after the child has lived in the home of the surviving adoptive  
 346 parent for at least six months.

347 (e) Upon request of a surviving birth parent, or a surviving parent for whom adoption  
 348 of a child has been finalized, the court may enter a final decree of adoption declaring that a  
 349 child is adopted by a deceased adoptive parent who was the spouse of the surviving parent at  
 350 the time of the adoptive parent's death.

351 (f) The court may enter a final decree of adoption declaring that a child is adopted by  
 352 both deceased adoptive parents if:

353 (i) both of the adoptive parents die after the child is placed in the adoptive parent's  
 354 home; and

355 (ii) it is in the best interests of the child to enter the decree.

356 (8) Nothing in this section shall be construed to grant any rights to the birth parents of  
 357 a child to assert any interest in the child during [~~that~~] the six-month or one-year [~~period~~]  
 358 periods described in this section.

359 Section 66. Section **78-45g-306** is amended to read:

360 **78-45g-306. Proceeding for rescission.**

361 (1) A signatory may rescind a declaration of paternity or denial of paternity by filing a  
 362 voluntary rescission document with the Office of Vital Records in a form prescribed by the  
 363 office before the earlier of:

364 [~~(+)~~] (a) 60 days after the effective date of the declaration or denial, as provided in  
 365 Sections 78-45g-303 and 78-45g-304; or

366            [~~(2)~~] (b) the date of notice of the first adjudicative proceeding to which the signatory is  
367 a party, before a tribunal to adjudicate an issue relating to the child, including a proceeding that  
368 establishes support.

369            (2) Upon receiving a voluntary rescission document from a signatory under Subsection  
370 (1), the Office of Vital Records shall provide notice of the rescision, by mail, to the other  
371 signatory at the last-known address of that signatory.