1	LOCAL HUMAN SERVICES AUTHORITIES
2	AMENDMENTS
3	2003 GENERAL SESSION
4	STATE OF UTAH
5	This act modifies provisions relating to local substance abuse authorities and local mental
6	health authorities. The act renumbers those provisions and makes technical changes.
7	This act affects sections of Utah Code Annotated 1953 as follows:
8	AMENDS:
9	62A-2-101, as last amended by Chapter 300, Laws of Utah 2002
10	62A-15-103, as renumbered and amended by Chapter 8, Laws of Utah 2002, Fifth
11	Special Session
12	62A-15-105, as renumbered and amended by Chapter 8, Laws of Utah 2002, Fifth
13	Special Session
14	62A-15-111, as renumbered and amended by Chapter 8, Laws of Utah 2002, Fifth
15	Special Session
16	62A-15-202, as renumbered and amended by Chapter 8, Laws of Utah 2002, Fifth
17	Special Session
18	62A-15-602, as renumbered and amended by Chapter 8, Laws of Utah 2002, Fifth
19	Special Session
20	63A-9-701, as last amended by Chapter 254, Laws of Utah 1998
21	ENACTS:
22	17-43-101, Utah Code Annotated 1953
23	RENUMBERS AND AMENDS:
24	17-43-201, (Renumbered from 17A-3-701, as last amended by Chapter 8, Laws of Utah
25	2002, Fifth Special Session)
26	17-43-202, (Renumbered from 17A-3-702, as last amended by Chapter 181 and
27	renumbered and amended by Chapter 186, Laws of Utah 1990)
28	17-43-203, (Renumbered from 17A-3-703, as enacted by Chapter 106, Laws of Utah
29	1999)
30	17-43-301, (Renumbered from 17A-3-602, as last amended by Chapter 8, Laws of Utah
31	2002, Fifth Special Session)

32	17-43-302, (Renumbered from 17A-3-603, as enacted by Chapter 181, Laws of Utah
33	1990)
34	17-43-303, (Renumbered from 17A-3-603.5, as enacted by Chapter 106, Laws of Utah
35	1999)
36	17-43-304, (Renumbered from 17A-3-606, as last amended by Chapter 8, Laws of Utah
37	2002, Fifth Special Session)
38	17-43-305, (Renumbered from 17A-3-607, as last amended by Chapter 181 and
39	renumbered and amended by Chapter 186, Laws of Utah 1990)
40	17-43-306, (Renumbered from 17A-3-610, as last amended by Chapter 181 and
41	renumbered and amended by Chapter 186, Laws of Utah 1990)
42	17-43-307, (Renumbered from 17A-3-611, as renumbered and amended by Chapter
43	186, Laws of Utah 1990)
44	17-43-308, (Renumbered from 17A-3-601, as renumbered and amended by Chapter
45	186, Laws of Utah 1990)
46	Be it enacted by the Legislature of the state of Utah:
47	Section 1. Section 17-43-101 is enacted to read:
48	CHAPTER 43. LOCAL HUMAN SERVICES
49	Part 1. General Provisions
50	<u>17-43-101.</u> Definitions.
51	As used in this chapter:
52	(1) "Board" means the Board of Substance Abuse and Mental Health created within the
53	Department of Human Services in Section 62A-1-105.
54	(2) "Department" means the Department of Human Services created in Section
55	<u>62A-1-102.</u>
56	(3) "Division" means the Division of Substance Abuse and Mental Health created
57	within the Department of Human Services in Section 62A-1-105.
58	Section 2. Section 17-43-201, which is renumbered from Section 17A-3-701 is
59	renumbered and amended to read:
60	Part 2. Local Substance Abuse Authorities
61	[17A-3-701]. <u>17-43-201.</u> Local substance abuse authorities
62	Responsibilities.

(1) [All] Each county legislative [bodies in this state are] body is a local substance abuse [authorities] authority. Within legislative appropriations and county matching funds required by this section, and under the policy direction of the [state Board of Substance Abuse and Mental Health] board and the administrative direction of the [Division of Substance Abuse and Mental Health within the Department of Human Services,] division, each local substance abuse [authorities] authority shall provide substance abuse services to residents of [their respective counties] the county. Two or more counties may join to provide substance abuse prevention and treatment services.

- (2) [The] A county legislative [bodies] body may establish acceptable ways of apportioning the cost of substance abuse services. Any agreement for joint substance abuse services may designate the treasurer of one of the participating counties as the custodian of moneys available for those joint services, and that the designated treasurer, or other disbursing officer, may make payments from those moneys for such purposes upon audit of the appropriate auditing officer or officers representing the participating counties. The agreement may provide for joint operation of services and facilities or for operation of services and facilities under contract by one participating local substance abuse authority for other participating local substance abuse authorities.
- (3) (a) [All] Each county legislative [bodies] body, as a local substance abuse [authorities, are] authority, is accountable to the [Department of Human Services] department, the Department of Health, and the state with regard to the use of state and federal funds received from those departments for substance abuse services, regardless of whether the services are provided by a private contract provider.
- (b) [A] Each local substance abuse authority shall comply, and require compliance by its contract provider, with all directives issued by the [Department of Human Services] department and the Department of Health regarding the use and expenditure of state and federal funds received from those departments for the purpose of providing substance abuse programs and services. The [Department of Human Services] department and Department of Health shall ensure that those directives are not duplicative or conflicting, and shall consult and coordinate with local substance abuse authorities with regard to programs and services.
 - (4) [Local] Each local substance abuse [authorities] authority shall:
 - (a) review and evaluate substance abuse prevention and treatment needs and services;

94	(b) annually prepare and submit [a plan] to the division for funding and service
95	delivery[; the plan shall include, but is not limited to,] that includes primary prevention,
96	targeted prevention, early intervention, and treatment services;
97	(c) establish and maintain, either directly or by contract, programs licensed under Title
98	62A, Chapter 2, Licensure of Programs and Facilities;
99	(d) appoint directly or by contract a full or part time director for substance abuse
100	programs, and prescribe [his] the director's duties;
101	(e) provide input and comment on new and revised policies established by the [state
102	Board of Substance Abuse and Mental Health] board;
103	(f) establish and require contract providers to establish administrative, clinical,
104	personnel, financial, and management policies regarding substance abuse services and
105	facilities, in accordance with the policies of the [state Board of Substance Abuse and Mental
106	Health] board, and state and federal law;
107	(g) establish mechanisms allowing for direct citizen input;
108	(h) annually contract with the [Division of Substance Abuse and Mental Health]
109	division to provide substance abuse programs and services in accordance with the provisions of
110	Title 62A, Chapter 15, Substance Abuse and Mental Health Act;
111	(i) comply with all applicable state and federal statutes, policies, audit requirements,
112	contract requirements, and any directives resulting from those audits and contract requirements;
113	(j) promote or establish programs for the prevention of substance abuse within the
114	community setting through community-based prevention programs;
115	(k) provide funding equal to at least 20% of the state funds that it receives to fund
116	services described in the plan;
117	(l) comply with the requirements and procedures of Title 11, Chapter 13, Interlocal
118	Cooperation Act, Title 17A, Chapter 1, Part 4, Uniform Fiscal Procedures for Special Districts
119	Act, and Title 51, Chapter 2, Audits of Political Subdivisions, Interlocal Organizations and
120	Other Local Entities;
121	(m) for persons convicted of driving under the influence in violation of Subsection
122	41-6-44(2) or Section 41-6-44.6, conduct the following as defined in Section 41-6-44:
123	(i) a screening and assessment;
124	(ii) an educational series; and

125	(iii) substance abuse treatment; and
126	(n) utilize proceeds of the accounts described in Subsection 62A-15-503(1) to
127	supplement the cost of providing the services described in Subsection (4)(m).
128	(5) Before disbursing any public funds, <u>each</u> local substance abuse [authorities]
129	authority shall require that all entities that receive any public funds from [a] the local substance
130	abuse authority agree in writing that:
131	(a) the division may examine the entity's financial records;
132	(b) the county auditor may examine and audit the entity's financial records; and
133	(c) the entity will comply with the provisions of Subsection (3)(b).
134	(6) [Local] A local substance abuse [authorities] authority may receive property, grants
135	gifts, supplies, materials, contributions, and any benefit derived therefrom, for substance abuse
136	services. If those gifts are conditioned upon their use for a specified service or program, they
137	shall be so used.
138	(7) (a) [For purposes of] As used in this section "public funds" means the same as that
139	term is defined in Section [17A-3-703] <u>17-43-203</u> .
140	(b) Nothing in this section limits or prohibits an organization exempt under Section
141	501(c)(3), Internal Revenue Code, from using public funds for any business purpose or in any
142	financial arrangement that is otherwise lawful for that organization.
143	Section 3. Section 17-43-202, which is renumbered from Section 17A-3-702 is
144	renumbered and amended to read:
145	[17A-3-702]. <u>17-43-202.</u> Local substance abuse authorities - Requirements
146	prior to distributing public funds.
147	(1) [The] Each local substance abuse authority shall award all public funds[, by
148	complying] in compliance with:
149	(a) the requirements of Title 63, Chapter 56, Utah Procurement Code[-]; or [by
150	complying with]
151	(b) a county procurement ordinance that requires similar procurement practices.
152	(2) If all initial bids on the project are rejected, the authority shall publish a new
153	invitation to bid. If no satisfactory bid is received by the authority when the bids received from
154	the second invitation are opened, the authority may execute a contract without requiring
155	competitive bidding.

156	[(3) (a) For purposes of this subsection, "governmental entity" means any political
157	subdivision or institution of higher education of the state.]
158	[(b) The] (3) A local substance abuse authority need not comply with the procurement
159	provisions of this section when it disburses public funds to [other governmental entities]
160	another political subdivision of the state or an institution of higher education of the state.
161	(4) [Contracts] Each contract awarded by a local substance abuse authority shall be for
162	a fixed amount and limited period. [Contracts] A contract may be modified due to changes in
163	available funding for the same contract purpose without competition.
164	Section 4. Section 17-43-203, which is renumbered from Section 17A-3-703 is
165	renumbered and amended to read:
166	[17A-3-703]. <u>17-43-203.</u> Definition of "public funds" Responsibility for
167	oversight of public funds Substance abuse programs and services.
168	(1) As used in this section, "public funds":
169	(a) means:
170	(i) federal [monies] money received from the [Department of Human Services]
171	<u>department</u> or the Department of Health[;]; and
172	(ii) state [monies] money appropriated by the Legislature to the [Department of Human
173	Services] department, the Department of Health, a county governing body, or \underline{a} local substance
174	abuse authority for the purposes of providing substance abuse programs or services[. "Public
175	funds"]; and
176	(b) includes [those] that federal and state [monies that have] money:
177	(i) even after the money has been transferred by a local substance abuse authority to a
178	private provider under an annual or otherwise ongoing contract to provide comprehensive
179	substance abuse programs or services for the local substance abuse authority[. Those monies
180	maintain the nature of "public funds"]; and
181	(ii) while in the possession of the private [entity that has an annual or otherwise
182	ongoing contract with a local substance abuse authority to provide comprehensive substance
183	abuse programs or services for the local substance abuse authority] provider.
184	(2) Each local substance abuse authority is responsible for oversight of all public funds
185	received by it, to determine that those public funds are utilized in accordance with federal and
186	state law, the rules and policies of the [Department of Human Services] department and the

Department of Health, and the provisions of any contract between the local substance abuse authority and the [Department of Human Services] department, the Department of Health, or a private provider. That oversight includes requiring that neither the contract provider, as described in Subsection (1), nor any of its employees:

(a) violate any applicable federal or state criminal law;

- (b) knowingly violate, on more than one occasion, any applicable rule or policy of the [Department of Human Services] department or Department of Health, or any provision of contract between the local substance abuse authority and the [Department of Human Services] department, the Department of Health, or the private provider;
- (c) knowingly keep any false account or make any false entry or erasure in any account of or relating to the public funds;
- (d) fraudulently alter, falsify, conceal, destroy, or obliterate any account of or relating to public funds;
 - (e) fail to ensure competent oversight for lawful disbursement of public funds;
- (f) appropriate public funds for an unlawful use or for a use that is not in compliance with contract provisions; or
- (g) knowingly or intentionally use public funds unlawfully or in violation of a governmental contract provision, or in violation of state policy.
- (3) Nothing in this section limits or prohibits an organization exempt under Section 501(c)(3), Internal Revenue Code, from using public funds for any business purpose or in any financial arrangement that is otherwise lawful for that organization.
- (4) [A] Each local substance abuse authority that [knew] knows or reasonably should [have known] know of any of the circumstances described in Subsection (2), and that fails or refuses to take timely corrective action in good faith shall, in addition to any other penalties provided by law, be required to make full and complete repayment to the state of all public funds improperly used or expended.
- (5) Any public funds required to be repaid to the state by a local substance abuse authority [pursuant to] under Subsection (4), based upon the actions or failure of the contract provider, may be recovered by the local substance abuse authority from its contract provider, in addition to the local substance abuse authority's costs and attorney's fees.
- Section 5. Section 17-43-301, which is renumbered from Section 17A-3-602 is

218 renumbered and amended to read: 219 Part 3. Local Mental Health Authorities 220 [17A-3-602]. 17-43-301. Local mental health authorities --221 Responsibilities. 222 (1) [All] Each county legislative [bodies in this state are] body is a local mental health 223 [authorities] authority. Within legislative appropriations and county matching funds required 224 by this section, under the policy direction of the [state Board of Substance Abuse and Mental 225 Health] board and the administrative direction of the [Division of Substance Abuse and Mental 226 Health within the Department of Human Services, division, each local mental health 227 [authorities] authority shall provide mental health services to persons within [their respective 228 counties the county. 229 (2) (a) Two or more counties may join to provide mental health prevention and 230 treatment services. 231 [(2)] (b) The legislative bodies of counties joining to provide services may establish 232 acceptable ways of apportioning the cost of mental health services. [Any] 233 (c) An agreement for joint mental health services may: 234 (i) designate the treasurer of one of the participating counties as the custodian of 235 moneys available for those joint services[, and]; 236 (ii) provide that the designated treasurer, or other disbursing officer, may make 237 payments from those moneys for such purposes upon audit of the appropriate auditing officer 238 or officers representing the participating counties. The agreement may; and 239 (iii) provide for: 240 [(a)] (A) joint operation of services and facilities or for operation of services and 241 facilities under contract by one participating local mental health authority for other 242 participating local mental health authorities; and 243 [(b)] (B) allocation of appointments of members of the mental health advisory council 244 between or among participating counties. 245 [(3)] (2) (a) [All] Each county legislative [bodies] body, as a local mental health 246 [authorities, are] authority, is accountable to the [Department of Human Services] department, 247 the Department of Health, and the state with regard to the use of state and federal funds 248 received from those departments for mental health services, regardless of whether the services

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are provided by a private contract provider.

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(b) [A] Each local mental health authority shall comply, and require compliance by its contract provider, with all directives issued by the [Department of Human Services] department and the Department of Health regarding the use and expenditure of state and federal funds received from those departments for the purpose of providing mental health programs and services. The [Department of Human Services] department and Department of Health shall ensure that those directives are not duplicative or conflicting, and shall consult and coordinate with local mental health authorities with regard to programs and services.

- [4) Local (3) Each local mental health [authorities] authority shall:
- 258 (a) review and evaluate mental health needs and services;
 - (b) annually prepare and submit to the division a plan for mental health funding and service delivery[. The plan shall include] that includes services for adults, youth, and children, including[, but not limited to, the following]:
- 262 (i) inpatient care and services;
- 263 (ii) residential care and services;
- 264 (iii) outpatient care and services;
- 265 (iv) 24-hour crisis care and services;
- 266 (v) psychotropic medication management;
- 267 (vi) psychosocial rehabilitation including vocational training and skills development;
- 268 (vii) case management;
- (viii) community supports including in-home services, housing, family support
 services, and respite services; and
- 271 (ix) consultation and education services, including [but not limited to,] case 272 consultation, collaboration with other service agencies, public education, and public 273 information;
- (c) establish and maintain, either directly or by contract, programs licensed under Title
 62A, Chapter 2, Licensure of Programs and Facilities;
- 276 (d) appoint, directly or by contract, a full-time or part-time director for mental health 277 programs and prescribe [his] the director's duties;
- (e) provide input and comment on new and revised policies established by the [state Board of Substance Abuse and Mental Health] board;

280	(f) establish and require contract providers to establish administrative, clinical,
281	personnel, financial, and management policies regarding mental health services and facilities,
282	in accordance with the policies of the [state Board of Substance Abuse and Mental Health]
283	board and state and federal law;
284	(g) establish mechanisms allowing for direct citizen input;
285	(h) annually contract with the [Division of Substance Abuse and Mental Health]
286	division to provide mental health programs and services in accordance with the provisions of
287	Title 62A, Chapter 15, Substance Abuse and Mental Health Act;
288	(i) comply with all applicable state and federal statutes, policies, audit requirements,
289	contract requirements, and any directives resulting from those audits and contract requirements;
290	(j) provide funding equal to at least 20% of the state funds that it receives to fund
291	services described in the plan; and
292	(k) comply with the requirements and procedures of Title 11, Chapter 13, Interlocal
293	Cooperation Act, Title 17A, Chapter 1, Part 4, Uniform Fiscal Procedures for Special Districts
294	Act, and Title 51, Chapter 2, Audits of Political Subdivisions, Interlocal Organizations and
295	Other Local Entities.
296	[(5)] (4) Before disbursing any public funds, each local mental health [authorities]
297	authority shall require that all entities that receive any public funds from a local mental health
298	authority agree in writing that:
299	(a) the division may examine the entity's financial records;
300	(b) the county auditor may examine and audit the entity's financial records; and
301	(c) the entity will comply with the provisions of Subsection (3)(b).
302	[(6) Local] (5) A local mental health [authorities] authority may receive property,
303	grants, gifts, supplies, materials, contributions, and any benefit derived therefrom, for mental
304	health services. If those gifts are conditioned upon their use for a specified service or program,
305	they shall be so used.
306	[(7)] (6) (a) For purposes of this section "public funds" means the same as that term is
307	defined in Section [17A-3-603.5] <u>17-43-303</u> .
308	(b) Nothing in this section limits or prohibits an organization exempt under Section
309	501(c)(3), Internal Revenue Code, from using public funds for any business purpose or in any
310	financial arrangement that is otherwise lawful for that organization.

311	Section 6. Section 17-43-302, which is renumbered from Section 17A-3-603 is
312	renumbered and amended to read:
313	[17A-3-603]. 17-43-302. Local mental health authorities Requirements
314	prior to distributing public funds.
315	(1) [The] Each local mental health authority shall award all public funds by complying
316	with the requirements of Title 63, Chapter 56, Utah Procurement Code, or by complying with a
317	county procurement ordinance which requires similar procurement practices.
318	(2) If all initial bids on the project are rejected, the authority shall publish a new
319	invitation to bid in the manner specified in this [subsection] section. If no satisfactory bid is
320	received by the authority when the bids received from the second invitation are opened, the
321	authority may execute a contract without requiring competitive bidding.
322	[(3) (a) For purposes of this subsection, "governmental entity" means any political
323	subdivision or institution of higher education of the state.]
324	[(b)] (3) The local mental health authority need not comply with the procurement
325	provisions of this section when it disburses public funds to [other governmental entities]
326	another political subdivision of the state or an institution of higher education of the state.
327	(4) [Contracts] Each contract awarded by a local mental health authority shall be for a
328	fixed amount and limited period. [Contracts] A contract may be modified due to changes in
329	available funding for the same contract purpose without competition.
330	Section 7. Section 17-43-303, which is renumbered from Section 17A-3-603.5 is
331	renumbered and amended to read:
332	[17A-3-603.5]. <u>17-43-303.</u> Definition of "public funds" Responsibility for
333	oversight of public funds Mental health programs and services.
334	(1) As used in this section, "public funds":
335	(a) means:
336	(i) federal [monies] money received from the [Department of Human Services]
337	department or the Department of Health[;]; and
338	(ii) state [monies] money appropriated by the Legislature to the [Department of Human
339	Services] department, the Department of Health, a county governing body, or a local mental
340	health authority for the purposes of providing mental health programs or services[. "Public
341	funds"]; and

342 (b) includes [those] that federal and state [monies that have] money: 343 (i) even after the money has been transferred by a local mental health authority to a 344 private provider under an annual or otherwise ongoing contract to provide comprehensive 345 mental health programs or services for the local mental health authority. Those monies 346 maintain the nature of "public funds"]; and 347 (ii) while in the possession of the private [entity that has an annual or otherwise 348 ongoing contract with a local mental health authority to provide comprehensive mental health 349 programs or services for the local mental health authority] provider. 350 (2) Each local mental health authority is responsible for oversight of all public funds 351 received by it, to determine that those public funds are utilized in accordance with federal and 352 state law, the rules and policies of the [Department of Human Services] department and the 353 Department of Health, and the provisions of any contract between the local mental health 354 authority and the [Department of Human Services] department, the Department of Health, or a 355 private provider. That oversight includes requiring that neither the contract provider, as 356 described in Subsection (1), nor any of its employees: 357 (a) violate any applicable federal or state criminal law; 358 (b) knowingly violate, on more than one occasion, any applicable rule or policy of the [Department of Human Services] department or Department of Health, or any provision of 359 360 contract between the local mental health authority and the [Department of Human Services] 361 department, the Department of Health, or the private provider; 362 (c) knowingly keep any false account or make any false entry or erasure in any account 363 of or relating to the public funds; 364 (d) fraudulently alter, falsify, conceal, destroy, or obliterate any account of or relating to public funds; 365 366 (e) fail to ensure competent oversight for lawful disbursement of public funds; 367 (f) appropriate public funds for an unlawful use or for a use that is not in compliance 368 with contract provisions; or 369 (g) knowingly or intentionally use public funds unlawfully or in violation of a 370 governmental contract provision, or in violation of state policy. 371 (3) Nothing in this section limits or prohibits an organization exempt under Section

501(c)(3), Internal Revenue Code, from using public funds for any business purpose or in any

373	financial arrangement that is otherwise lawful for that organization.
374	(4) A local mental health authority that knew or reasonably should have known of any
375	of the circumstances described in Subsection (2), and that fails or refuses to take timely
376	corrective action in good faith shall, in addition to any other penalties provided by law, be
377	required to make full and complete repayment to the state of all public funds improperly used
378	or expended.
379	(5) Any public funds required to be repaid to the state by a local mental health
380	authority pursuant to Subsection (4), based upon the actions or failure of the contract provider,
381	may be recovered by the local mental health authority from its contract provider, in addition to
382	the local mental health authority's costs and attorney's fees.
383	Section 8. Section 17-43-304, which is renumbered from Section 17A-3-606 is
384	renumbered and amended to read:
385	[17A-3-606]. <u>17-43-304.</u> Contracts for mental health services provided by
386	local mental health authorities.
387	[Where] If a local mental health authority has established a plan to provide services
388	authorized by this part, and those services meet standards fixed by rules of the board, the local
389	mental health authority may enter into a contract with the [Division of Substance Abuse and
390	Mental Health] division for those services to be furnished by that local mental health authority
391	for an agreed compensation to be paid by the division.
392	Section 9. Section 17-43-305, which is renumbered from Section 17A-3-607 is
393	renumbered and amended to read:
394	[17A-3-607]. <u>17-43-305.</u> Responsibility for cost of services provided by
395	local mental health authority.
396	[Whenever] If a local mental health authority, through its designated provider, provides
397	any service described in Subsection [17A-3-602] 17-43-301(3)(b) to a person who resides
398	within the jurisdiction of another local mental health authority, the local mental health
399	authority in whose jurisdiction the person resides is responsible for the cost of that service if its
400	designated provider has authorized the provision of that service.
401	Section 10. Section 17-43-306, which is renumbered from Section 17A-3-610 is
402	renumbered and amended to read:
403	[17A-3-610]17-43-306. Authority to receive funds.

104	[Local] A local mental health [authorities], authority and [entities] an entity that
405	[contract] contracts with [those authorities] a local mental health authority to provide mental
406	health services, may receive funds made available by federal, state, or local health, mental
407	health, education, welfare, or other agencies.
408	Section 11. Section 17-43-307, which is renumbered from Section 17A-3-611 is
109	renumbered and amended to read:
410	[17A-3-611]. <u>17-43-307.</u> Specified treatments prohibited Criminal
411	penalties.
412	(1) It is a misdemeanor to give shock treatment, lobotomy, or surgery to anyone
413	without the written consent of [his] the person's next of kin or legal guardian. Services
414	provided under this part are governed by [the] Title 58, Chapter 67, Utah Medical Practice Act.
415	(2) It is a felony to give psychiatric treatment, nonvocational mental health counseling,
416	case-finding testing, psychoanalysis, drugs, shock treatment, lobotomy, or surgery to any
417	individual for the purpose of changing his concept of, belief about, or faith in God.
418	Section 12. Section 17-43-308, which is renumbered from Section 17A-3-601 is
419	renumbered and amended to read:
120	[17A-3-601]. <u>17-43-308.</u> Local mental health advisory councils Powers
421	and responsibilities.
122	[Local mental health advisory councils may be established throughout the state. Their
123	composition, purposes, duties, powers, and reimbursement shall be as follows:]
124	[(1) they may be established in each county, either separately or in conjunction with
125	other counties;
126	(1) A county legislative body may, separately or in conjunction with one or more other
127	counties, establish a local mental health advisory council.
128	(2) Mental health advisory council members shall be appointed by their respective
129	county [commissions] legislative bodies. Initially one-fourth of the members shall be
430	appointed for one year, one-fourth for two years, one-fourth for three years, and one-fourth for
431	four years. After the initial appointment, the term of each member shall be for four years.
432	Vacancies shall be filled in the same manner as for unexpired terms. Council members may be
433	removed for cause[;].
134	(3) [they] Each mental health advisory council shall be responsible and advisory to

local mental health authorities in planning, organizing, and operating community mental health

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436 programs[;]. 437 (4) Council members shall be selected from persons representative of interested groups 438 in the community, including, [where] if possible[-]: 439 (a) an officer or employee of the school district within the city or county; (b) one or more persons familiar with problems in mental health, as these are involved 440 441 in proceedings in criminal, domestic, or juvenile courts; 442 (c) one or more members of voluntary health, welfare, or mental health associations or 443 agencies; and 444 (d) at least one person licensed in this state to practice medicine and surgery in all their 445 branches and engaged in the private practice of medicine[;]. 446 (5) Council members may be reimbursed for actual and necessary expenses incurred in 447 the performance of official duties, from funds made available to local mental health 448 authorities[; and]. 449 (6) [councils] Each mental health advisory council shall be [agencies] an agent of the 450 local mental health [authorities] authority, and [are] is subject to laws and requirements relating 451 to [those authorities] the local mental health authority. 452 Section 13. Section **62A-2-101** is amended to read: 453 62A-2-101. Definitions. 454 As used in this chapter: 455 (1) "Adult day care" means continuous care and supervision for three or more adults 456 for at least four but less than 24 hours a day, that meets the needs of functionally impaired 457 adults through a comprehensive program that provides a variety of health, social, recreational, 458 and related support services in a protective setting. 459 (2) "Child" means a person under 18 years of age. 460 (3) "Child placing" means receiving, accepting, or providing custody or care for any 461 child under 18 years of age, temporarily or permanently, for the purpose of: 462 (a) finding a person to adopt the child; 463 (b) placing the child temporarily or permanently in a home for adoption; or 464 (c) foster home placement. 465 (4) "Client" means an individual who receives or has received services from a human

- services licensee under this chapter.
- 467 (5) "Day treatment" means specialized treatment for less than 24 hours a day for four or
- 468 more persons who are unrelated to the owner or provider and who have emotional,
- psychological, developmental, physical, or behavioral dysfunctions, impairments, or chemical
- dependencies. Day treatment is provided in lieu of, or in coordination with, a more restrictive
- 471 residential or inpatient environment or service.
- 472 (6) "Department" means the Department of Human Services.
- 473 (7) "Direct access" means that an individual has, or likely will have, contact with or
- access to a child or vulnerable adult that provides the individual with an opportunity for
- personal communication or touch.
- 476 (8) "Director" means the director of the Office of Licensing.
- 477 (9) "Domestic violence treatment program" means a nonresidential program designed
- 478 to provide psychological treatment and educational services to perpetrators and victims of
- 479 domestic violence.
- 480 (10) "Elder adult" means a person 65 years of age or older.
- 481 (11) "Executive director" means the executive director of the department.
- 482 (12) "Human services licensee" or "licensee" means a youth program, resource family
- home, or a facility or program, licensed by the department, that provides care, secure treatment,
- 484 inpatient treatment, residential treatment, residential support, adult day care, day treatment,
- outpatient treatment, domestic violence treatment, child placing services, or social
- 486 detoxification.

- 487 (13) "Licensing board" means the Human Services Licensing Board.
- 488 (14) "Minor" has the same meaning as "child."
- 489 (15) "Office" means the Office of Licensing within the Department of Human Services.
- 490 (16) "Outpatient treatment" means individual, family, or group therapy or counseling
- designed to improve and enhance social or psychological functioning for those whose physical
- and emotional status allows them to continue functioning in their usual living environment.
- 493 (17) (a) "Person associated with the licensee" means an owner, director, member of the
- 494 governing body, employee, provider of care, or volunteer of a human services licensee or of an
- 495 applicant to become a licensee.
 - (b) Notwithstanding Subsection (17)(a), "person associated with the licensee" does not

include an individual serving on either of the following bodies unless that individual has direct access to children or vulnerable adults:

- (i) a county governing body designated as a local mental health authority under Section [17A-3-602] <u>17-43-301</u> or as a local substance abuse authority under Section [17A-3-701] 17-43-201; or
 - (ii) a board of an organization operating under a contract to provide comprehensive mental health or substance abuse programs or services for the local mental health authority or substance abuse authority.
 - (18) "Residential support" means arranging for or providing the necessities of life as a protective service to individuals or families who are disabled or who are experiencing a dislocation or emergency which prevents them from providing these services for themselves or their families. Treatment is not a necessary component of residential support.
 - (19) "Residential treatment" means a 24-hour group living environment for four or more individuals unrelated to the owner or provider that offers room or board and specialized treatment, rehabilitation, or habilitation services for persons with emotional, psychological, developmental, or behavioral dysfunctions, impairments, or chemical dependencies. In residential treatment, individuals are assisted in acquiring the social and behavioral skills necessary for living independently in the community.
 - (20) "Resource family home" means a home licensed to provide services to a child in the custody of the state and includes a foster care home and a legal risk home.
 - (21) "Secure treatment" means 24-hour specialized residential treatment or care for persons whose current functioning is such that they cannot live independently or in a less restrictive environment. Secure treatment differs from residential treatment to the extent that it requires intensive supervision, locked doors, and other security measures which are imposed on residents with neither their consent nor control.
 - (22) "Social detoxification" means short-term residential services for persons who are intoxicated, that are provided outside of a health care facility licensed under Title 26, Chapter 21, Health Care Facility Licensure and Inspection Act, and that include:
- 525 (a) room and board for persons who are unrelated to the owner or manager of the 526 facility;
 - (b) specialized rehabilitation to acquire sobriety; and

528	(c) aftercare services.
529	(23) "Unrelated persons" means persons other than parents, legal guardians,
530	grandparents, brothers, sisters, uncles, or aunts.
531	(24) "Vulnerable adult" means an elder adult or an adult who has a mental or physical
532	impairment that substantially affects the person's ability to:
533	(a) provide personal protection;
534	(b) provide necessities such as food, shelter, clothing, or mental or other health care;
535	(c) obtain services necessary for health, safety, or welfare;
536	(d) carry out the activities of daily living;
537	(e) manage the adult's own resources; or
538	(f) comprehend the nature and consequences of remaining in a situation of abuse,
539	neglect, or exploitation.
540	(25) (a) "Youth program" means a nonresidential program, designed to provide
541	behavioral, substance abuse or mental health services to minors that:
542	(i) serves either adjudicated or nonadjudicated youth;
543	(ii) charges a fee for its services;
544	(iii) may or may not provide host homes or other arrangements for overnight
545	accommodation of the youth;
546	(iv) may or may not provide all or part of its services in the outdoors;
547	(v) may or may not limit or censor access to parents or guardians; and
548	(vi) prohibits or restricts a minor's ability to leave the program at any time of his own
549	free will.
550	(b) "Youth program" does not include recreational programs such as Boy Scouts, Gir
551	Scouts, 4-H, and other such organizations.
552	Section 14. Section 62A-15-103 is amended to read:
553	62A-15-103. Division Creation Responsibilities.
554	(1) There is created the Division of Substance Abuse and Mental Health within the
555	department, under the administration and general supervision of the executive director, and,
556	with regard to its programs, under the policy direction of the board. The division is the
557	substance abuse authority and the mental health authority for this state.
558	(2) The division shall:

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(a) (i) educate the general public regarding the nature and consequences of substance

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560 abuse by promoting school and community-based prevention programs; 561 (ii) render support and assistance to public schools through approved school-based 562 substance abuse education programs aimed at prevention of substance abuse; 563 (iii) promote or establish programs for the prevention of substance abuse within the 564 community setting through community-based prevention programs; 565 (iv) cooperate and assist other organizations and private treatment centers for substance 566 abusers, by providing them with essential materials for furthering programs of prevention and 567 rehabilitation of actual and potential substance abusers; and 568 (v) promote or establish programs for education and certification of instructors to educate persons convicted of driving under the influence of alcohol or drugs or driving with 569 570 any measurable controlled substance in the body; 571 (b) (i) collect and disseminate information pertaining to mental health; and 572 (ii) provide direction over the state hospital including approval of its budget, 573 administrative policy, and coordination of services with local service plans; and 574 (c) (i) consult and coordinate with local substance abuse authorities and local mental 575 health authorities regarding programs and services; 576 (ii) provide consultation and other assistance to public and private agencies and groups 577 working on substance abuse and mental health issues; 578 (iii) promote and establish cooperative relationships with courts, hospitals, clinics, medical and social agencies, public health authorities, law enforcement agencies, education and 579 580 research organizations, and other related groups; 581 (iv) promote or conduct research on substance abuse and mental health issues, and 582 submit to the governor and the Legislature recommendations for changes in policy and 583 legislation; 584 (v) receive, distribute, and provide direction over public funds for substance abuse and 585 mental health services; 586 (vi) monitor and evaluate programs provided by local substance abuse authorities and 587 local mental health authorities: 588 (vii) examine expenditures of any local, state, and federal funds; 589 (viii) monitor the expenditure of public funds by:

590	(A) local substance abuse authorities;
591	(B) local mental health authorities; and
592	(C) in counties where they exist, the private contract provider that has an annual or
593	otherwise ongoing contract to provide comprehensive substance abuse or mental health
594	programs or services for the local substance abuse authority or local mental health authorities;
595	(ix) contract with local substance abuse authorities and local mental health authorities
596	to provide a comprehensive continuum of services in accordance with board and division
597	policy, contract provisions, and the local plan;
598	(x) contract with private and public entities for special statewide or nonclinical services
599	according to board and division policy;
500	(xi) review and approve each local substance abuse authority's plan and each local
501	mental health authority's plan in order to ensure:
502	(A) a statewide comprehensive continuum of substance abuse services;
503	(B) a statewide comprehensive continuum of mental health services; and
504	(C) appropriate expenditure of public funds;
505	(xii) review and make recommendations regarding each local substance abuse
606	authority's contract with its provider of substance abuse programs and services and each local
507	mental health authority's contract with its provider of mental health programs and services to
508	ensure compliance with state and federal law and policy;
509	(xiii) monitor and ensure compliance with board and division policy and contract
510	requirements; and
511	(xiv) withhold funds from local substance abuse authorities, local mental health
512	authorities, and public and private providers for contract noncompliance, failure to comply
513	with division directives regarding the use of public funds, or for misuse of public funds or
514	monies.
515	(3) (a) The division may refuse to contract with and may pursue its legal remedies
616	against any local substance abuse authority or local mental health authority that fails, or has
617	failed, to expend public funds in accordance with state law, division policy, contract
618	provisions, or directives issued in accordance with state law.
619	(b) The division may withhold funds from a local substance abuse authority or local

mental health authority if the authority's contract with its provider of substance abuse or mental

health programs or services fails to comply with state and federal law or policy.

(4) Before reissuing or renewing a contract with any local substance abuse authority or local mental health authority, the division shall review and determine whether the local substance abuse authority or local mental health authority is complying with its oversight and management responsibilities described in Sections [17A-3-601, 17A-3-603.5, 17A-3-701 and 17A-3-703] 17-43-201, 17-43-203, 17-43-303, and 17-43-308. Nothing in this Subsection (4) may be used as a defense to the responsibility and liability described in Section 17A-3-603.5 and to the responsibility and liability described in Section [17A-3-703] 17-43-203.

- (5) In carrying out its duties and responsibilities, the division may not duplicate treatment or educational facilities that exist in other divisions or departments of the state, but shall work in conjunction with those divisions and departments in rendering the treatment or educational services that those divisions and departments are competent and able to provide.
- (6) (a) The division may accept in the name of and on behalf of the state donations, gifts, devises, or bequests of real or personal property or services to be used as specified by the donor.
- (b) Those donations, gifts, devises, or bequests shall be used by the division in performing its powers and duties. Any money so obtained shall be considered private nonlapsing funds and shall be deposited into an interest-bearing restricted special revenue fund to be used by the division for substance abuse or mental health services. The state treasurer may invest the fund and all interest shall remain with the fund.
- (7) The division shall annually review with each local substance abuse authority and each local mental health authority the authority's statutory and contract responsibilities regarding:
 - (a) the use of public funds;
 - (b) oversight responsibilities regarding public funds; and
- (c) governance of substance abuse and mental health programs and services.
- Section 15. Section **62A-15-105** is amended to read:
- 648 62A-15-105. Authority and responsibilities of board.
- The board is the policymaking body for the division and for programs funded with state and federal moneys under Sections [17A-3-602, 17A-3-606, 17A-3-701] <u>17-43-201,</u>
- 651 <u>17-43-301, 17-43-304</u>, and 62A-15-110. The board shall:

652 (1) in establishing policy, seek input from local substance abuse authorities, local 653 mental health authorities, consumers, providers, advocates, division staff, and other interested 654 parties as determined by the board; 655 (2) establish, by rule, minimum standards for local substance abuse authorities and local 656 mental health authorities; 657 (3) establish, by rule, procedures for developing its policies which ensure that local 658 substance abuse authorities and local mental health authorities are given opportunity to 659 comment and provide input on any new policy of the board or proposed changes in existing 660 policy of the board; (4) provide a mechanism for review of its existing policy, and for consideration of 661 policy changes that are proposed by local substance abuse authorities or local mental health 662 663 authorities; 664 (5) develop program policies, standards, rules, and fee schedules for the division; and 665 (6) in accordance with Title 63, Chapter 46a, Utah Administrative Rulemaking Act, 666 make rules approving the form and content of substance abuse treatment, educational series, 667 and screening and assessment that are described in Section 41-6-44. 668 Section 16. Section **62A-15-111** is amended to read: 669 62A-15-111. Responsibility for cost of services provided by local substance abuse 670 or mental health authority. 671 Whenever a local substance abuse authority or local mental health authority, through its 672 designated provider, provides any service described in Section [17A-3-602] 17-43-301 or 673 Subsection [17A-3-701] 17-43-201(3) to a person who resides within the jurisdiction of another local authority, the local authority in whose jurisdiction the person resides is 674 responsible for the cost of that service if its designated provider has authorized the provision of 675 676 that service. 677 Section 17. Section **62A-15-202** is amended to read: 678 **62A-15-202.** Definitions. 679 As used in this part: 680 (1) "Juvenile substance abuse offender" means any juvenile found to come within the 681 provisions of Section 78-3a-104 for a drug or alcohol related offense, as designated by the 682 Board of Juvenile Court Judges.

(2) "Local substance abuse authority" means a county legislative body designated to provide substance abuse services in accordance with Section [17A-3-701] 17-43-201.

- (3) "Teen substance abuse school" means any school established by the local substance abuse authority, in cooperation with the Board of Juvenile Court Judges, that provides an educational, interpersonal, skill-building experience for juvenile substance abuse offenders and their parents or legal guardians.
 - Section 18. Section **62A-15-602** is amended to read:

62A-15-602. Definitions.

As used in this part, Part 7, Commitment of Persons Under Age 18 to Division of Substance Abuse and Mental Health, Part 8, Interstate Compact on Mental Health, Part 9, Utah Forensic Mental Health Facility, and Part 10, Declaration for Mental Health Treatment:

- (1) "Adult" means a person 18 years of age or older.
- (2) "Commitment to the custody of a local mental health authority" means that an adult is committed to the custody of the local mental health authority that governs the mental health catchment area in which the proposed patient resides or is found.
- (3) "Designated examiner" means a licensed physician, preferably a psychiatrist, designated by the division as specially qualified by training or experience in the diagnosis of mental or related illness or another licensed mental health professional designated by the division as specially qualified by training and at least five years' continual experience in the treatment of mental or related illness. At least one designated examiner in any case shall be a licensed physician. No person who is the applicant, or who signs the certification, under Section 62A-15-631 may be a designated examiner in the same case.
- (4) "Designee" means a physician who has responsibility for medical functions including admission and discharge, an employee of a local mental health authority, or an employee of an agency that has contracted with a local mental health authority to provide mental health services under Section [17A-3-606] 17-43-304.
- (5) "Institution" means a hospital, or a health facility licensed under the provisions of Section 26-21-9.
- (6) "Licensed physician" means an individual licensed under the laws of this state to practice medicine, or a medical officer of the United States government while in this state in the performance of official duties.

(7) "Local comprehensive community mental health center" means an agency or organization that provides treatment and services to residents of a designated geographic area, operated by or under contract with a local mental health authority, in compliance with state standards for local comprehensive community mental health centers.

- (8) "Mental illness" means a psychiatric disorder as defined by the current edition of the Diagnostic and Statistical Manual of Mental Disorders published by the American Psychiatric Association which substantially impairs a person's mental, emotional, behavioral, or related functioning.
- (9) "Mental health facility" means the Utah State Hospital or other facility that provides mental health services under contract with the division, a local mental health authority, or organization that contracts with a local mental health authority.
- (10) "Mental health officer" means an individual who is designated by a local mental health authority as qualified by training and experience in the recognition and identification of mental illness, to interact with and transport persons to any mental health facility.
- (11) "Patient" means an individual who has been temporarily placed in the custody of a local mental health authority, or who has been committed to a local mental health authority either voluntarily or by court order.
- (12) "Treatment" means psychotherapy, medication, including the administration of psychotropic medication, and other medical treatments that are generally accepted medical and psychosocial interventions for the purpose of restoring the patient to an optimal level of functioning in the least restrictive environment.
- Section 19. Section **63A-9-701** is amended to read:

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- 736 63A-9-701. Subscription to motor pool by certain local government entities.
- 737 (1) The following local government entities may subscribe to the central motor pool service provided by the division subject to the conditions established in Subsection (2):
- 739 (a) local health departments as defined in Title 26A, Chapter 1, Part 1, Local Health 740 Department Act;
 - (b) local substance abuse authorities as defined in Section [17A-3-701] <u>17-43-201</u>;
- 742 (c) local area agencies, as authorized by Section 62A-3-104, or their subcontractors 743 who are local governmental or public entities; and
 - (d) local mental health authorities as defined in Section [17A-3-602] <u>17-43-301</u>.

745	(2) The local government entities outlined in Subsection (1) may subscribe to the
746	central motor pool service provided by the division only if:
747	(a) the director of the local government entity determines it will result in substantial
748	cost savings or increased efficiency to the local government entity; and
749	(b) the central motor pool has sufficient vehicles available.