

STATE OF UTAH

Fund Information

FINET Name: (CMRC) SEC INVESTOR ED & TRNG

FINET Fund: 2085

Legal Name: Securities Investor Education, Training, and Enforcement Fund

Legal Authorization: UCA 61-1-18.7

Earns Interest: Yes No **Earns Interest Authority:** UCA 61-1-18.7(4) - but see capped info below

Revenue Source(s):

- 1) Civil penalties
- 2) Fines
- 3) Interest

Fund Balance History:

<u>Fund</u>	<u>Year</u>	<u>Beg Balance</u>	<u>Revenues</u>	<u>Expenses</u>	<u>Transfers</u>	<u>End Balance</u>
2085	1992	\$0	\$35,947	\$64,615	\$128,668	\$100,000
2085	1993	\$100,000	\$81,885	\$44,727	(\$37,157)	\$100,000
2085	1994	\$100,000	\$110,772	\$173,764	\$0	\$37,008
2085	1995	\$37,008	\$132,656	\$75,922	\$0	\$93,742
2085	1996	\$93,742	\$145,387	\$130,744	(\$8,385)	\$100,000
2085	1997	\$100,000	\$135,073	\$129,319	(\$5,754)	\$100,000
2085	1998	\$100,000	\$207,278	\$137,784	(\$69,492)	\$100,002
2085	1999	\$100,002	\$185,904	\$129,014	(\$56,890)	\$100,002
2085	2000	\$100,002	\$280,289	\$184,992	(\$95,300)	\$100,000
2085	2001	\$100,000	\$126,576	\$126,596	\$0	\$99,980
2085	2002	\$99,980	\$53,544	\$115,342	\$0	\$38,182
2085	2003	\$38,182	\$790,087	\$259,270	(\$462,063)	\$106,936
2085	2004	\$106,936	\$3,565,782	\$811,137	(\$2,761,632)	\$99,949
2085	2005	\$99,949	\$886,578	\$286,232	(\$600,346)	\$99,949
2085	2006	\$99,949	\$462,738	\$254,705	(\$208,033)	\$99,949
2085	2007	\$99,949	\$657,533	\$671,720	\$0	\$85,762
2085	2008	\$85,762	\$264,808	\$213,894	(\$36,677)	\$100,000
2085	2009	\$100,000	\$58,155	\$149,143	\$0	\$9,012
2085	2010	\$9,012	\$2,848,693	\$530,149	(\$2,227,556)	\$100,000
2085	2011	\$100,000	\$1,774,002	\$450,578	(\$923,424)	\$500,000
2085	2012	\$500,000	\$552,919	\$682,518	\$0	\$370,401