



DEPARTMENT OF HUMAN SERVICES FEDERAL FUNDS

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: STEPHEN JARDINE

ISSUE BRIEF

SUMMARY

Utah Code Annotated, Section 63J-5-201(2) states, “The Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency’s budget for review during each annual general session. Each legislative appropriations subcommittee shall review the federal funds request summary and may recommend that the agency accept the federal funds or participate in the federal program for the fiscal year under consideration or recommend that the agency not accept the federal funds or not participate in the federal program for the fiscal year under consideration.”

Human Services anticipates an increase in federal funds of \$9,149,300 for FY 2013 and total federal funds of \$125,033,700 for FY 2014. Not included here for review and specific authorization are federal Medicaid funds shown in the Department of Human Services (DHS) budget as Transfers – Medicaid. These funds are exempt from this specific review process as specified in UCA 63J-5-103(2)(a). For DHS, federal Medicaid funds are first received by the Department of Health, the designated state agency regarding the Medicaid program, and subsequently transferred to DHS. The DHS FY 2014 budget request includes \$151,695,800 of transfers related to Medicaid. \$146,067,400 of this amount is in the Division of Services for People with Disabilities. Reductions in grant assistance from the federal government are expected over the next several years. The estimate of these federal funds is subject to any subsequent actions taken by the Legislature with regard to reductions in state appropriations.

LEGISLATIVE ACTION

1. The Fiscal Analyst recommends the Subcommittee authorize the Department of Human Services to accept the federal funds for the respective years shown in tables 1 through 13 (pages 1 through 6 of this brief) and direct the Fiscal Analyst to include these federal funds and associated federal programs in the annual appropriations act.

FY 2013 OVERVIEW

The department is requesting increased authorization associated with grants totaling \$9,149,300. \$4,183,100 of the total amount is associated with grants in the Division of Child and Family Services. The Division of Substance Abuse and Mental Health is requesting additional authorization for grants amounting to \$3,673,400. FY 2013 estimated federal funds total \$128,463,200. When combined with estimated transfers related to Medicaid of \$156,976,400 the total federal funds and transfers related to Medicaid make up 47 percent of the DHS FY 2013 budget. The estimate of these federal funds is subject to any subsequent actions taken by the Legislature with regard to additions or reductions in state appropriations.

Federal Funds Request Summary for State FY 2013														
July 1, 2012 through June 30, 2013														
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement							Local/Other	% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
				Matching State Funds										
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match				
HUMAN SERVICES TOTALS State FY 2013				\$128,463,200	\$27,636,608	\$3,192,700	\$0	\$2,367,700	\$116,601	\$46,042,101	\$79,355,710	\$1,314,166	29%	0.00

Table 1

FY 2014 OVERVIEW

The Department of Human Services is requesting authorization associated with federal grants totaling \$125,033,700. Some of the grants shown here are exempt from this authorization process as specified in UCA 63J-5-103(2). The \$125,033,700 is made up of:

1. Division of Child and Family Services requesting authorization for grants totaling \$54,913,800,
2. Division of Substance Abuse and Mental Health requesting authorization for grants totaling \$27,133,400,

3. Office of Recovery Services with one grant totaling \$25,486,600,
4. Division of Aging and Adult Services requesting authorization for grants totaling \$11,230,800,
5. Executive Director Operations requesting authorization for grants totaling \$4,776,100, and
6. Division of Services for People with Disabilities requesting authorization for one grant totaling \$1,493,000.

When combined with estimated transfers related to Medicaid of \$151,695,800, the total federal funds and transfers related to Medicaid make up 47 percent of the DHS FY 2014 budget. The estimate of these federal funds is subject to any subsequent actions taken by the Legislature with regard to additions or reductions in state appropriations.

Federal Funds Request Summary for State FY 2014														
July 1, 2013 through June 30, 2014														
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds						Local/Other				
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort		Total State Match			
HUMAN SERVICES TOTALS State FY 2014				\$125,033,700	\$27,805,348	\$3,192,700	\$0	\$2,367,700	\$116,601	\$46,042,101	\$79,524,450	\$1,330,866	28%	0.00

Table 2

THE FUTURE OF FEDERAL FUNDS OVER THE NEXT TWO YEARS

“The “fiscal cliff,” a series of federal tax increases and spending cuts set to occur in January 2013, looms large in current fiscal policy debates. Discussions about the effect of the \$491 billion in tax increases and spending cuts included in the fiscal cliff have focused on the national budget and economy. But federal and state finances are closely intertwined, and federal tax increases and spending cuts will have consequences for states’ budgets. There is a great deal of uncertainty about whether any or all of the policies in the fiscal cliff will be addressed temporarily or permanently, individually or as a package. . . . The scheduled spending cuts also would have a significant impact on states. Federal grants to the states constitute about one third of total state revenues, and federal spending affects states’ economic activity and thus their amount of tax revenues” ([The Impact of the Fiscal Cliff on the States](#), the Pew Center on the States, November, 2012, pp. 2-3). Sequestration, or the practice of using mandatory spending reductions to balance the federal budget, consists of only \$54 billion of the total \$491 billion referred to as the ‘fiscal cliff’ ([The Impact of the Fiscal Cliff on the States](#), p. 7). In an update, the Pew Center on the States stated, “Congress averted the much-feared “fiscal cliff” late Tuesday (January 1), passing a legislative package that will be signed by President Obama. The deal answered a few burning questions for state governments, but many still linger. . . . By delaying but not addressing the automatic \$1.2 trillion in across-the-board spending cuts the cliff entailed, Congress left states with little clue about how much their share of federal revenue will shrink this year” ([Cliff Deal Answers a Few Questions for States](#), *Stateline – the Daily News Service of the Pew Center on the States*, January 2, 2013).

DEPARTMENT OF HUMAN SERVICES FY 2013 AND FY 2014 FEDERAL FUNDS REQUEST FOR AUTHORIZATION

The following tables show the Department of Human Services federal assistance request for fiscal years 2013 and 2014. The estimate of these federal funds is subject to any subsequent actions taken by the Legislature with regard to reductions or increases in state appropriations.

EXECUTIVE DIRECTOR OPERATIONS:

Supplemental Federal Funds Request Summary for State FY 2013														
July 1, 2012 through June 30, 2013														
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds						Local/Other				
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort		Total State Match			
Executive Director's Office														
1	Local Discretionary Social Security Block Grant	93.667	n/a	\$39,400						\$0			100%	Exempt per 63J-5-103(2)(l). Pass through to local governments.
2	Federal DHS DEV Disability Grants	93.630	n/a	\$237,100						\$0			52%	Pass Through to Non-profit businesses.
3	CASA Volunteers	93.652	n/a	\$62,800	\$0					\$0			88%	Exempt per 63J-5-103(2)(e).
4	Federal DHS IVD Child Support	93.563	n/a	\$4,900									0%	Exempt per 63J-5-103(2)(e).
Division/Program Totals				\$344,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	63%	0.00

Table 3

Federal Funds Request Summary for State FY 2014														
July 1, 2013 through June 30, 2014														
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement							% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds						Local/Other				
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort		Total State Match			
Executive Director's Office														
1	Federal DHS XX Social Services Block	93.667	n/a	\$1,056,800						\$0				Exempt per 63J-5-103(2)(e).
2	Local Discretionary Social Security Block Grant	93.667	n/a	\$1,202,000						\$0			100%	Exempt per 63J-5-103(2)(l). Pass through to local governments.
3	Federal DHS DEV Disability Grants	93.630	n/a	\$786,800						\$0			52%	Pass Through to Non-profit businesses.
4	Federal DHS IVE AFDC Foster Care	93.658	n/a	\$810,500	\$810,500					\$810,500				Exempt per 63J-5-103(2)(e).
5	Federal DHS Title IV-B Child Welfare	93.645	n/a	\$60,000	\$15,000					\$15,000				Exempt per 63J-5-103(2)(e).
6	Federal DHS IVE Adoptions	93.659	n/a	\$8,800	\$8,800					\$8,800				Exempt per 63J-5-103(2)(e).
7	Federal DHS IVD Child Support	93.563	n/a	\$801,800	\$801,800					\$801,800				Exempt per 63J-5-103(2)(e).
8	Substance Abuse Prevention & Treatment	93.959	n/a	\$32,300	\$32,300					\$32,300				Exempt per 63J-5-103(2)(f).
9	Strategic Prevention Framework - State Incentive Grant	93.243	n/a	\$3,600	\$3,600					\$3,600				
10	Federal DHS Progressive Adulthood Grant (PASSAGE)	93.243	n/a	\$3,400	\$3,400					\$3,400				
11	Access To Recovery	93.275	n/a	\$10,100	\$10,100					\$10,100				--
Division/Program Totals				\$4,776,100	\$1,685,500	\$0	\$0	\$0	\$0	\$0	\$1,685,500	\$0	34%	0.00

Table 4

DIVISION OF SUBSTANCE ABUSE AND MENTAL HEALTH:

Supplemental Federal Funds Request Summary for State FY 2013

July 1, 2012 through June 30, 2013

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds							Local/Other				
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match					
Substance Abuse and Mental Health															
1	Mental Health Block Grant	93.958	\$364,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	76%	0.00	Required Maintenance of Effort is made up of existing budget for mental health services. Pass thru to local mental health authorities.
2	MH Transformations (PASSAGE)	93.243	\$44,300	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	72%	0.00	Local Authorities and MH Providers are recipients.
3	Access to Recovery	93.275	\$177,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	80%	0.00	Salt Lake, Weber, Morgan and Utah Counties
4	State Mental Health DIG	93.243	\$8,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	
5	Adult Drug Court Discretion Program	16.585	\$148,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$28,000	\$0	97%	0.00	Local county drug courts and Utah State Court
6	Strategic Prevention Enhancement Grant (SPE)	93.243	\$383,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	60%	0.00	Local Substance Abuse Authorities Revenue source code 3173 was used in budget prep since a code has not been established in FINET at this time.
7	System of Care Expansion Planning Grant	93.104	\$570,500	\$0	\$0	\$0	\$0	\$64,502	\$0	\$64,502	\$127,500	\$0	65%	0.00	Local Mental Health Authorities and private local mental health providers
8	Community Transformation Grant	93.737	\$975,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Local Mental Health and Substance Abuse Authorities. Exempt per 63J-5-103(2)(j)
9	Strategic Prevention Framework (SPF-SIG)	93.243	\$330,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	70%	0.00	Local Substance Abuse Authorities.
10	Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	93.243	\$600,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	97%	0.00	Recipients of SPF-SIG grant. Local Substance Abuse Authorities.
11	Social Services Block Grant	93.667	\$69,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Exempt per 63J-5-103(2)(e)
Division/Program Totals			\$3,673,400	\$0	\$0	\$0	\$0	\$64,502	\$0	\$64,502	\$155,500	\$0	81%	0.00	

Table 5

Federal Funds Request Summary for State FY 2014

July 1, 2013 through June 30, 2014

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
Substance Abuse and Mental Health															
1	Mental Health Block Grant	93.958	\$3,481,600	\$0	\$0	\$0	\$0	\$0	\$0	\$26,781,408	\$26,781,408	\$0	76%	0.00	Required Maintenance of Effort is made up of existing budget for mental health services. Pass thru to local mental health authorities.
2	Substance Abuse Prevention & Treatment	93.959	\$17,045,000	\$0	\$0	\$0	\$0	\$0	\$0	\$18,277,114	\$18,277,114	\$0	91%	0.00	Exempt per 63J-5-103(2)(f).
3	PATH	93.150	\$527,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$175,667	\$0	100%	0.00	Exempt per 63J-5-103(2)(f).
4	MH Transformations (PASSAGE)	93.243	\$480,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	72%	0.00	Local Authorities and MH Providers are recipients.
5	Access to Recovery	93.275	\$3,283,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	80%	0.00	Salt Lake, Weber, Morgan and Utah Counties
6	State Mental Health DIG	93.243	\$132,900	\$0	\$0	\$0	\$0	\$93,100	\$0	\$93,100	\$132,941	\$0	0%	0.00	In-kind provided by Local Authorities
7	Adult Drug Court Discretion Program	16.585	\$246,400	\$0	\$0	\$0	\$0	\$2,000	\$0	\$2,000	\$66,700	\$0	97%	0.00	Local county drug courts and Utah State Court
8	System of Care Expansion Planning Grant	93.104	\$190,200	\$0	\$0	\$0	\$0	\$21,501	\$0	\$21,501	\$42,500	\$0	65%	0.00	Local Mental Health Authorities and private local mental health providers
9	Community Transformation Grant	93.737	\$975,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Local Mental Health and Substance Abuse Authorities. Exempt per 63J-5-103(2)(f).
10	Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	93.243	\$600,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	97%	0.00	Recipients of SPF-SIG grant. Local Substance Abuse Authorities.
11	Social Services Block Grant	93.667	\$171,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Exempt per 63J-5-103(2)(e)
Division/Program Totals			\$27,133,400	\$0	\$0	\$0	\$0	\$116,601	\$45,058,522	\$45,175,123	\$417,808	87%	0.00		

Table 6

DIVISION OF SERVICES FOR PEOPLE WITH DISABILITIES:

Supplemental Federal Funds Request Summary for State FY 2013

July 1, 2012 through June 30, 2013

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
Services for People with Disabilities															
1	Title XX Social Services Block Grant	93.667	\$75,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Exempt per 63J-5-103(2)(e).
Division/Program Totals			\$75,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

Table 7

Federal Funds Request Summary for State FY 2014

July 1, 2013 through June 30, 2014

Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
Services for People with Disabilities															
1	Title XX Social Services Block Grant	93.667	\$1,493,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	Exempt per 63J-5-103(2)(e).
Division/Program Totals			\$1,493,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	0.00	

Table 8

OFFICE OF RECOVERY SERVICES:

Federal Funds Request Summary for State FY 2014														
July 1, 2013 through June 30, 2014														
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
				Matching State Funds				Local/Other						
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Office of Recovery Services														
1	Child Support Enforcement IV-D	93.563		\$25,486,600	\$12,535,400	\$3,192,700		\$2,367,700			\$18,095,800			Exempt per 63J-5-103(2)(e)
Division/Program Totals				\$25,486,600	\$12,535,400	\$3,192,700	\$0	\$2,367,700	\$0	\$0	\$18,095,800	\$0	0%	0.00

Table 9

DIVISION OF CHILD AND FAMILY SERVICES:

Supplemental Federal Funds Request Summary for State FY 2013														
July 1, 2012 through June 30, 2013														
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
				Matching State Funds				Local/Other						
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Child and Family Services														
1	Title IV-E AFDC Foster Care	93.658		\$189,700	\$83,500					\$83,500				Exempt per 63J-5-103(2)(e).
2	Title XX SSBG	93.667		\$2,653,000						\$0				Exempt per 63J-5-103(2)(e).
3	Title IV-B Child Welfare Services	93.645		\$186,700	\$62,200					\$62,200				Exempt per 63J-5-103(2)(e). 25% State Match required.
4	Promoting Safe and Stable Families	93.556		\$41,400	\$13,800					\$13,800				Exempt per 63J-5-103(2)(e). 25% State Match required.
5	Family Violence Prevention Services Act	93.671		\$500						\$0				No State Match required
6	Independent Living Program	93.674		\$124,400	\$31,100					\$31,100				Exempt per 63J-5-103(2)(e); 20% State Match required; MOE of \$175,000 Gen. Fund.
7	Adoption Incentive Grant	93.603		\$593,300						\$0				Exempt per 63J-5-103(2)(e).
8	Child Abuse Prevention Treatment Act	93.669		\$354,600						\$0				No State Match required
9	Education & Training Voucher	93.599		\$39,500	\$9,875					\$9,875				Exempt per 63J-5-103(2)(e). 20% State Match required.
Division/Program Totals				\$4,183,100	\$200,475	\$0	\$0	\$0	\$0	\$0	\$200,475	\$0	0%	0.00

Table 10

Federal Funds Request Summary for State FY 2014														
July 1, 2013 through June 30, 2014														
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements
				Matching State Funds				Local/Other						
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match				
Child and Family Services														
1	Title IV-E AFDC Foster Care	93.658		\$20,335,300	\$8,947,532					\$8,947,532				Exempt per 63J-5-103(2)(e).
2	Title XX SSBG	93.667		\$10,303,800						\$0				Exempt per 63J-5-103(2)(e).
3	Title IV-E AFDC Adoption Assistance	93.659		\$7,462,700	\$2,462,691					\$2,462,691				Exempt per 63J-5-103(2)(e).
4	Title XX TANF	93.667		\$7,607,000						\$0				Exempt per 63J-5-103(2)(d) & (e).
5	Title IV-B Child Welfare Services	93.645		\$3,461,700	\$1,153,900					\$1,153,900				Exempt per 63J-5-103(2)(e). 25% State Match required.
6	Promoting Safe and Stable Families	93.556		\$1,824,000	\$608,000					\$608,000				Exempt per 63J-5-103(2)(e). 25% State Match required.
7	Family Violence Prevention Services Act	93.671		\$1,130,000						\$0				No State Match required
8	Independent Living Program	93.674		\$871,300	\$217,825				\$175,000	\$392,825				Exempt per 63J-5-103(2)(e); 20% State Match required; MOE of \$175,000 Gen. Fund.
9	Community Based Child Abuse Prevention	93.590		\$343,000	\$85,750					\$85,750				20% State Match required
10	Adoption Incentive Grant	93.603		\$624,000						\$0				Exempt per 63J-5-103(2)(e).
11	Child Abuse Prevention Treatment Act	93.669		\$552,200						\$0				No State Match required
12	Education & Training Voucher	93.599		\$290,200	\$72,550					\$72,550				Exempt per 63J-5-103(2)(e). 20% State Match required.
13	Promoting Safe and Stable Families - Caseworker Visits	93.556		\$108,600	\$36,200					\$36,200				Exempt per 63J-5-103(2)(e). 25% State Match required.
Division/Program Totals				\$54,913,800	\$13,584,448	\$0	\$0	\$0	\$175,000	\$13,759,448	\$0	0%	0.00	

Table 11

DIVISION OF AGING AND ADULT SERVICES:

Supplemental Federal Funds Request Summary for State FY 2013															
July 1, 2012 through June 30, 2013															
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
Aging and Adult Services															
1	Social Services Block Grant	93.667		\$31,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)e
2	Title III B Support Services	93.044		\$253,500	\$0	\$0	\$0	\$0	\$0	\$20,064	\$20,064	\$26,927	94%	0.00	--
3	Title III C-2 Home Delivered Meals	93.045		\$136,600	\$0	\$0	\$0	\$0	\$0	\$32,829	\$32,829	\$7,728	93%	0.00	--
4	Title V Senior Community Service Employment Program	17.235		\$3,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$5,598	93%	0.00	--
5	Title VII Elder Abuse Prevention	93.041		\$17,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	28%	0.00	--
6	Title VII Ombudsman Services	93.042		\$12,300	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	78%	0.00	--
7	Nutrition Services Incentive Program	93.053		\$62,300	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)
8	State Health Insurance Information Program	93.779		\$83,100	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	65%	0.00	--
9	Medicare Improvement for Patients & Providers Act	93.071		\$7,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	84%	0.00	--
10	Senior Medicare Patrol	93.048		\$158,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$41,522	83%	0.00	--
11	Senior Medicare Patrol Capacity Building	93.048		\$24,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)
12	Lifespan Respite Care	93.072		\$81,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$561	100%	0.00	Exempt per 63J-5-103(2)
Division/Program Totals				\$872,800	\$0	\$0	\$0	\$0	\$0	\$52,893	\$52,893	\$82,336	89%	0.00	

Table 12

Federal Funds Request Summary for State FY 2014															
July 1, 2013 through June 30, 2014															
Grant Title	CFDA Number	ARRA mark "X"	Federal Annual Award	Annual Match Requirement								% Pass-Through	New Permanent Staff	Notes/In Kind Source/Fed Requirements	
				Matching State Funds											
				General Fund	Dedicated Credits	Restricted Funds	Other Funds	In Kind	Maint. Of Effort	Total State Match	Local/Other				
Aging and Adult Services															
1	Social Services Block Grant	93.667		\$1,106,100	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)e
2	Title III B Support Services	93.044		\$1,893,500	\$0	\$0	\$0	\$0	\$0	\$151,663	\$151,663	\$230,152	94%	0.00	--
3	Title III C-1 Congregate Meals	93.045		\$2,535,500	\$0	\$0	\$0	\$0	\$0	\$266,313	\$266,313	\$273,587	85%	0.00	--
4	Title III C-2 Home Delivered Meals	93.045		\$1,241,000	\$0	\$0	\$0	\$0	\$0	\$102,637	\$102,637	\$147,356	93%	0.00	--
5	Title III D Preventive Health	93.043		\$129,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)
6	Title III E National Family Caregiver Support	93.052		\$910,000	\$0	\$0	\$0	\$0	\$0	\$287,966	\$287,966	\$114,298	100%	0.00	Exempt per 63J-5-103(2)
7	Title V Senior Community Service Employment Program	17.235		\$593,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$61,318	93%	0.00	--
8	Title VII Elder Abuse Prevention	93.041		\$47,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	10%	0.00	--
9	Title VII Ombudsman Services	93.042		\$109,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	78%	0.00	--
10	Nutrition Services Incentive Program	93.053		\$1,756,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)
11	State Health Insurance Information Program	93.779		\$380,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	65%	0.00	--
12	Senior Medicare Patrol	93.048		\$237,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$59,385	83%	0.00	--
13	Alzheimer's NYUCL	93.051		\$150,100	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	100%	0.00	Exempt per 63J-5-103(2)
15	Alzheimer's CCC	93.051		\$50,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,370	100%	0.00	Exempt per 63J-5-103(2)
16	Lifespan Respite Care	93.072		\$88,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$25,592	100%	0.00	Exempt per 63J-5-103(2)
Division/Program Totals				\$11,230,800	\$0	\$0	\$0	\$0	\$0	\$808,579	\$808,579	\$913,058	92%	0.00	

Table 13

APPENDIX – BRIEF DESCRIPTION OF FEDERAL FUNDS

Executive Director Operations (see other division sections for further description of grants):

- Social Services Block Grant – 93.667 - \$1,056,800 for FY14 – exempt per 63J-5-103(2)(e) – support for grant.
- Local Discretionary Social Services Block Grant – 93.667 - \$39,400 for FY13 and \$1,202,000 for FY14 – exempt per 63J-5-103(2)(l) – a portion of the Social Services Block Grant funds is allocated to local governments for support and delivery of social services.

- Casa Volunteers Grant – 93.652 - \$62,800 for FY13 – exempt per 63J-5-103(2)(e) – funds used to enable Court Appointed Special Advocate (CASA) volunteers to serve as educational advocates, facilitate improved educational outcomes, and act as role models for children, age 10-17, residing in Utah foster care.
- Federal DHS DEV Disability Grants – 93.630 - \$237,100 for FY13 \$786,800 for FY14 – funds the goals and objectives of the Utah Developmental Disabilities Council. A portion is passed through in the form of grants.
- Federal DHS IVE AFDC Foster Care – 93.658 - \$810,500 for FY14 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal DHS Title IV-B Child Welfare – 93.645 - \$60,000 for FY14 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal DHS IV-E Adoptions – 93.659 - \$8,800 for FY14 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal DHS IV-D Child Support – 93.563 - \$4,900 in FY13 and \$801,800 for FY14 - exempt per 63J-5-103(2)(e) – support for grant.
- Federal Substance Abuse Prevention and Treatment – 93.959 - \$32,300 for FY14 - exempt per 63J-5-103(2)(f) support for grant.
- Strategic Prevention Framework – State Incentive Grant – 93.243 - \$3,600 for FY14 – support for grant.
- Federal DHS Progressive Adulthood Grant (PASSAGE) – 93.243 - \$3,400 for FY14 – support for grant.
- Access to Recovery – 93.275 - \$10,100 for FY14 – support for grant.

Substance Abuse and Mental Health:

- Strategic Prevention Enhancement Grant (SPE) – 93.243 - \$383,500 for FY13 – received by DHS September of 2011. The grant strengthens and extends implementation of the Strategic Prevention Framework – State Incentive Grant (SPF-SIG) listed below. This grant helps implement data-driven, evidence-based prevention programs, policies, and practices through more strategically aligned substance abuse infrastructures.
- Mental Health Block Grant – 93.958 - \$364,700 for FY13 and \$3,481,600 for FY14 – the purpose of the Mental Health Block Grant is to provide flexible funds to states and territories by formula to support community mental health services for adults with serious mental illness and children with serious emotional disturbance.
- Access to Recovery – 93.275 - \$177,400 for FY13 and \$3,283,600 for FY14 – received by DHS September 2010, Access to Recovery establishes a grantee-run voucher program for clinical substance abuse treatment and recovery support services built on the principles of consumer choice, success measured by outcomes, and increased capacity. The program is operating in Salt Lake, Weber, Morgan, and Utah Counties.
- Adult Drug Court Discretionary Program – 16.585 - \$148,800 for FY13 and \$246,400 for FY14 – received by DHS October 2010, Adult Drug Court Discretionary funds are intended to increase Drug Court participation and enhance the provision of services to Drug Court participants.
- Substance Abuse Prevention and Treatment – 93.959 - \$17,045,000 for FY 2014 - exempt per 63J-5-103(2)(f) – funding from this grant supplements the General Fund appropriations for substance abuse services in the state. This federal grant requires that the state, through the local substance abuse authorities, provide a continuum of the following services: 1) detoxification, 2) prevention services, 3) outpatient services, 4) intensive outpatient services, and 5) residential treatment programs.
- PATH – 93.150 - \$527,000 for FY 2014 - exempt per 63J-5-103(2)(l) – the PATH grant is a formula grant to support service delivery to individuals with serious mental illnesses, as well as individuals with co-occurring substance use disorders, who are homeless or at risk of becoming home-less.
- MH Transformations (PASSAGE) – 93.243 - \$44,300 for FY13 and \$480,000 for FY14 – a SAMHSA grant awarded to DSAMH on September 30, 2009 for the development of transitional services for young adults with serious emotional disturbance in two of Utah's most rural counties - San Juan and Tooele. The grant funds creation of developmentally-appropriate and effective youth-guided local systems of care to improve outcomes for youth and young adults with

serious mental health conditions in areas such as education, employment, housing, mental health, co-occurring disorders, and decrease contacts with the juvenile and criminal justice system.

- State Mental Health DIG – 93.243 - \$8,900 for FY13 and \$132,900 for FY 2014 – through the Data Infrastructure Grant (DIG), DHS collects and reports client level data for five Mental Health Block Grant National Outcome Measures (NOMS). These NOMS are Employment/School Attendance, Stability in Housing, Criminal Justice Involvement, Readmission to State Hospital, and Access/Capacity.
- Social Services Block Grant – 93.667 - \$69,700 for FY13 and \$171,700 for FY14 – exempt per 63J-5-103(2)(e) – funds for support and delivery of social services.
- System of Care Expansion Planning Grant – 93.104 - \$570,500 for FY13 and \$190,200 for FY 2014 – purpose is to develop a comprehensive strategic plan for improving and expanding services provided by systems of care (SOC) for children and youth with serious emotional disturbances and their families.
- Community Transformation Grant – 93.737 - \$975,000 for FY13 and \$975,000 for FY 2014 – to implement a new, community-based project which will support the improvement of physical and emotional health, prevent chronic conditions and promote wellness in communities. The project is meant to inform patients and primary care and behavioral health providers as they develop treatment goals that address the ‘whole person’ and promote prevention through resiliency.
- Strategic Prevention Framework – State Implementation Grant (SPF-SIG) – 93.243 - \$330,600 for FY13 – implements data-driven, evidence-based prevention programs, policies, and practices through more strategically aligned substance abuse infrastructures.
- Strategic Prevention Framework Partnerships for Success II (SPF-PFS II) – 93.243 - \$600,000 for FY13 and \$600,000 for FY14 – exempt per 63J-5-103(2)(e) – is designed to address two of the nation's top substance abuse prevention priorities: 1) underage drinking among persons aged 12 to 20; and 2) prescription drug misuse and abuse among persons aged 12 to 25.

Services for People with Disabilities:

- Social Services Block Grant – 93.667 - \$75,800 for FY13 and \$1,493,000 for FY14 – exempt per 63J-5-103(2)(e) – funds for support and delivery of social services.

Office of Recovery Services:

- Child Support Enforcement – 93.563 - \$25,486,600 for FY14 – exempt per 63J-5-103(2)(e) – this Grant is for the purpose of enforcing the support obligations owed by noncustodial parents to their children and the spouse (or former spouse) with whom such children are living, locating noncustodial parents, establishing paternity, obtaining child and spousal support, and assuring that assistance in obtaining support will be available under this part to all children (whether or not eligible for assistance under a State program funded under part A of the Social Security Act). It is also intended to avoid or offset costs incurred by the State and the federal government for the care of children.

Child and Family Services:

- Title IV-B of the Social Security Act - Child Welfare Services – 93.645 - \$186,700 for FY13 and \$3,461,700 for FY14 – exempt per 63J-5-103(2)(e) – provides funding for general child welfare services, such as: (a) protecting and promoting the welfare of children; (b) preventing the abuse, neglect, or exploitation of children; (c) supporting at-risk families through services that allow children to remain with their families or return to their families in a timely manner; (d) promoting the safety, permanence, and well-being of children in foster care and adoptive families; and (e) providing training, professional development, and support to ensure a well-qualified workforce.
- Title IV-E of the Social Security Act - Foster Care – 93.658 - \$189,700 for FY13 and \$20,335,300 for FY14 – exempt per 63J-5-103(2)(e) – provides funding for (1) foster care maintenance to provide safe and stable out-of-home care for eligible children under the jurisdiction of the state child welfare agency until the children are returned home safely, placed with adoptive families, or placed in other planned arrangements for permanency; (2) training for public agency staff, foster parents and certain private agency staff; and (3) administrative costs to manage the program.

- Title IV-E of the Social Security Act – AFDC Adoption Assistance – 93.659 - \$7,462,700 for FY14 – exempt per 63J-5-103(2)(e) – provides funding for (1) adoption subsidy costs for eligible children with special needs, primarily children adopted from foster care; (2) training for adoptive parents and public agency staff; and (3) administrative costs to manage the program.
- Promoting Safe and Stable Families – 93.556 - \$41,400 for FY13 and \$1,824,000 for FY14 – exempt per 63J-5-103(2)(e) (authorized through Title IV-B, Part II, of the federal Social Security Act) – provides funding for community-based family support programs, family preservation, time-limited family reunification activities, and adoption promotion and support services.
- Promoting Safe and Stable Families – Caseworker Visits – 93.556 - \$108,600 for FY14 – exempt per 63J-5-103(2)(e) – provides funding to support monthly caseworker visits to children in foster care, with an emphasis on improving caseworker decision-making on safety, permanency, and well-being of foster children and on activities designed to increase retention, recruitment, and training of caseworkers.
- Family Violence Prevention Services Act – 93.671 - \$500 for FY13 and \$1,130,000 for FY14 – provides funding for shelter and supportive services for victims of domestic violence and their dependents and for public awareness about domestic violence, including funding a statewide domestic violence hotline.
- Independent Living Program – 93.674 - \$124,400 for FY13 and \$871,300 for FY14 – exempt per 63J-5-103(2)(e) – provides funding to help prepare older youth in foster care to transition to living successfully as an adult, and includes a small percentage of funding to support youth who have left foster care up to age 21 with one-time or time-limited resources to support them in successfully living on their own.
- Community Based Child Abuse Prevention – 93.669 - \$354,600 for FY13 and \$343,000 for FY14 – provides funding to reduce child abuse and neglect by providing leadership in statewide prevention efforts and supporting community-based child abuse prevention and family support programs.
- Adoption Incentive Grant – 93.603 - \$593,300 for FY13 and \$624,000 for FY14 – exempt per 63J-5-103(2)(e) – provides funding to qualified states that increased the number of foster child adoptions, special needs adoptions, and older child adoptions in a given year to support adoption of children who cannot safely return home from foster care and to strengthen state child welfare systems. Grant funds may be used for child welfare services that are allowable under Titles IV-E and IV-B of the Social Security Act. Adoption Incentive Grant Funds are provided to states that have qualified for funding due to an increase in the number of children from foster care, special needs adoptions, or adoptions of older children. These funds are provided to states that are performing well as a means to help strengthen their child welfare systems. Grant funds may be used for child welfare services and administrative functions that are allowable under Titles IV-E and IV-B of the Social Security Act. Since the amount of funding is not predictable, the grant is generally not used to fund large, ongoing program activities. DCFS currently plans to use these funds to strengthen in-home programs and services, support efforts that will reduce the number of children in foster care, provided post-adoption support for children with extreme needs, provide training on child welfare topics, provide technology resources for staff as recommended by legislative auditors, and provide additional resources for modernization of SAFE (the DCFS child welfare information system).
- Child Abuse Prevention Treatment Act – 93.590 - \$552,200 for FY14 – provides funding for support and improvement of the state’s child protective services system.
- Education and Training Voucher – 93.599 - \$39,500 for FY13 and \$290,200 for FY14 – exempt per 63J-5-103(2)(e) – provides financial support for post-secondary education and training for youth who have aged out of foster care at age 18 or older or who are adopted at age 16 or older.
- Social Services Block Grant - Title XX of the Social Security Act – 93.667 - \$2,653,000 for FY13 and \$10,303,800 for FY14 – exempt per 63J-5-103(2)(e) – funds for support and delivery of social services.
- TANF Transfer to Social Services Block Grant - 93.667 - \$7,607,000 for FY14 – exempt per 63J-5-103(2)(e) – Transfer of Temporary Assistance for Needy Families (TANF) funds to the Social Services Block Grant -- funds for support and delivery of social services.

Aging and Adult Services:

- Social Services Block Grant - Title XX of the Social Security Act – 93.667 - \$31,500 for FY13 and \$1,106,100 for FY14 – exempt per 63J-5-103(2)(e/l) – funds for support and delivery of social services.
- Title III B – Support Services – 93.044 - \$253,500 for FY13 and \$1,893,500 for FY14 – this grant provides funding for Older Americans Act supportive services. This includes access (transportation, escort, information & assistance, and outreach), legal, and in-home services (including homemaker, personal care, chore maintenance, telephoning, and visiting).
- Title III C-1 – Congregate Meals – 93.045 - \$2,535,500 for FY14 – this grant provides funding for expenses incurred to prepare and provide congregate meals to seniors.
- Title III C-2 – Home Delivered Meals – 93.045 - \$136,600 for FY13 and \$1,241,000 for FY14 – this grant provides funding for expenses incurred in preparing and providing (including transportation) home delivered meals to seniors.
- Title III D – Preventive Health – 93.043 - \$129,800 for FY14 - exempt per 63J-5-103(2)(l) – this grant provides funding for disease prevention and health promotion services such as assessment/screening, education/training, counseling, and physical activity.
- Title III E – National Family Caregiver Support – 93.052 - \$910,000 for FY14 – this grant provides services for caregivers or care receivers who are suffering chronic long-term illnesses or conditions where the intensity of such care giving creates stress and other informal relief is not sufficient.
- Title V – Senior Community Service Employment Program (SCSEP) – 17.235 - \$3,000 for FY13 and \$593,700 for FY14 - this grant reimburses salary and fringe benefits of individuals 55 years of age and older in a subsidized employment position with training opportunities. SCSEP fosters useful community service through part-time work-based training opportunities for unemployed low-income persons who are 55 years of age or older who have poor employment prospects by assisting them to transition to unsubsidized employment. The program is designed to help participants: 1) develop a sense of personal and occupational identity including defining realistic employment goals, 2) develop sufficient job related knowledge and skills so that they will not be confined to one job but can transfer to another as opportunities for advancement arise, 3) develop personal and social skills needed for successful job performance, and 4) accept and utilize supervision needed for successful job performance.
- Title VII – Elder Abuse Prevention – 93.041 - \$17,800 for FY13 and \$47,800 for FY14 - this grant provides for prevention of abuse, neglect and exploitation of older individuals. Services can include advocacy/representation, education/training, supervision, telephoning, visiting, transportation, etc.
- Title VII – Ombudsman Services – 93.042 - \$12,300 for FY13 and \$109,800 for FY14 - this grant provides funding for costs of staff or volunteers who are trained and certified to serve as an advocate and handle complaints from residents in long-term care facilities.
- Nutrition Services Incentive Program – 93.053 - \$62,300 for FY13 and \$1,756,900 for FY14 - exempt per 63J-5-103(2)(l) – cash-in-lieu funds, used to pay for raw foods to prepare congregate and home delivered meals.
- State Health Insurance Information Program – 93.779 - \$83,100 for FY13 and \$380,500 for FY14 - this grant is to assist Medicare beneficiaries with their questions and problems concerning Medicare Parts A & B.
- Medicare Improvement for Patients & Providers Act – 93.071 - \$7,900 for FY13 – this grant provides funding to provide Medicare enrollment assistance.
- Senior Medicare Patrol – 93.048 - \$158,800 for FY13 and \$237,900 for FY14 – this grant provides funding to educate beneficiaries and older consumers in their communities on how to prevent, detect, and report health care fraud, error, and abuse.
- Senior Medicare Patrol One-Time Capacity Building – 93.048 - \$24,200 for FY13 - this grant provides funding to expand the volunteer network with the Senior Medicare Patrol program.

- Alzheimer’s NYUCI – 93.051 - \$150,100 for FY14 – exempt per 63J-5-103(2)(l) – this grant provides funding to implement evidence based programming based on accepted models for support services (replicate the tools and strategies of the New York Caregiver Intervention).
- Alzheimer’s CCC – 93.051 - \$50,000 for FY14 – exempt per 63J-5-103(2)(l) – this grant provides funding to implement evidence based programming in rural areas to better serve rural seniors with Alzheimer's disease and the caregivers who support them.
- Lifespan Respite Care – 93.072 - \$81,800 for FY13 and \$88,200 for FY14 – exempt per 63J-5-103(2)(l) – this grant provides funding to improve access to information and lifespan respite.