

State of Utah
Federal Receipts Reporting
and
Plan of Potential 5 % and 25 %
Federal Receipts Reductions
For State Fiscal Year 2012



To: The Executive Appropriations Committee

November 30, 2012

Prepared by: Department of Administrative Services, Division of Finance



Department of Human Services
Division: DSAMH
Access to Recovery

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.275
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$ 2,538,417
Number of FTEs	4.00
Recipients/Clients Served	2,619
Describe Recipients/Clients Served	Individuals who reside in Salt Lake, Utah or Weber County, have an identified substance use disorder and are: 1) National Guard Members and their families or significant other; 2) Referred from primary health care providers, or other health care entity; 3) Referred from faith-based organizations or; 4) Self-referred; 5) Shall not be subject to a court order or condition of probation that dictates a specific treatment or recovery support service; and 6) Do not have the ability to pay for services.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$126,921)	(\$634,604)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		
Other Fund:		
Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$126,921)	(\$634,604)

FTEs	0.00	0.00
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	None.
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.	
5 %	This program provides vouchers which clients can use to pay for substance abuse treatment services. Number of vouchers distributed would depend on funding reduction. No change in statute or rule needed.
25 %	See above

What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	The three Local Authorities operating the program would receive decreased funding. They would likely provide services to fewer clients. Expenditures would be reduced by the amount of the funding lost.
25 %	See above

Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
5 %	No.
25 %	No.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Divisions: DCFS, EDO
Adoption Assistance Title IV-E

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.659
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$7,351,211
Number of FTEs	14.79
Recipients/Clients Served	3,198
Describe Recipients/Clients Served	Clients are children with special needs who are adopted from foster care or who are recipients of Supplemental Security Income (SSI) due to disability who are adopted.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$367,561)	(\$1,837,803)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$367,561)	(\$1,837,803)

FTEs	-0.62	-3.10
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<p>Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)</p>	<p>Title IV-E requires state match as follows: *Adoption Assistance Payments – FMAP Rate *Adoption Assistance Administration – 50% *Enhanced Training Match – 75% *Short Term Partner Training FFY 11 – 65% Social Security Act Part E, Section 474; 45 CFR 1356.60 The State is also required to meet MOE requirements pertaining to qualifying new IV-E adoptions under the Fostering Connections to Success and Increasing Adoptions Act of 2008, Social Security Act Subsection 473(a)(8).</p>
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.	
5 %	Reduction would impact funding for monthly subsidies to help families meet the needs of adoptive children with special needs. Reduction would also impact on one-time reimbursement for expenses associated with the adoption process. Change in statute or rules may be necessary if subsidies are reduced or eliminated.
25 %	Reduction would significantly impact funding for monthly subsidies to help families meet the needs of adoptive children with special needs. Reduction would also have a serious impact on one-time reimbursement for expenses associated with the adoption process. Change in statute or rules may be necessary if subsidies are reduced or

	eliminated.
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What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	Children with special needs would receive fewer services and may remain longer in foster care. Compliance with federal requirements could be degraded. Request for replacement State funds is an option.
25 %	See above.

Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
5 %	The State would be mandated to continue to provide adoption assistance to children that meet Title IV-E eligibility requirements. Other resources are not available to meet these needs for adoptive children.
25 %	See above.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Division: DSAMH

Block Grants for Community Mental Health Services

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.958
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$ 3,669,428
Number of FTEs	2.08
Recipients/Clients Served	44,827
Describe Recipients/Clients Served	Adults with Serious and Persistent Mental Illness (SPMI) and Seriously Emotionally Disturbed (SED) children.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$183,471)	(\$917,357)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$183,471)	(\$917,357)

FTEs	-08	-38
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<p>Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)</p>	<p>Maintenance of effort is required by the grant. It is calculated on a 2-year rolling average. A dollar for dollar reduction in federal funds is assessed for failure to meet the MOE requirement. The penalty is assessed the year following MOE failure. The MOE requirement for FY 2012 was \$26,803,940. The current MOE requirement for FY 2013 is approximately \$26,781,400. Section 1915(b) of the Public Health Service Act.</p>
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.	
5 %	Mental Health programs in the community would be decreased. Expenditures would be reduced by the amount of the funding decrease. No change would be required to Statute or Rule.
25 %	See above.

What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	Local Mental Health Authorities would likely provide fewer services and could experience some staff reductions. Request for replacement State funds is an option.
25 %	Local Mental Health Authorities would see staff reductions and a significant reduction of services and clients served. Request for replacement State funds is an option.

Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
5 %	No.
25 %	No.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Divisions: DCFS, EDO

Child Welfare Title IV-B Subpart 1

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.645
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$3,390,000
Number of FTEs	52.6
Recipients/Clients Served	17,805
Describe Recipients/Clients Served	This funding supports child welfare services for adults and children for which child abuse or neglect is a risk or a concern, in a variety of programs including, but not limited to, child protective services, in-home services, support services for children in foster care, adoption, and child abuse prevention.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$169,500)	(\$847,500)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$169,500)	(\$847,500)

FTEs	-2.63	-13.15
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	State match is required at 25%. Social Security Act Title IV-B Subpart 1, Section 424.
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.	
5 %	This funding supports child welfare services. Reduction of this funding would impact child welfare services provided statewide, to an extent. The reduction would not require a change in statute or rules, but would lessen our capacity to fulfill our statutory obligation for child welfare.
25 %	This funding supports child welfare services. Reduction of this funding would impact child welfare services provided statewide, to an extent. The reduction may require a change in statute or rules.

What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	Fewer staff resulting in increasing caseloads and lower capacity for effective services. Request for replacement State funds is an option.
25 %	See above.

Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
5 %	Yes, the State would continue to be obligated to provide core child welfare services including child protective services investigation, in-home services (pre-placement prevention activities to prevent entry into foster care), and supports for children in foster care, and adoption services. Other resources are limited.
25 %	Yes, the State would continue to be obligated to provide core child welfare services including child protective services investigation, in-home services (pre-placement prevention activities to prevent entry into foster care), and supports for children in foster care, and adoption services. Other resources would not have the capacity to fill in the gap in resources for a cut this severe.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Division: DCFS

Family Violence Prevention and Services

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012

—Does not include ARRA—

CFDA numbers that comprise this program	93.671
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$1,129,518
Number of FTEs	0.49
Recipients/Clients Served	3,260
Describe Recipients/Clients Served	Adults and children who are victims of domestic violence receiving services through domestic violence shelters

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$56,476)	(\$282,380)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$56,476)	(\$282,380)

FTEs	0	-0.49
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	None
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.	
5 %	Reduction would impact funding for domestic violence shelter services in thirteen communities in Utah. This reduction would not require a change in statute or rules.
25 %	See above.

What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	Domestic violence shelters could see some decreased ability to serve adults and children seeking safety and services. Request for replacement State funds is an option.

25 %	Domestic violence shelters would have a significant decreased capacity. A decision would likely have to be made to either close some shelters or seriously underfund them all. Request for replacement State funds is an option.
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Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
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5 %	The State would be obligated to continue to meet Federal grant requirements for remaining funds, in accordance with Federal law and rules. Federal services would not be mandated beyond funding capacity.
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25 %	See above.
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GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Divisions: DCFS, JJS, EDO
Foster Care Title IV-E

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.658
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$22,806,662
Number of FTEs	165.58
Recipients/Clients Served	1,708
Describe Recipients/Clients Served	Clients are children in foster care that qualify for Title IV-E. These children are legal wards of the State.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$1,140,333)	(\$5,701,666)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$1,140,333)	(\$5,701,666)

FTEs	-7.98	-39.89
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<p>Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)</p>	<p>Title IV-E requires state match as follows: *Foster Care Maintenance – FMAP Rate *Foster Care Administration – 50% *Enhanced Training Match – 75% *Short Term Partner Training FFY 12 – 70% Social Security Act Part E, 474; 45 CFR 1356.60</p>
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.

5 %	<p>Loss of funding in this area impacts support and services for foster care. See below for further information from DCFS and JJS: DCFS: Reduction would impact funding to pay for room, board, and supervision of children in foster care, which are payments made to foster parents, small businesses that provide proctor or residential care, and local governments that provide shelter services; caseworkers (personnel) for children in foster care; administration of the foster care program; services to prevent entry into foster care; and training for staff, for individuals preparing for employment with DCFS, and for partner agencies. The reduction would not require a change in statute or rules, per se, but the reduction does NOT reduce the number of clients that will need foster care services nor does it eliminate DCFS responsibility to care for the children in custody, which is a statutory responsibility (62A-4a-</p>
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	<p>105). NOTE: Title IV-E is a Federal entitlement program, which means that the state may be reimbursed for all allowable costs on behalf of eligible children with no cap on funding. A major change in Federal law would be required to modify reimbursement to the State under this entitlement program.</p> <p>JJS: Community based services and case management – Title IV-E helps pay the room and board of eligible clients and the administrative costs associated with those clients, including case management. Most youth in the temporary custody of JJS receive community-based services that serve as a platform/base for other services that delinquent youth receive. The community-based services are delivered primarily through a network of private providers (as pass through expenditures)—the same providers also deliver other services to youth, such as mental health assessments, therapy, special programming for sex offenders, tracking, etc. Because the community-based services qualify as a Title IV-E foster care setting, JJS receives Title IV-E funding for eligible clients placed in those settings. A reduction in federal participation does not result in the elimination of the need for community-based services for delinquent youth. A reduction in Title IV-E would not require a change in statute.</p>
25 %	<p>See above. Additional information from DCFS follows:</p> <p>DCFS: A cut in funding of this magnitude may require a change in statute to narrow the population that could be ordered into DCFS custody by the courts. Without a statutory change, the funding reduction would not reduce the number of clients that will need foster care services nor would it eliminate DCFS responsibility to care for the children in custody (62A-4a-105). NOTE: Title IV-E is a Federal entitlement program, which means that the state may be reimbursed for all allowable costs on behalf of eligible children with no cap on funding. A major change in Federal law would be required to modify reimbursement to the State under this entitlement program.</p>

<p>What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?</p>	
5 %	<p>JJS would experience a reduction of community-based bed days (1,000) resulting in increased pressure on more expensive and restrictive institutional placements. Request for replacement State funds is an option.</p> <p>DCFS would see some reduced ability to provide basic care and supervision and clients’ personal needs. Also impacted would be administrative and accountability functions, recruitment and retention of foster parents, and possibly some local agencies going out of business.</p>
25 %	<p>JJS would experience a reduction of community-based bed days (5,000) resulting in great pressure on more expensive and restrictive institutional placements. Request for replacement State funds is an option.</p> <p>DCFS would see a significant reduced ability to provide basic care and supervision and clients’ personal needs. Also impacted would be administrative and accountability functions, recruitment and retention of foster parents, and some local agencies going out of business</p>

<p>Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?</p>	
5 %	<p>JJS: The state would still be required to identify those clients who are Title IV-E eligible (in order to continue to receive the remaining 95% of federal funds). Although unlikely, other short-term federal grants that may be available to address the Title IV-E loss impact. Otherwise, there are not any other resources available to meet these needs.</p> <p>DCFS: Yes, the state is obligated to provide foster care services and to complete administrative activities necessary to administer the Title IV-E plan. State general funds currently provide for these services for children that do not meet Title IV-E eligibility requirements. Other resources are not available to meet the needs currently funded by Title IV-E.</p>
25 %	<p>See above.</p>

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Division: DCFS

Promoting Safe and Stable Families Title IV-B Subpart 2

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.556
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$1,932,573
Number of FTEs	11.03
Recipients/Clients Served	10,171
Describe Recipients/Clients Served	Children and families in which there is risk for child abuse and neglect, children returning home from foster care and their parents, and children adopted from foster care or from other child welfare services and their adoptive parents.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$96,629)	(\$483,143)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$96,629)	(\$483,143)

FTEs	-0.55	-2.76
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	State match is required at 25%. Social Security Act Title IV-B Subpart 2, particularly Section 434.
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.	
5 %	Reduction would impact a variety of services that are available for target clients, such as family support services/parenting skills training, family preservation/intensive in-home services, reunification services/mental health or substance abuse treatment to parents of foster children, and adoption support/post-adoption support services to parents of adoptive children with serious disabilities, mental health problems, or other special needs, or capacity for caseworkers visit to clients. This would not require a change in statute or rules.
25 %	Reductions would impact the same categories of services described above because the grant requires a minimum percentage of services in each of four categories, but would result in a more significant cut in available support to children and families. This would not require a change in statute or rules.

What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	Fewer grant funded services to fewer children and families, which may result in more and longer foster care. Non-profit agencies would likely reduce support services. Request for replacement State funds is an option.
25 %	See above.

Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
5 %	The State would be obligated to continue to meet Federal grant requirements for remaining funds, in accordance with Federal law and rules. There are some community programs that provide services in the family support area, but less so in the other mandated categories. These programs would probably not be able to pick up the gap, and in some cases are the programs funded by this grant.
25 %	The State would be obligated to continue to meet Federal grant requirements for remaining funds, in accordance with Federal law and rules. There are some community programs that provide services in the family support area, but less so in the other mandated categories. These programs would not be able to pick up the gap, and in some cases are the programs funded by this grant.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Divisions: ORS, EDO

Child Support Collections / Incentives Title IV-D

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.563
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$ 24,679,070
Number of FTEs	285
Recipients/Clients Served	329,459
Describe Recipients/Clients Served	Mothers, Fathers, and Children

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$1,233,954)	(\$6,169,768)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		
TITLE XIX _____		
Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$1,233,954)	(\$6,169,768)

FTEs	-15	-71
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	The State is required to contribute 34% to all IV-D (Child Support) expenditures. This contribution must be in the form of State General Funds and cannot be replaced with Fees assessed to clients receiving the service. See 45 CFR 304, 305.34, & 305.35, Section 455 of the Social Security Act.
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions.
Would this reduction require a change in statute or rules? If so list references.

5 %	All services provided by the IV-D program are required. Because of this, cuts would be spread across the entire program, which would reduce the time and resources that could be spent on individual cases. Ultimately this would reduce collections and increase complaints from constituents receiving services. A change to statute would not be required.
25 %	The same answer would apply for a 25% cut except the cuts would be magnified to a level where minimum Federal performances standards may not be met. This would jeopardize future Federal funding for the IV-D program and the TANF block grant.

What would be the impact on recipients (including state and local agencies) receiving these services?
What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?

5 %	Collections would drop, affecting State funds and other State agencies as well custodial parents' ability to provide for their children. Additional State assistance to the most needy would likely occur. Request for replacement State funds would be an option.
25 %	Collections would drop significantly, affecting State funds and other State agencies as well custodial parents' ability to provide for their children. Additional State assistance to the most needy would likely occur. Request for replacement State funds would be an option.

<p>Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?</p>	
5 %	All services provided by ORS are mandated and would be required to be maintained. As a result, the cuts would be absorbed through additional staff reductions. This would increase case load sizes for remaining staff and reduce the time and quality of services that could be provided. Currently there are over 350,000 Child Support and Medicaid cases.
25 %	A 25% cut would magnify the problem described above.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Divisions: DSAMH, EDO

**Mental Health and Substance Abuse Projects of
Regional and National Significance**

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.243
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$ 1,924,680
Number of FTEs	2.00
Recipients/Clients Served	2,074,129
Describe Recipients/Clients Served	The following clients are estimated to be served with these funds: Transition to adulthood for mentally ill youth – 165 Advocacy and support for mentally ill and prevention of mental illness – 1,050 Media campaigns related to substance abuse prevention – 2,072,914 Substance Abuse prevention activities also included physician and pharmacy training, prescription drug take-back events, website creation and maintenance, and a media campaign. The media campaign was estimated to reach 90% of the State's population through television and radio advertising, billboards, prescription drug "take-back" events and media coverage from news reports. Services were also provided to mentally ill youth to assist them to successfully transition to adulthood. Recipients shown are a duplicated count due to the nature of prevention service delivery and data collection.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$96,234)	(\$481,170)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name: _____		
Other Fund: _____		
Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$96,234)	(\$481,170)

FTEs	0	0
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	In-kind Match provided by counties to fulfill requirement of Data Infrastructure Grant (DIG). The other grants included in this CFDA title do not have a matching requirement.
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions.
Would this reduction require a change in statute or rules? If so list references.

5 %	A reduction in funds would result in a decrease in services provided to assist mentally ill adolescents successfully transition to adult living. Substance Abuse Prevention services would also be reduced if delivered through the SPF-SIG program. Maintenance for the Substance Abuse and Mental Health Information System (SAMHIS) would be reduced. Over time, this could affect our ability to provide federally required data. No change in statute or rule needed.
25 %	A reduction in funds would result in a decrease in services provided to assist mentally ill adolescents successfully transition to adult living. Substance Abuse Prevention services would also be reduced if delivered through the SPF-SIG program. Maintenance for the Substance Abuse and Mental Health Information System (SAMHIS) would be reduced. Over time, this likely would affect our ability to provide federally required data. No change in statute or rule needed.

What would be the impact on recipients (including state and local agencies) receiving these services?
 What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?

5 %	Amounts paid to providers (mostly local Substance Abuse and Mental Health Authorities) would be reduced. These entities would likely serve fewer clients. Program expenditures would be reduced by the same amount as the revenue reduction. A funding decrease could result in a loss of jobs for staff in the local communities.
25 %	See above.

Are there mandated federal services that the State would have to maintain even though federal funding is cut?
 Are there other resources available to meet these needs?

5 %	No.
25 %	No.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Divisions: EDO, DSAMH

Prevention and Treatment of Substance Abuse

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012

—Does not include ARRA—

CFDA numbers that comprise this program	93.959
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$ 17,035,279
Number of FTEs	11.40
Recipients/Clients Served	2,375,073
Describe Recipients/Clients Served	Number of clients is a duplicated count. DSAMH does not collect data in a way that allows for unduplicated counting. The clients served are those who were at risk of abusing substances and/or abused substances during the period reported. DSAMH estimates that 31,995 clients received substance abuse treatment and 2,343,078 (duplicated) were exposed to substance abuse prevention activities.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$851,764)	(\$4,258,820)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$851,764)	(\$4,258,820)

FTEs	-0.57	-2.85
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	Maintenance of effort is required by the grant. It is calculated on a 2-year rolling average. A dollar for dollar reduction in federal funds is assessed for failure to meet the MOE requirement. The penalty is assessed the year following MOE failure. The MOE requirement for FY 2012 was \$18,893,772. The MOE requirement for FY 2013 is approximately \$18,277,100.
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions.
Would this reduction require a change in statute or rules? If so list references.

5 %	Substance Abuse programs in the community would be decreased. Expenditures would be reduced by the amount of the funding decrease. No change would be required to Statute or Rule.
25 %	See above.

What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	Majority of impact would be to Local Substance Abuse Authorities who would receive reduced funding. The Local Authorities would likely provide service to fewer clients. Expenditures would be reduced by the amount of the funding decrease. A funding decrease could result in a loss of jobs for staff in the local communities.
25 %	See above.

Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
5 %	No.
25 %	No.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Divisions: EDO, DSAMH, DSPD, DCFS, DAAS, JJS
Social Services Block Grant, Discretionary and TANF Transfers

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.667
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$23,247,368
Number of FTEs	144.72
Recipients/Clients Served	12,350
Describe Recipients/Clients Served	Funds are used for support and delivery of social services. Clients include vulnerable population of the state such as the elderly, at-risk children, and individuals with disabilities.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$1,162,368)	(\$5,811,842)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$1,162,368)	(\$5,811,842)

FTEs	-7.14	-36.21
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	None
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.

5 %	<p>These funds are integral to the Human Service programs. If funds were cut across the board, see below for examples of impact:</p> <p>DSAMH: A reduction in funds would limit the Division’s ability provide oversight of Mental Health programs.</p> <p>DSPD: Services to people with intellectual disabilities, conditions related to intellectual disabilities, brain injuries and physical disabilities that do not qualify for Medicaid would be reduced. DCFS: Reduction would impact funding for child protective services investigations, domestic violence shelters and treatment services, in-home services for families in which child safety is a concern, and for support services for foster and adoptive children. These costs are both personnel and purchase service contract costs. Change in statute or rules would not be necessary if federal funds are reduced. DAAS: The AAA’s receive SSBG funds. Fewer clients would be served.</p>
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	<p>JJS: Case management for community based services. JJS has identified Title XX funding to be used to help defray case management costs associated with providing community-based placements for delinquent youth. Most youth in the temporary custody of JJS receive community-based services that serve as a platform/base for other services that delinquent youth receive. A reduction in Title XX funding would not reduce the need to provide delinquent youth with community-based services nor the associated case management costs of delivering those placements. A reduction in Title XX would not require a change in statute.</p> <p>EDO: Reduction of funds distributed to local governments and for support services.</p>
25 %	See above.

<p>What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?</p>	
5 %	<p>In DSPD, less services. In DCFS, staff, available services, funding for domestic violence shelters would all be reduced. In DSAMH, there would be some minor reductions in services and clients served through local authorities. JJS would see community-based beds shrink resulting in more restrictive and costly placements. DAAS would also see a reduction in services and people served. Request for replacement State funds is an option. There would be reduced funds for local governments.</p>
25 %	<p>See above. Additionally, in DSAMH, these funds are used for service coordination and case management. The funding cut may create increased lengths of stay for children and adolescents at the Utah State Hospital.</p>

<p>Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?</p>	
5 %	<p>Yes. The funding is integral to Human Services programs. Resources for these programs are limited.</p>
25 %	See above.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.

Department of Human Services
Division: DAAS

**Title III Grants for State & Community Programs on Aging and
Nutritional Services Incentive Program (NSIP)**

Plan of Potential 5 % and 25 % Federal Receipts Reductions
Based on Fiscal Year 2012
—Does not include ARRA—

CFDA numbers that comprise this program	93.043, 93.044, 93.045, 93.052, 93.053
Agency contact name and phone number	Thor Nilsen 538-3956; Don Moss 538-4142

Fiscal Year 2012 federal program information:

Federal Receipts	\$ 8,313,115
Number of FTEs	11.46
Recipients/Clients Served	37,349
Describe Recipients/Clients Served	Duplicate count of vulnerable adults receiving home delivered meals, congregate meals, personal care, supportive services, transportation, nutrition counseling, etc.

Potential 5 % and 25 % federal receipts reductions based on fiscal year 2012:

(Insert amount of expected increase/(decrease) in State/other funds and FTEs associated with the potential federal reductions.)

Funding Information	5 %	25 %
Federal	(\$415,656)	(\$2,078,279)
State:		
General Fund		
Education Fund		
Transportation Fund		
Transportation Investment Fund		
Restricted Fund/Account Name:		

Other Fund:		

Dedicated Credits		
Other: _____		
Other: _____		
TOTAL	(\$415,656)	(\$2,078,279)

FTEs	0	-1
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Maintenance of Effort (Describe any State matching and/or maintenance of effort requirements. Include references to federal laws, regulations, or grant provisions.)	The division must certify yearly that Maintenance of Effort requirements have been met. MOE includes: 25% State match for State Admin; 1/3 of 25% match for AAA Admin; 5% match for IIIB, C1, C2, & AAA Ombudsman programs; and 25% for IIIE program. None required for the NSIP grant or IIID. OAA Section 1321.47 & 49. See OMB A-133 Compliance Supplement.
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Describe the program, activity, or expenditure type that would be impacted by the proposed federal funds receipts reductions. Would this reduction require a change in statute or rules? If so list references.	
5 %	Meals & support services will be reduced.
25 %	Meals & support services will be reduced. State program oversight would be impacted.

What would be the impact on recipients (including state and local agencies) receiving these services? What changes in program(s), services, expenditures, fees, etc. would be made if this reduction is implemented?	
5 %	Fewer meals served, longer waiting lists, senior centers would be opened less often. Request for replacement State Funds is an option.
25 %	See above.

Are there mandated federal services that the State would have to maintain even though federal funding is cut? Are there other resources available to meet these needs?	
5 %	The Older Americans Act requires these programs to be run. Less funding would impact extent of services.
25 %	See above.

GENERAL COMMENT:

The impact to State funding and Human Services programs from a loss of federal funds is not known. The impact depends on the nature and circumstances involving a federal funding reduction. How a federal cut is structured could influence the impact; a cut could involve a change in federal requirements, or a reduction in the federal participation rate (if applicable) may require additional State funds to participate in the program. Actions by Congress, Federal Agencies, or others unknown at this time may influence our response to a federal cut. Human Services plans to act reasonably and adjust as necessary for changes involving Human Services federal funds.