

## Memorandum

To: Utah Political Subdivisions Interim Committee

From: Brooke W. Harkness, Executive Director  
Utah Political Subdivisions Ethics Review Commission

Date: September 30, 2014

RE: Utah Political Ethics Review Commission Annual Summary Data Report

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Pursuant to Utah Code Ann. § 11-49-202(3)(a), the Utah Political Ethics Review Commission (the “Commission”) submits the following summary data report for 2014.

**1. General Description of the Activities of the Commission During the Past Year:**

The Executive Director has fielded several phone calls and multiple emails from individuals seeking information about filing complaints. She has also updated the content for the Commission’s website (to be located at [www.ethics.utah.gov](http://www.ethics.utah.gov)) that will give citizens the information they need to file complaints with the Commission. The website will be available to the public on Thursday, October 2, 2014.

**2. Number of Ethics Complaints Filed With the Commission:**

In 2014, two complaints were received by the Commission.

The first complaint was received May 30, 2014, but was incomplete. The Executive Director notified the party filing the complaint that it was incomplete and needed additional information. She also notified the applicable political subdivision governing body that a complaint was filed against an unidentified political subdivision officer or employee but was returned because it did not comply with Utah Code Ann. § 11-49-501.

The second complaint was received on or about August 20, 2014, but was also incomplete. It is still not clear who filed that complaint. The Executive Director is working with the Lieutenant Governor's Office to ascertain who filed that complaint and notify the individual that it was incomplete.

3. **Number of Ethics Complaints Dismissed in Accordance with Section 11-49-602:**

The Commission did not dismiss any complaints in accordance with Section 11-49-602.

4. **Number of Ethics Complaints Reviewed by the Commission in Accordance with Section 11-49-701:**

The Commission did not review any complaints pursuant to Section 11-49-701.

5. **Executive Summary of Each Complaint Reviewed by the Commission in Accordance with Section 11-49-701:**

There were no complaints reviewed by the Commission pursuant to Section 11-49-701.

6. **An Accounting of the Commission's Budget and Expenditures:**

The Commission has not yet been allocated a budget. To date this year, the Commission's total expenditures are approximately \$4000.00 for compensation for the Executive Director. Expenditures the Commission could incur in the future include the expenses in the following categories: mileage reimbursements; per diem expenditures for members of the Commission; supplies, postage, and copying costs.