Fiscal Note and Budget Item Follow-Up Report

Executive Appropriations Commitee

ISSUE BRIEF

SUMMARY

This report follows-up on select fiscal notes and budget actions from past legislative sessions. For each item, the report includes a stop light (red, yellow, green) for implementation status, budget accuracy, and, where available, performance. It details original cost and/or revenue estimates, legislative appropriations, and actual experience. It is intended to create a feed-back loop that improves future estimates and initiatives.

The report is organized by Appropriations Subcommittee, year, and type of follow-up item. The report contains items from the 2014 through the 2016 legislative General Sessions, which is indicated in the top left corner of the page. Fiscal notes of bills passed during a legislative session are prefixed with the bill number.

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2014 G.S. After school programs to address intergenerational poverty - TANF

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$2,179,200	$0	$2,179,200	$0	$2,179,200	$600,000	$1,579,200

Explanation

The Legislature approved funding of $2,179,200 from federal Temporary Assistance to Needy Families (TANF) to start 21 new and expand 32 current afterschool programs in Title I schools.

Implementation

The first contracts were in place by October 2014. These contracts coordinated with Intergenerational Poverty Interventions grants from the Utah State Office of Education. The second contracts were in place by December 1, 2015. These second contracts went to the areas of the State with the highest incidence of intergenerational poverty.

Accuracy

The Department of Workforce Services anticipates spending $600,000 through FY 2016. This represents a 72 percent error rate. All funding might be spent by September 30, 2017.

Performance

The Department of Workforce Services requires administration of pre and post surveys measuring academic improvement, well-being of students, as well as family support and participation.

2015 G.S. Savings from Higher Federal Match Rate

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
($7,392,800)	$0	($7,392,800)	($1,300,000)	($8,692,800)	($8,650,000)	($42,800)

Explanation

The Legislature appropriated a reduction of ($8,692,800) in FY 2016 to reflect the higher match rate from the federal government for caseload work related to the mandatory Medicaid expansion.

Implementation

The reduction was implemented on schedule in July 2015.

Accuracy

The Department of Workforce Services anticipates saving ($8,650,000) by the end of FY 2016, which represents an error rate of less than 1 percent.

Performance

The agency did not provide any performance measures.

2015 G.S. Affordable Care Act Mandatory Changes

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
($753,500)	$0	($753,500)	$0	($753,500)	($753,500)	$0

Explanation

The Legislature provided $1.8 million ongoing in the 2013 General Session to the Department of Workforce Services for additional FTEs to handle increased caseloads from Medicaid mandatory expansion. The Legislature reduced ($753,500) ongoing for FY 2016 since the Department did not hire all the anticipated new FTEs.

Implementation

The reduction was implemented on schedule in July 2015.

Accuracy

The Department of Workforce Services anticipates saving all ($753,500) by the end of FY 2016.

Performance

The agency did not provide any performance measures.

2015 G.S. Use Special Administrative Expense Account for Job Creation Activities

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$5,000,000	$0	$5,000,000	$0	$5,000,000	$3,914,100	$1,085,900

Explanation

The $5.0 million one-time appropriation from the Special Administrative Expense Account was intended to be used for job-creating activities. Workforce Services adds, "Projects approved by Legislative committee including UCAP, Veteran's ACE, Refugee, salaries/benefits and overhead."

Implementation

Workforce Services indicates the funding was implemented on July 1, 2015.

Accuracy

Workforce Services indicates that $3,063,700 of the $5,000,000 appropriation was spent by June 15, 2016. Workforce Services estimates $3,914,100 will be spent by June 30, 2016. This is 72 percent of the original appropriation, or a reduction of 28 percent. Workforce Services explains its situation as follows: We "expended carry-in funds first. Due to projected fund balances, we plan to lapse certain funding for FY 2016 to ensure budgeted programs are funded in FY 2017."

Performance

Workforce Services uses a variety of success measures for each of its variety of job growth programs. These include the following:
World Trade Center Rural partnership Program ($200,000) - Bus. Serv./New Bus. Exporting
UCAIR Small Business Assist program ($500,000) - Bus. Serv./Emissions Reduced (tracked by DEQ)
Underemployment Program ($380,000) - Bus. Served/Jobs Created
ACE Veteran Credentialing Program ($500,000) - Placement, Wages
Transitional Job Program ($100,000) - Placement, Wages
Refugee Program - USU ($250,000) - Cert./Deg. Programs Created that Serve Refugees
Refugee Program - SLCC ($134,200) - Cert./Deg. Programs Created that Serve Refugees
Refugee Services Center ($100,000) - SLCC Training Program
UCAP/STEMLink Carryover ($230,400) - Cert./Deg. Programs Created or Expanded that Meet Industry Need
UCAP ($3,505,400) - Cert./Deg. Programs Created or Expanded that Meet Industry Need
GOED - Employer Recruitment Training Incenting ($250,000) - Individuals hired and trained
Utah NFB - Project STRIVE ($100,000) - Participants

2015 G.S. Marriage Commission

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$300,000	$0	$300,000	$0	$300,000	$220,000	$80,000

Explanation

The Legislature appropriated $300,000 of one-time federal Temporary Assistance to Needy Family (TANF) funds during its 2014 General Session and then repeated a similar appropriation during its 2015 and 2016 General Sessions. The Marriage Commission used these one-time TANF funds to: 1) maintain existing staff and 2) continue efforts statewide to provide courses locally to help individuals form and sustain healthy and enduring marriages. Only the $300,000 in TANF funds appropriated during the 2015 General Session is included in this report.

Implementation

The Marriage Commission implemented the funding on July 1, 2016. The commission states that it "uses 1-year TANF appropriation money to fund educational services throughout the State of Utah to help individuals and couples form and sustain healthy relationships and enduring marriages. The Commission contracts with 3 major universities in the state of Utah including Utah Valley University, Weber State University, and Utah State University. These contractors train relationship education facilitators and provide relationship education and strengthening courses to the community."

Accuracy

The Marriage Commission has spent $118,000 as of May 2016. It anticipates spending $220,000 by June 30, 2016. The Commission states, "We are expecting an $80,000 difference in actual expenditures vs appropriations for this Fiscal Year. This year the Commission encountered the retirement of our staff member and a new staff member was hired in place as a part time employee. The difference in salary is the primary reason for the left over funds."

Performance

The Marriage Commission states that it "requires that all Contractors administer pre and post-test analyses. This data has helped the Commission better identify the usefulness of the curriculum being taught. Participants continue to report statistically significant improvement in relationship skills including but not limited to communication, marital satisfaction, and commitment. Contractors are also required to report the number of participants who are accessing our educational services in-person and online. We do this in the context of our past research that shows these services appear to be making a difference in Utah levels of family stability."

2015 G.S. Replace Lost Medicaid Funding

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$1,100,000	$0	$1,100,000	$0	$1,100,000	$1,100,000	$0

Explanation

The appropriation increases State funding as a result of auditors disallowing previous cost allocation methods which lowered the annual Medicaid payments to the Utah State Hospital (USH). The USH states, "The money was spent to maintain our prior levels of patient care and treatment. It replaced the loss of Medicaid funding due to a change in cost allocation methodology. It was used for personnel cost of existing direct care staff. We did not have to close any beds this year."

Implementation

The funding was implemented on July 1, 2015.

Accuracy

The entire $1,100,000 was spent prior to May of 2016. The State Hospital indicates it intends to spend "re than the entire $1,100,000" by June 30, 2016. "All funds were spent and utilized according to CMS guidelines and to maintain USH operations at the current level."

Performance

The State Hospital indicates its "performance measures of maintaining TJC accreditation and CMS certification have been successful. Our other measures of Rapid Readmission, Adult BPRS and Adult SOQ are all favorable to targets."

2015 G.S. Youth Aging Out of DCFS Custody

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$537,000	$0	$537,000	$0	$537,000	$418,100	$118,900

Explanation

The appropriation of $537,900 General Fund along with $2,754,800 federal Medicaid funds for Youth Aging Out of DCFS Custody is meant to maintain youth with intellectual disabilities on the Medicaid waiver who are aging out of the Division of Child and Family Services custody. Human Services indicates it is using the money "to cover the general fund costs of DCFS custody children in DSPD waiver services that Age out of DCFS Custody."

Implementation

Human Services indicates the funding was implemented on July 1, 2015.

Accuracy

Human Services indicates that $418,100 was spent through April 2016. The agency also anticipates that only $418,100 will be spent through June 30, 2016. Human Services states, "Several DCFS [Division of Child and Family Services] children that reached the usual age for leaving DCFS custody, remain in custody. Costs were over estimated by $118,900 due to this circumstance." This represents a 22 percent reduction from the appropriated amount being spent.

Performance

Human Services indicates it will measure performance as follows: "By percent of people who are satisfied with their staff, support coordinator and fiscal agent. DSPD uses survey results to obtain the measures. Most recent measures: Satisfaction with Staff - 83%; Satisfaction with Support Coordinator - 95.5%; Satisfaction with Fiscal Agent - 93.5% Target for each is 90%."

2015 G.S. Direct Care Staff Salary Increase

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$18,177,900	$0	$18,177,900	$0	$18,177,900	$19,740,500	($1,562,600)

Explanation

The appropriation of $1,250,000 General Fund one-time along with $2,961,600 federal Medicaid funds in FY 2015 and $5,395,200 General Fund ongoing along with $12,782,700 federal Medicaid funds in FY 2016 for Direct Care Staff Salary Increases was intended to raise wages of direct care workers providing services for the Division of Services for People with Disabilities in order to reduce staff turnover and increase access to services for all eligible and funded recipients. Only the FY 2016 appropriation is being considered in this report. Human Services indicates it "Increased the rates for each service code with direct service workers providing the service."

Implementation

Human Services indicates this appropriation was implemented on July 1, 2015.

Accuracy

Human Services indicates $14,456,000 was spent through May 2016. The agency also anticipates $19,740,500 will be spent by June 30, 2016 - a 8.6 percent increase over the original estimate. Human Services states, "Percentage rate increase was based on projected expenditures for FY 2015 was about 0.5% too high."

Performance

Human Services indicates it is measuring performance as follows: "By the proportion of the rate increase that was used by contracted providers to increase the wages of Direct Service Workers in their companies. Results so far - the reports from contracted providers show that more has been spent on increased wages for Direct Service Worker wages than has been received from the rate increase."

2015 G.S. Mental Health Services Rates - DCFS

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$621,100	$0	$621,100	$0	$621,100	$385,700	$235,400

Explanation

The appropriation of $621,100 ongoing General Fund for Mental Health Services Rate increases in the Division of Child and Family Services (DCFS) was intended to increase some mental health service rates in the DCFS that were between 15 and 25 percent lower than Medicaid rates for similar services due to two reductions taken in FY 2010 and FY 2011. Human Services indicates it used the funding for "Increased provider rates to match Medicaid rates."

Implementation

Human Services indicates the funding was imlemented in July of 2016.

Accuracy

Human Services indicates that $324,200 was spent through May of 2016. The agency also estimates that $385,700 will be spent by June 30, 2016. This represents only 62 percent of the original appropriation, or a reduction of 38 percent. Human Services indicates that actual units of service have been less than estimated and states, "In some instances the Allowed rate was lower than the Medicaid rate reducing expenditures."

Performance

Human Services uses the following to measure performance: "Did children in foster care receive mental health assessments in required time frames (SAFE Measure - Target 85%). Is the child making reasonable progress toward stable and adequate functioning emotionally and behaviorally in the home and at school? (Qualitative Case Review Measure). Rates have been increased in FY16."

2015 G.S. Aging Waiver

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$673,900	$0	$673,900	$0	$673,900	$673,900	$0

Explanation

The $200,000 ongoing General Fund and $473,900 federal Medicaid funds for the Aging Waiver was intended to decrease the waiting list for community-based services for those who meet a nursing facility level of care standard. Human Services indicates it used the funding for Aging Waiver Services which it explains as follows: "The Aging Waiver program provides home and community-based services to individuals who are in the home setting, but require the types of services provided by nursing facilities and would be expected to enter a nursing facility through the Medicaid program within a very short period of time if they could not obtain in-home services from the Aging Waiver Program."

Implementation

Human Services indicates the use of the appropriation was implemented on July 1, 2015.

Accuracy

Human Services indicates that $502,600 of the appropriation was spent through May 2016. The agency "anticipates spending all of this funding by the end of the fiscal year."

Performance

Human Services indicates in measures success as follows: "Success is measured by number of clients served. 616 clients were served in FY15 and so far in FY16 700 clients have been served."

2015 G.S. Technology Dependent Waiver Capacity Expansion

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$366,000	$0	$313,100	$0	$313,100	$396,000	($82,900)

Explanation

In FY 2016 the Legislature provided $313,100 ongoing to serve about nine Medicaid individuals on the waiting list for the Technology Dependent Waiver. The waiver provides services to clients who are technology dependent in a home setting and tries to prevent the need for nursing facility care. The waiver allows parental income to be excluded when determining an individual's eligibility for Medicaid.

Implementation

The Department of Health enrolled six new children in July and all nine new children by October 2015.

Accuracy

The Department of Health anticipates spending $396,000 by the end of FY 2016, which represents an error rate of 26 percent.

Performance

The Department of Health will serve nine new individuals.

2015 G.S. Medicaid Accountable Care Organizations Into Rural Counties

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$3,300,000	$0	$3,300,000	$0	$3,300,000	$~~4,386,700~~ $3,402,300	($~~1,086,700~~) ($102,300)

Explanation

For FY 2016 the Legislature approved $3,300,000 one-time to expand Medicaid accountable care organizations into the following counties: Box Elder, Cache, Iron, Morgan, Rich, Summit, Tooele, Wasatch, and Washington. This has the effect of increasing the percentage of Medicaid clients served via accountable care organizations from 70 percent to 88 percent.

Implementation

The Department of Health had contracts in place for all new counties to be served by Medicaid accountable care organizations by July 2015.

Health 1/4/2017 email: "When we were determining the carved out services for ACO's I neglected to carve out Nursing home services in the run out population. When I made the change, it lowered the amount."

The Department of Health anticipates spending $~~4,386,700~~ $3,402,300 in FY 2016, which represents an error rate of ~~33~~ 3 percent.

Performance

The Department of Health tracks the amount of all fee-for-service claims in counties newly served by Medicaid accountable care organizations.

2015 G.S. Prescription Drug Abuse, Misuse, and Overdose Prevention

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$500,000	$0	$500,000	$0	$500,000	$500,000	$0

Explanation

The Legislature provided $500,000 one-time in FY 2016 for public outreach prevention targeting opioid misuse, overdose, and death.

Implementation

The Department of Health indicates that it implemented this program on July 1, 2015.

Accuracy

Through June 10, 2016 the Department of Health has spent $46,500. The department plans to spend $500,000 by the end of FY 2016 because media invoices should come before the end of the fiscal year.

Performance

The Department of Health will be measuring the following: (1) increase awareness and knowledge of the risks and warning signs of prescription drug abuse by 10%, (2) increase providers reached through education, training, resources, and tools by 10%, and (3) decrease prescription drug overdose deaths by 15%.

2015 G.S. Case Managers for Chronically Homeless

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$1,000,000	$0	$1,000,000	$347,600	$1,347,600	$1,000,000	$347,600

Explanation

In FY 2016 the Legislature provided $1,347,600 restricted funds to retain 30 additional case managers to manage caseloads associated with the 10-Year Plan to End Chronic Homelessness. The case managers provide daily support to about 20 to 30 clients each. Support includes coordination of services, benefit assistance, and training.

Implementation

All seven contracts to spend the funds were in place on July 1, 2015.

Accuracy

The Department of Workforce Services anticipates spending $1,000,000 through FY 2016. This represents an error rate of 26 percent.

Performance

As of May 1, 2016 there were 695 clients served, 85 percent of clients placed into permanent housing, and 50 percent of clients retained housing throughout the year. The Department of Workforce Services also plans to measure recidivism to homelessness after year end.

2015 G.S. Permanent Supportive Housing

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$1,000,000	$0	$1,000,000	$0	$1,000,000	$1,000,000	$0

Explanation

The Legislature provided $1.0 million one-time in FY 2016 to develop permanent housing solutions near Pioneer Park in downtown Salt Lake City.

Implementation

The Department of Workforce Services awarded the funds in January 2016 by action of the Olene Walker Housing Loan Fund Board. Construction should be completed during FY 2017.

Accuracy

In January 2016 the Olene Walker Housing Loan Fund Board approved $1,000,000 for Bohdi Salt Lake City Apartments, which will consist of 80 units of multi-family housing at 750 W. South Temple Street. Twenty-two units will be set aside for clients with special needs (low-income, mentally ill, and chronically homeless). These units have on site case management and are classified as permanent supportive housing.

Performance

The proposed measure of success is the completion of permanent supportive housing units.

2015 G.S. Forensic Competency Restoration - Waiting List Reduction

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$300,000	$0	$300,000	$0	$300,000	$300,200	($200)

Explanation

This appropriation was used to hire State Hospital level staff to go directly to county jails and restore competency there allowing the use of existing jails to provide room and board. The department states, "This funding allows USH [Utah State Hospital] to expand outreach to the individuals referred to Department of Human Services for competency restoration services. The goal is to reduce the growth of the referral list of those referred to DHS."

Implementation

The department states, "This funding was implemented July 1, 2015."

Accuracy

Actual expenditures through May 2016 have been $270,800. The agency anticipates spending $300,200 by June 30, 2016.

Performance

The agency indicates it will measure performance by: (1) "Reduction in rate of referral list growth. The rate of growth in the referral list has been reduced by 75%."

2015 G.S. Medicaid Physician Reimbursement Rates

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$5,000,000	$0	$5,000,000	$0	$5,000,000	$5,000,000	$0

Explanation

For FY 2016 the Legislature appropriated $2,500,000 ongoing and $2,500,000 one-time to raise the reimbursement rates for primary care physicians in Medicaid to about 95 percent of Medicare rates.

Implementation

The Department of Health increased Medicaid physician reimbursement rates on July 1, 2015.

Accuracy

The Department of Health indicates that it is impossible to calculate the exact amount of spending for this funding item. The department believes it will spend all $5,000,000 appropriated by the end of FY 2016. Health on July 1, 2016: "Although the rates were submitted to [Centers for Medicare and Medicaid Services]...over year ago, they still have not been approved. So, there are currently no actual expenditures related to the [accountable care organization] rate increase."

Performance

The Department of Health raised the physician reimbursement rates with the funds appropriated.

2015 G.S. S.B. 42 - General Assistance Program Changes

Committee: SS

Sponsor: Sen. Luz Escamilla

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$250,000	$0	$250,000	$0	$250,000	$250,000	$0

Explanation

The $250,000 in dedicated credit collections for S.B. 42, “General Assistance Program Changes” provides that any refunds that offset a benefit provided to a recipient of General Assistance shall be retained and used to provide General Assistance (GA) to other recipients, unless the refund is required to be credited to the federal government. Workforce Services indicates it is using the funding for "General Assistance Benefit payments to eligible GA Customers."

Implementation

Workforce Services indicates it implemented the funding on July 1, 2015.

Accuracy

Workforce Services indicates that the full $250,000 of the appropriation was used by June 15, 2016. Therefore, the full appropriation was used.

Performance

Workforce Services indicates the following regarding how it measures success of the implementation: "The $250,000 provides added funding to help ensure that the GA program will remain open all year long. Implementation is successful when the program remains open."

2015 G.S. H.B. 145 - Background Checks/HB 145 Vulnerable Adult Workers

Sponsor: Rep. Dean Sanpei

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$478,500	$0	$478,500	$0	$478,500	$665,600	($187,100)

Explanation

H.B. 145, “Vulnerable Adult Worker Amendments” permits the Department of Human Services (DHS) to: (1) conduct criminal background screening of employees and volunteers; (2) requires each applicant 18 years of age or older to submit fingerprints to the DHS Office of Licensing (OL) for a national criminal history search; (3) permits OL to submit an applicant’s personal identifying information to the Bureau of Criminal Identification within the Department of Public Safety for retention, monitoring, and notification of new criminal activity associated with the applicant; and (4) assists DHS private contract providers, through an appropriation to increase provider rates, to pay for the increased costs associated with these provisions.

Implementation

Increases in provider rates were all implemented on July 1, 2016. Office of Licensing staff was hired in July 2015 and the program was implemented for this portion of the legislation on September 1, 2016.

Accuracy

Actual expenditures through May 2016 have been $531,100. Human Services anticipates spending $665,600 by June 30, 2016, or a 39 percent increase over the original estimated fiscal note of $478,500. With regard to funding provided for the Office of Licensing to increase staff, the agency stated that its expenditures were, "lower than expected due to the time necessary to hire staff. However, this bill has impacted many positions and staff, increasing the workload in unexpected ways. This increase in cost is not possible to include."

Performance

The agency indicates it is measuring performance by: (1) "Timely and accurate background screenings in compliance with 62A-2-120" and (2) the "Increase of rates for fingerprint-based background checks."

2015 G.S. Expand Autism Classrooms

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$500,000	$0	$500,000	$0	$500,000	$500,000	$0

Explanation

The $500,000 one-time appropriation was to provide classroom space for a high level of support to individuals with autism through academic curriculum and vocational skill building.

Implementation

Human Services indicates the contract was signed on July 20, 2015.

Accuracy

Human Services indicates that $342,700 has been spent through May 2016. The agency anticipates that the entire $500,000 will be spent by June 30, 2016.

Performance

Human Services indicates it is measuring performance by "getting the resource in place."

2015 G.S. Medication Assisted Addiction Treatment

Committee: SS

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$500,000	$0	$500,000	$0	$500,000	$170,000	$330,000

Explanation

The $500,000 one-time appropriation for Medication Assisted Addiction Treatment is meant to provide services for individuals on parole from the Utah State Prison. The agency states, "The funds were used to pilot the use of Medication-Assisted Treatment for substance use disorders in a corrections population. Originally, SLCo received $250,000. The SLCo Jail CATS program (administered by Odyssey House) served as the gateway into the program, as participants were screened for eligibility and desire to participate. In January of 2016, SLCo decided to expand access to Vivitrol to all clients with an opioid or alcohol dependency that were receiving treatment from a Salt Lake County contracted provider. These new populations included drug court and DORA clients. This decision was made primarily to increase access to an evidence-based treatment program and serve the maximum number of individuals with the funds allocated. Funds are used for lab work for medical eligibility (in the Jail and at the two participating community health centers), the office visit for the labs (outside the Jail), and the cost of any injections outside the Jail. At the beginning of 2016 DSAMH informed Salt Lake County that the Department of Corrections would not be able to implement their program and the remaining $250,000 would be allocated to Salt Lake County. Additionally the County was informed by the Division that funds not fully expended in SFY 2016 would be available in SFY 2017."

Implementation

Human Services states, "Funds were available in July 2015. Following development of the program guidelines and procedures, the first client intake from CATS into the Vivitrol Pilot was performed on 9/15/15. Beginning January 1, the program was expanded beyond CATS to include eligible clients participating in any County service provider (including drug court and DORA)."

Accuracy

Human Services indicates $48,000 was spent by May 2016 and anticipates that $170,000 will be spent by June 30, 2016. As indicated under the Explanation section, the Department of Corrections was not able to implement its program for $250,000. The department indicates it redirected this funding as of January 1, 2016 to Salt Lake County. Human Services states, "There have been billing issues that we are working on resolving. We have talked with the providers who say the services are being provided, but the billing has not caught up with the provided services yet."

Performance

Human Services states, "We are measuring several outcomes for participating clients. As clients are participating in County-funded community treatment, we are monitoring changes in employment, housing, education, and income. We are also monitoring cost and injections per client, along with new charge bookings and jail length of stay pre and post Vivitrol pilot."

2015 G.S. Traumatic Brain Injury Fund

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$0	$0	$200,000	$0	$200,000	$200,000	$0

Explanation

In FY 2016 the Legislature appropriated $200,000 ongoing for resource facilitation, education, and counseling for about 200 traumatic brain injury patients and 20 neuropsychological exams.

Implementation

The funding was integrated into the existing program on July 1, 2015.

Accuracy

The Department of Health indicates that it will spend all the $200,000 in FY 2016. Through June 10, 2016 the department has spent $144,900.

Performance

The results for traumatic brain injury clients through early June 2016 have been: (1) 196 individuals that received resource facilitation services, (2) 34 clients received a neuropsychological exam, (3) delivered four Certified Brain Injury Specialist trainings, and (4) provided 54 community and professional education presentations and trainings. Additionally, the Department of Health plans to measure increased awareness and knowledge as measured by a statewide random phone survey that will be conducted in 2017.

2015 G.S. Primary Care Grants

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$2,000,000	$0	$2,000,000	$0	$2,000,000	$2,000,000	$0

Explanation

The Legislature approved $600,000 ongoing and $1.4 million one-time in FY 2016, which funds about 40 grants to not-for-profit agencies to provide primary care for about 40,000 medically underserved individuals.

Implementation

The funding was integrated into the existing program on July 1, 2015.

Accuracy

As of June 10, 2016, $1,441,000 has been expended, with the full $2,000,000 obligated.

Performance

The Department of Health plans to measure: (1) number of public or non-profit agencies awarded and (2) number of medically underserved population patient encounters.

2015 G.S. Medicaid Dental Reimbursement Rates

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$2,000,000	$0	$2,000,000	$0	$2,000,000	$2,000,000	$0

Explanation

In FY 2016 the Legislature approved $2.0 million ongoing to increase Medicaid dental provider rates by 12 percent.

Implementation

The Department of Health indicates that it increased Medicaid dental provider rates by July 1, 2015.

Accuracy

The Department of Health indicates that it is impossible to calculate the exact amount of spending for this funding item. The department believes it will spend all $2.0 million appropriated by the end of FY 2016. Health on July 1, 2016: "Although the rates were submitted to [Centers for Medicare and Medicaid Services]...over year ago, they still have not been approved. So, there are currently no actual expenditures related to the [accountable care organization] rate increase."

Performance

The Department of Health is tracking the increase in Medicaid dental provider rates.

2015 G.S. Anesthesia Services Medicaid Reimbursement Rates

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$870,000	$0	$870,000	$0	$870,000	$870,000	$0

Explanation

In FY 2016 the Legislature provided $870,000 ongoing to raise the reimbursement rate 30 percent for anesthesia services for Medicaid.

Implementation

The Department of Health raised Medicaid rates for anesthesia services on July 1, 2015.

Accuracy

The Department of Health indicates that it is impossible to calculate the exact amount of spending for this funding item. The department believes it will spend all $870,000 appropriated by the end of FY 2016. Health on July 1, 2016: "Although the rates were submitted to [Centers for Medicare and Medicaid Services]...over year ago, they still have not been approved. So, there are currently no actual expenditures related to the [accountable care organization] rate increase."

Performance

The Department of Health is tracking the increased rates paid for Medicaid anesthesia services.

2015 G.S. S.B. 76 - Rural Physician Loan Repayment Program

Committee: SS

Sponsor: Sen. David Hinkins

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$600,000	$0	$600,000	$0	$600,000	$600,000	$0

Explanation

The Legislature approved $600,000 ongoing ($300,000 General Fund and $300,000 dedicated credits) in FY 2016 for education loan repayment assistance to physicians in exchange for working in rural counties.

Implementation

The Department of Health provided the first award of funding in January 2016.

Accuracy

All of the funds have been obligated in exchange for physicians committing to work at least two years in rural counties. Each physician receives an annual loan repayment assistance payment upon completion of each year of service. The Department of Health anticipates paying out $88,200 during FY 2016.

Performance

The Department of Health's goal for performance is to provide two-year awards to nine physicians annually.

2015 G.S. H.B. 175 - Alzheimer's State Plan Amendments

Committee: SS

Sponsor: Rep. Paul Ray

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$161,200	$0	$161,200	$0	$161,200	$135,000	$26,200

Explanation

The Legislature provided $161,200 ongoing to implement a state plan for Alzheimer's disease and related dementia.

Implementation

The Department of Health posted a job opening for a coordinator on July 2, 2015. The new coordinator started on August 3, 2015. The Department of Health had a contract with HealthInsight in place on May 2, 2016.

Accuracy

The Department of Health plans to spend $135,000 by the end of FY 2016, which represents an error rate of 16 percent. Through June 10, 2016 the department has spent $111,900.

Performance

The Department of Health will measure the following performance measures: (1) establish a coordinating council, (2) conduct a minimum of three interventions identified in the state plan to increase public awareness, and (3) workgroups accomplishing projects related to public awareness such as improving services for caregivers, research collaboration, and dementia competent workforce.

2015 G.S. S.B. 77 - Adoption Records Access Amendments

Committee: SS

Sponsor: Sen. Todd Weiler

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$81,200	$0	$81,200	($23,600)	$57,600	$57,000	$600

Explanation

The Legislature provided $81,200 ongoing funding ($55,000 General Fund and $26,200 dedicated credits) in FY 2016 to: (1) convert paper based files into an electronic searchable database; (2) develop an electronic registry database; and (3) develop an adoption registry website. In FY 2016 the Department of Health has collected $2,600 in dedicated credits through June 10, 2016.

Implementation

Vital records began working on the project in August of 2015.

Accuracy

The Department of Health plans to spend $57,000 by the end of FY 2016. This represents an error rate of 1 percent.

Performance

The Department of Health plans to track the following performance measures: (1) increase the percentage of registry searches that result in successful registry matches and (2) increase the number of registry searches. Through early June 2016 successful searches are at 19 percent and searches have been 104.

2015 G.S. Parkinson Disease Registry

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$200,000	$0	$200,000	$0	$200,000	$200,000	$0

Explanation

The Legislature provided $200,000 one-time in FY 2016 to develop a website and database for providers and patients to report their information to the Parkinson's Disease Registry.

Implementation

The Department of Health indicates that it implemented the new program on July 1, 2015.

Accuracy

The Department of Health plans to spend all $200,000 by the end of FY 2016.

Performance

The Department of Health plans to measure: (1) establish a Parkinson Disease Registry and (2) ensure that data from the registry are used in an appropriate manner.

2015 G.S. Nurse Family Partnership

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$1,000,000	$0	$1,000,000	$0	$1,000,000	$16,200	$983,800

Explanation

The Legislature approved $1,000,000 federal funds for home visiting by nurses for low-income mothers who are pregnant or have a child under two.

Implementation

The Department of Health indicates that the first contract for services was in place on December 11, 2015.

Accuracy

The Department of Health anticipates spending $16,200 by the end of FY 2016. This represents an error rate of 98 percent. The four contracted local health departments anticipate that the program will have full caseloads by October 2016.

Performance

The Department of Health plans to measure the following: (1) increase in the number of babies born 37 weeks or greater as compared to the general population and (2) increase in the number of infants screened for the Ages and Stages Questionnaire 3 by six months of age.

2015 G.S. S.B. 292 - Achieving a Better Life Experience Program and Tax Credits

Sponsor: Sen. Todd Weiler

Committee: SS

Analyst: Thomas Young

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$0	$0	$0	$0	$0	$0	$0

Explanation

This bill offers a tax credit for individuals with marked and severe functional disabilities. Adminstered by the Department of Workforce Services, contributors must set up stand-alone accounts for eligible beneficiaries to receive the nonrefundable tax credits.

Implementation

The tax credit is offered beginning in tax year 2016. Although offered, as of now, no Achieving a Better Life Experience accounts have been created. DWS has, as of this writing, been unable to find a vendor willing to handle the banking portion.

Accuracy

There has been no measurable revenue impact in FY 2016. The effect on FY 2017 revenue will be available in October 2017.

Performance

The agency did not provide any performance measures.

2015 G.S. H.B. 364 - Suicide Prevention Amendments

Committee: SS

Sponsor: Rep. Steve Eliason

Analyst: Stephen Jardine

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$401,000	$0	$401,000	$0	$401,000	$474,300	($73,300)

Explanation

H.B. 364, "Suicide Prevention Amendments," received an appropriation of $191,000 ongoing and $210,000 one-time to provide additional funding for suicide prevention efforts associated with the State Suicide Prevention Plan. Human Services indicates it has done the following: "hired a state suicide prevention coordinator to administer the delivery of resources, tools, and training to community-based coalitions; evidence-based suicide risk assessment tools and training; town hall meetings for building community-based suicide prevention strategies; suicide prevention gatekeeper training; training to identify warning signs and to manage an at-risk individual's crisis; evidence-based intervention training; intervention skills training; and postvention training."

Implementation

Human Services indicates the program was implemented by July 1, 2015.

Accuracy

Human Services indicates that $423,500 has been spent through May 2016. The agency anticipates that $474,300 will be spent through June 30, 2016. This is an 18 percent increase over the original estimate.

Performance

Human Services indicates it measures performance through the following: "Coalitions/gatekeeper/workplace training: number of coalitions engaged in suicide prevention efforts using the SPF/CTC prevention framework. Each strategy used by coalitions has a respective logic model that is used to show outcomes, Pre/post surveys are being used for gatekeeper trainings. The effectiveness of the firearm safety initiative is being evaluated by staff from the Harvard School of Public Health and will include pre/post surveys at a minimum. For intervention strategies we are measuring outcomes by overall number of health systems involved in the zero suicide efforts as participation in the Utah Zero Suicide Academy, Utah Zero Suicide Learning Collaborative, and adoption of evidence based suicide prevention strategies (i.e. CSSRS, Stanley Brown Safety Plan, and CAMS). The LMHA are measuring effectiveness of our Zero Suicide Initiative using the Medicaid Performance Improvement Project."

2016 G.S. Abstinence and Personal Responsibility Education for Teens and Health Screenings for Incarcerated Women

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$262,000	$0	$249,000	$0	$249,000	$0	$249,000

Explanation

The Legislature appropriated $249,000 one-time federal funds for abstinence and personal responsibility education in FY 2016.

Implementation

The Department of Health indicated that there was not enough time to implement a contract when the funds became available in April 2016.

Accuracy

The Department of Health will not spend any of the funds in FY 2016, but plans to spend the funds in FY 2017.

Performance

The Department of Health plans to measure the following: (1) addition of one new abstinence education contract and (2) one additional personal responsibility education program contract.

2016 G.S. Medicaid Caseload, Inflation and Program Changes (Medicaid Consensus)

Committee: SS

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$3,700,000	$0	$3,700,000	$0	$3,700,000	($5,000,000)	$8,700,000

Explanation

The Legislature added $3,700,000 in FY 2016 during the 2016 General Session for the Medicaid program for higher caseloads than originally projected.

Implementation

The increase was implemented on schedule in April 2016.

Accuracy

The Department of Health estimates closing the year with a $5.0 million surplus. When compared to the $415 million base of state funds, this is a 1.2% error rate.

Performance

The Department of Health: "The Division has sufficient state funds to match the federal funds necessary to provide services to all qualifying individuals."

2016 G.S. H.B. 328 - Housing and Homeless Amendments

Committee: SS

Sponsor: Rep. Rebecca Chavez-Houck

Analyst: Russell Frandsen

Cost Estimate	Revenue Estimate	Original Approp.	Changes	Subtotal	Experienced	Difference
$64,500	$0	$64,500	$0	$64,500	$64,500	$0

Explanation

The Legislature provided $64,500 in FY 2016 to review data gathering and reporting efforts related to homelessness in the State.

Implementation

The Department of Technology Services started its analysis and began meetings with stakeholders on April 1, 2016.

Accuracy

The Department of Workforce Services plans to spend all $64,500 by the end of FY 2016.

Performance

The measure of success is the completion of the needs assessment. The Department of Technology Services has held initial meetings with various state agencies to begin the needs assessment process.

Appendix A - Guidelines for Scoring Follow-ups

Fiscal Note Building Block Follow-Up Report

The Analyst follows up on bills and building blocks from two sessions ago.

- **Item Explanation**
  What the appropriation is supposed to do. For bills the explanation is the fiscal note
- **Implementationn**
  Is the item being implemented in a timely manner?
- **Accuracy**
  Was the fiscal note accurate? Both the Analyst and the agency are rated.
- **Performance Measures**
  Shown and evaluated when appropriate.
- **Follow the Money**
  The report traces funding from the original request to the remaining balance.

Red - Yellow - Green Guidelines

We point out problems, potential trouble, and things going as expected with traffic light colors.

One Size Does Not Fit All

Early on, we found that what was reasonable for revenue estimates is unreasonable for ordinary bills and building blocks. Our guidelines are less stringent when an agency is asked to do something new and different than their usual fare.

$10,000 Rule

The temptation to manage a fiscal note is so great that we give an automatic Yellow to any bill with a fiscal note near $10,000.

The Director's Exception

The Director may draw your attention to any bill or building block with a yellow if the item needs your attention.

Current Rules

Accuracy of Familiar Programs / Processes

- Green - Within 5% of estimate or variances less than $10,000.
- Yellow - Greater than 5% but less than or equal to 10% of estimate and more than $10,000.
- Red - Greater than 10% of estimate and more than $10,000.

Accuracy of Unfamiliar Programs / Processes

- Green - Within 10% of estimate or variances less than $10,000.
- Yellow - Greater than 10% but less than or equal to 20% of estimate and more than $10,000.
- Red - Greater than 20% of estimate and more than $10,000.

Accuracy of Revenue Bills

- Green - Within the estimates margin of error.
- Yellow - Greater than margin of error but less than two times margin of error.
- Red - Greater than two times margin of error.

Implementation

- Green - Implemented within the first month of the bill's effective date. The definition of implemented will vary according to the difficulty of the bill's task. Tasks that are too large to be implemented in the first month can be considered implemented if the agency has a reasonable plan and they are on schedule.
- Yellow - Implemented after the first month but before the fourth month of the bill's effective date.
- Red - Implemented after three months of the bill's effective date.

Performance Measures

The Analyst will decide if performance measures are appropriate and will use the “Implementation” guidelines. The Analyst will evaluate the agency’s performance measures and recommend alternate measures when necessary.