



**DIVISION OF
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FINANCING**

**Home and Community Based Services Transition Plan Update
Social Services Appropriations Subcommittee
February 1, 2017**

HCBS Transition Plan Update



Background – Home and Community Based Services (HCBS) Settings Final Rule

- January 10, 2014, CMS issued a final rule to define the requirements for HCBS settings. The final rule went into effect on March 17, 2014. Full compliance is required by March 2019.
- The HCBS settings rule requires states to evaluate whether waiver services are delivered in settings that have homelike qualities.
- By March 17, 2015, states were required to submit a Statewide Transition Plan (STP) to the CMS. A Statewide Transition Plan must describe how the state will:
 - Assess compliance of current providers and programs;
 - Achieve full compliance for existing settings that are not yet fully compliant;
 - Verify new settings are compliant prior to the commencement of services; and
 - Assure compliance on an ongoing basis after the transition period has concluded.

Status of Utah's Statewide Transition Plan



- June 2014 – Began drafting STP
- September 2014 – Created HCBS Setting Transition Plan webpage:
<https://health.utah.gov/ltc/hcbstransition/> The webpage was developed to provide the public with easy access to draft documents, the ability to submit public comments online, the option to sign up for the STP listserv, and access to links to the final rule and other related documents.
- October 2014 – Disseminated draft STP and announced public comment period
 - Held public meeting to review rule and Utah's draft STP
- March 2015 – Submitted STP to CMS, including responses to public comments
- October 2015 – CMS provided feedback on STP
- January 2016 – State submitted revised STP to CMS

Status of Utah's Statewide Transition Plan



- April 2016 –
 - CMS provided feedback on STP and informed State of 2 stage approval process
 - Disseminated provider self-assessments to 1,800 provider sites
- May – August 2016
 - Held three stakeholder meetings
 - Completed systemic regulatory assessment
- September 2016 – Following public comment period, submitted revised STP and systemic regulatory assessment
- October 2016 – Began validating results of provider self-assessments
- November 2016 – CMS provided additional feedback on STP
- December 2016 – Submitted 4th version of STP
- Next Steps –