



FEDERAL FUNDS AND INTERGOVERNMENTAL TRANSFERS - DEPARTMENT OF HEALTH

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: RUSSELL FRANSEN

ISSUE BRIEF

SUMMARY

Utah Code Annotated, Section 63J-5-201, states: "The Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency's budget for review during each annual general session. Each legislative appropriations subcommittee shall review the federal funds request summary and may recommend that the agency accept the federal funds or participate in the federal program...or recommend that the agency not accept the federal funds or participate in the federal program." UCA 63J-5-206 makes intergovernmental transfers in Medicaid subject to the federal funds review process. The Department of Health anticipates a total increase in federal funds needing approval of \$10,664,800 for FY 2018 as well as a total of \$164,034,500 in FY 2019. The Department of Health anticipates intergovernmental transfers needing approval of \$140,832,000 for FY 2018 as well as a total of \$140,832,000 in FY 2019. The estimate of these federal funds and intergovernmental transfers is subject to any subsequent actions taken by the Legislature.

LEGISLATIVE ACTION

- The Analyst recommends that the Subcommittee direct the Department of Health to accept all federal funds and intergovernmental transfers as listed in this brief.

DISCUSSION AND ANALYSIS

The following tables show the federal assistance applications and intergovernmental transfers for fiscal years 2018 and 2019 for the Department of Health by budget line item for those federal funds subject to legislative approval (tables are from forms that the Department of Health submits to the Governor's Office on an annual basis). There are no grants that are new to the State of Utah. The Department of Health anticipates receiving \$10,664,800 or 7.1% additional federal funds for FY 2018 and \$164,034,500 for FY 2019 for the line items included in this brief. During the last General Session the Legislature approved \$151,153,800 in federal funds and \$124,875,000 in intergovernmental transfers already for FY 2018. The Department of Health anticipates intergovernmental transfers needing approval of \$140,832,000 for FY 2018 as well as a total of \$140,832,000 in FY 2019. The entire total must be approved for FY 2018 intergovernmental transfers. Of the total for FY 2018, only \$15,957,000 are new funds compared to the 2017 General Session. Where applicable there is a discussion beneath each line item of: (1) federal grant reserves over 3 months and (2) spending plans and other potential uses for federal block grants. Three months or more of federal reserves was chosen because the Legislature ended FY 2015 with a nonlapsing balance of \$7.8 million on a total budget of \$26.0 million. This represents about 30% of the total budget or about three months. In general federal block grants cannot be used to draw down additional federal funds. Therefore any requests for funding that draw down federal funds are automatically excluded as potential uses for block grants.

The top five federal grants for approval in FY 2019 represent \$96,126,600 or 59% of all federal funds to be received by the Department of Health. Below is a brief explanation of the top five grants in the Department of Health:

1. Women Infants and Children including peer counseling for \$44,823,000 – for providing supplemental foods, health care referrals, and nutrition education for low-income pregnant, breastfeeding, and non-breastfeeding postpartum women, and to infants and children up to age five who are found to be at nutritional risk.

2. Vaccine Commodities for \$27,277,100 – provides vaccines at no cost to eligible children ages 0-18 years that are uninsured, covered by Medicaid, under-insured, Alaska Native or American Indian.
3. Public Health Preparedness and Response for Bioterrorism for \$9,446,000 – for enhancing local preparedness and response capabilities and develop resilient communities.
4. Health Information Technology for \$8,000,000 – for payments to health care providers for adopting electronic health care records and achieving meaningful use.
5. Infants & Toddlers with Disabilities Baby Watch/Early Intervention Part C of the Individuals with Disabilities Education Act for \$6,580,500 – for assistance in operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, and their families.

The federal funds for approval include the following issues that may be of interest to the Legislature:

- Funds for addressing the misuse of opioids drugs:
 - a. Utah Prescription Drug Overdose, Abuse and Misuse Prevention Program - \$2,692,000 in FY2018 and \$1,940,000 in FY2019 that will be used for enhancing and maximizing the Utah Controlled Substance Database as a public health tool through development and implementation of provider dashboards and tools, community-level interventions including provider media campaigns, academic detailing, and uptake of clinical guidelines, and policy evaluations of the Opiate Overdose Response Act and Utah's Standing Orders for Naloxone.
 - b. Enhanced State Surveillance of Opioid-Involved Morbidity and Mortality - \$439,100 in FY 2018 and \$313,600 in FY 2019 that will be used for conducting rapid surveillance of opioid-related overdose using the following indicators: a) suspected drug overdose, b) suspected drug overdoses involving any opioid, and c) suspected drug overdose involving heroin from the medical examiner's office. In addition, data will be obtained from emergency medical services and emergency department discharge data. Funding will also be used for enhanced toxicology testing.

[UCA 63J-5-103](#) excludes the following federal grants from the annual approval process that flow through the Department of Health:

1. Medicaid
2. Children's Health Insurance Program
3. Women, Infant, and Children program (this grant is included in the tables below as a courtesy)

Executive Director's Operations FY 2018 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement				Local/Other	\$ of grant passed through	% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds								
			General Fund	In Kind	Maint. Of Effort	Total State Match					
Federal Indirect	N/A	\$499,000	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	Indirect charged on federal awards
Vital Statistics Cooperative Program	N/A	\$115,700	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Cycle IV Grant	93.511	\$48,800	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Cycle III Grant	93.511	\$15,800	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
National Death Index Contract	N/A	\$7,600	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Other Changes From Previously Approved Levels		-\$173,800	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Division/Program Totals		\$513,100	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	

Vaccine Commodities FY 2018 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement				Local/Other	\$ of grant passed through	% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds								
			General Fund	In Kind	Maint. Of Effort	Total State Match					
Vaccine Commodities -	N/A	\$123,100	\$0	\$0	\$0	\$0	\$0	\$123,100	100%	-	Value of physical vaccine commodities

Vaccine Commodities FY 2019 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement				Local/Other	% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements	
			Matching State Funds								
			General Fund	In Kind	Maint. Of Effort	Total State Match					
Vaccine Commodities	N/A	\$27,277,100	\$0	\$0	\$0	\$0	\$0	\$0	100%	-	Value of physical vaccine commodities

Disease Control and Prevention– Health Promotion FY 2019 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					% Pass-Thru	New Staff
			Matching State Funds				Local/Other		
			General Fund	In Kind	Maint. Of Effort	Total State Match			
Diabetes, Heart Disease & Stroke Prevention State and Local Public Health Actions to Prevent (4360,4361,4363,4364)	93.757	\$3,393,300	\$0	\$0	\$0	\$0	\$0	62%	-
Utah Cancer Control Program Policy, Systems, and Environmental Change Strategies to Prevent and Control Cancer (4222, 4223, 3841)	93.898	\$2,972,200	\$0	\$1,980,687	\$489,500		\$0	18%	
Utah Prescription Drug Overdose, Abuse and Misuse Prevention Program (4357)	93.136	\$2,606,300	\$0	\$0	\$0	\$0	\$0	44%	-
Utah BeWise Program (4220)	93.094	\$2,574,100	\$0	\$778,438	\$0	\$0	\$0	52%	
Utah- To Prevent & Control and Promote School Health (Non-PPHF Prevention and Public Health Fund 4250,4297,4299,4240, 4245, 4247) (Prevention and Public Health Fund (PPHF) 4237,4249,4239,4247)	93.945 & 93.757	\$1,985,600	\$0	\$0	\$0	\$0	\$0	19%	
Prevention and Public Health Fund (PPHF) 2017: Preventive Health and Services Block Grant's financed solely by 2017 Prevention (4320, 4323, 4290, 4329, 4398)	93.758	\$1,441,000	\$0	\$0	\$0	\$0	\$0	78%	-
Tobacco Control Program (4378)	93.305	\$985,000	\$0	\$0	\$0	\$0	\$0	58%	-
Injury Prevention Maternal and Child Health (4321, 4328, 4216)	93.994	\$938,200	\$0	\$0	\$0	\$0	\$0	41%	-
Utah Rape Prevention and Education Program (4330)	93.136	\$733,800	\$0	\$0	\$0	\$0	\$0	0%	-
Expanding and Sustaining Evidence-Based Programs in Utah with a Focus on Reimbursement and Rural Outreach (4265)	93.734	\$699,200	\$0	\$0	\$0	\$0	\$0	21%	-
Utah State Public Health Approaches to Improving Arthritis Outcomes (4261)	93.945	\$607,800	\$0	\$0	\$0	\$0	\$0	8%	-
Utah Asthma Program Comprehensive Asthma Control Though Evidence-based Strategies and Public Health Care Collaboration (4271)	93.07	\$517,400	\$0	\$0	\$0	\$0	\$0	38%	-
Enhanced State Surveillance of Opioid-Involved Morbidity and Mortality (3852)	93.136	\$421,800	\$0	\$0	\$0	\$0	\$0	65%	-
Utah Cancer Genomics Project (4252)	93.283	\$324,900	\$0	\$0	\$0	\$0	\$0	38%	-
Core State Violence and Injury Prevention Program (4350)	93.163	\$223,500	\$0	\$0	\$0	\$0	\$0	0%	-
Utah Violent Death Reporting System (4324)	93.136	\$201,600	\$0	\$0	\$0	\$0	\$0	0%	-
Utah Evidenced-Based Falls Prevention Program (3853)	93.761	\$192,600	\$0	\$0	\$0	\$0	\$0	53%	-
Evaluate and Improve Prescribing Practices - Harold Rogers Prescription Drug Monitoring Program (4332)	16.754	\$191,300	\$0	\$0	\$0	\$0	\$0	56%	-
Expanding and Sustaining Evidence-Based Programs in Utah with a Focus on Chronic Pain Self-Management Program and Living Well with Disabilities (4264)	93.734	\$145,200	\$0	\$0	\$0	\$0	\$0	36%	-
Improving the Health of People with Mobility Limitations and Intellectual Disabilities through State-based Public Health Programs in Utah (4219)	93.184	\$141,400	\$0	\$0	\$0	\$0	\$0	0%	-
Promoting Population Health through Increased Capacity in Alcohol Epidemiology in Utah (4334)	93.068	\$124,100	\$0	\$0	\$0	\$0	\$0	0%	-
Administration of the Youth Risk Behavior and School Health Profiles Survey (4213)	93.079	\$74,800	\$0	\$0	\$0	\$0	\$0	0%	-
FY18 Quitline Capacity Grant- For Improving Quitline Capacity and Sustainability Through Increased Health Plan Cessation Coverage and Increased Quitline Services (4388)	93.735	\$64,900	\$0	\$0	\$0	\$0	\$0	0%	-
Division/Program Totals		\$21,560,000	\$0	\$2,759,100	\$489,500	\$0	\$0	40%	-
DCP Division Total		\$41,535,600	\$41,943	\$2,759,100	\$1,656,600	\$1,209,000	\$0	37%	-

Medicaid Services FY 2019 – American Reinvestment and Recovery Act (ARRA)

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement				% Pass-Thru	New Staff
			Matching State Funds					
			General Fund	Dedicated Credits	Other Funds	Total State Match		
Health Information Technology (HIT) ARRA	93.719	\$8,000,000	\$0	\$0	\$0	\$0	100%	-

Disease Control and Prevention – Health Promotion FY 2018 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					\$ of grant passed through	% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds				Local/Other				
			General Fund	In Kind	Maint. Of Effort	Total State Match					
Utah Prescription Drug Overdose, Abuse and Misuse Prevention Program (4357)	93.136	\$663,800	\$0	\$0	\$0	\$0	\$0	-\$80,500	-21%	-	Additional Funding
Utah BeWise Program (4220)	93.094	\$552,900	\$0	\$78,438	\$0	\$0	\$0	-\$26,989	-5%	-	Awarded Additional Funding
Expanding and Sustaining Evidence-Based Programs in Utah with a Focus on Reimbursement and Rural Outreach (4265)	93.734	\$387,000	\$0	\$0	\$0	\$0	\$0	\$52,700	36%	-	
Enhanced State Surveillance of Opioid-Involved Morbidity and Mortality (3852)	93.136	\$350,000	\$0	\$0	\$0	\$0	\$0	\$229,500	65%	-	New Funding
Utah State Public Health Approaches to Improving Arthritis Outcomes (4261)	93.945	\$294,300	\$0	\$0	\$0	\$0	\$0	-\$33,400	-18%	-	Awarded Additional Funding
Utah Rape Prevention and Education Program (4330)	93.136	\$213,700	\$0	\$0	\$0	\$0	\$0	\$289,300	56%	-	Awarded Additional Funding
Evaluate and Improve Prescribing Practices - Harold Rogers Prescription Drug Monitoring Program (4332)	16.754	\$191,300	\$0	\$0	\$0	\$0	\$0	\$108,900	86%	-	New Funding
Utah Evidenced-Based Falls Prevention Program (3853)	93.761	\$177,300	\$0	\$0	\$0	\$0	\$0	\$40,100	22%	-	New Funding
Expanding and Sustaining Evidence-Based Programs in Utah with a Focus on Chronic Pain Self-Management Program and Living Well with Disabilities (4264)	93.734	\$145,200	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Prevention and Public Health Fund (PPHF) 2017: Preventive Health and Health Services Block Grant's financed solely by 2017 Prevention (4320, 4323, 4290, 4329, 4398)	93.758	\$65,100	\$0	\$0	\$0	\$0	\$0	\$15,700	10%	-	Awarded Additional Funding
Improving the Health of People with Mobility Limitations and Intellectual Disabilities through State-based Public Health Programs in Utah (4219)	93.184	\$5,500	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	Awarded Additional Funding
Administration of the Youth Risk Behavior and School Health Profiles Survey (4213)	93.079	\$4,200	\$0	\$0	\$0	\$0	\$0	-\$23,900	-35%	-	Reduced Funding
Utah Asthma Program Comprehensive Asthma Control Through Evidence-based Strategies and Public Health Care Collaboration (4271)	93.07	\$2,800	\$0	\$0	\$0	\$0	\$0	\$21,600	5%	-	Awarded Additional Funding
Utah Violent Death Reporting System (4324)	93.136	\$2,100	\$0	\$0	\$0	\$0	\$0	\$6,600	3%	-	Awarded Additional Funding
Diabetes, Heart Disease & Stroke Prevention State and Local Public Health Actions to Prevent (4360, 4361, 4363, 4364)	93.757	\$1,800	\$0	\$0	\$0	\$0	\$0	\$153,400	-2%	-	1422- Grant
Other Changes From Previously Approved Levels		-\$1,289,000	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Division/Program Totals		\$1,768,000	\$0	\$78,400	\$0	\$0	\$0	\$753,000	43%	-	

Family Health and Preparedness FY 2018 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					\$ of grant passed through	% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds				Local/Other				
			General Fund	In Kind	Maint. Of Effort	Total State Match					
Public Health Preparedness and Response for Bioterrorism	93.069	\$1,493,800	\$0	\$0	\$0	\$0	\$821,590	\$821,950	55%	-	Pass thru to Local Health Departments and other Health Providers
Infants & Toddlers with Disabilities Baby Watch/Early Intervention (BW/EI) Part C of the Individuals with Disabilities Education Act	84.181A	\$1,081,000	\$0	\$0	\$12,298,000	\$12,298,000	\$0	\$1,081,000	100%	-	Baby Watch Early Intervention Subrecipient
Women Infants and Children (WIC) Mountain Plains User Group Operating System Enhancements	10.578	\$663,000	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	CDP, Project Management Office, and Electronic Benefits Transfer Contract
Women Infants and Children (WIC) Infrastructure Grants	10.578	\$640,600	\$0	\$0	\$0	\$0	\$0	\$640,600	100%	-	Pass thru to Local Health Departments
Maternal and Child Health Block Grant	93.994	\$408,800	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Documentation and Use of Follow-up Diagnostic & Intervention Services Data through Maintenance and Enhancement of the Early Hearing Detection and Intervention Information System (EHD-IS)	93.314	\$142,500	\$0	\$0	\$0	\$0	\$0	\$64,200	45%	-	
Rural Hospital Flexibility Grant Program	93.241	\$90,900	\$0	\$0	\$0	\$0	\$0	\$90,000	100%	-	Pass thru to Specialized contractors.
National Background Check Grant	93.778	\$52,000	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Primary Care Services Resources Coordination and Development Program	93.13	\$47,000	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Utah Title V Abstinence Education Grant Program	93.235	\$45,100	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Federal Survey and Certification Title XVIII Commodity Supplemental Food Program	10.565	\$18,700	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Utah State Systems Development Initiative Grant	93.11	\$9,100	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
State Office of Rural Health	93.913	\$6,800	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
General Medicaid Administration Title 19 - Home and Community Based Waiver	93.778	\$3,600	\$900	\$0	\$0	\$900	\$0	\$0	0%	-	
Division/Program Totals		\$4,740,100	\$900	\$0	\$12,298,000	\$12,298,900	\$821,600	\$2,697,800	57%	-	

Medicaid – Intergovernmental Transfers FY 2018

Intergovernmental Transfer Program Title	CFDA Number	Federal Funds	Annual Match Requirement		New Permanent Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds			
			Dedicated Credits	Total State Match		
County Mental Health	93.778	\$90,565,000	\$38,500,000	\$38,500,000	-	
County Substance Abuse	93.778	\$8,505,000	\$3,600,000	\$3,600,000	-	
Disproportionate Share Hospital	93.778	\$17,482,000	\$7,400,000	\$7,400,000	-	
Healthy U Health Plan	93.778	\$2,823,000	\$1,200,000	\$1,200,000	-	
Inpatient Upper Payment Limit	93.778	\$38,813,000	\$16,500,000	\$16,500,000	-	
Inpatient Upper Payment Limit - NEW	93.778	\$22,818,000	\$9,700,000	\$9,700,000	-	The University of Utah Hospitals and Clinics will be submitting the IGT if approved by CMS.
Nursing Facility Upper Payment Limit	93.778	\$54,574,000	\$23,200,000	\$23,200,000	-	
Nursing Facility NSGO Upper Payment Limit for all NEW NSGO's	93.778	\$14,719,000	\$6,257,000	\$6,257,000	-	Includes the 5 pending facilities (4 for BVH and 2 for GVH), 5 new requests for Kane County, and 6 Avalon facilities that have noted they anticipate becoming NSGO owned by Milford Valley Hospital.
Nurse Aid Registry	93.778	\$176,000	\$75,000	\$75,000	-	
Outpatient Upper Payment Limit	93.778	\$18,231,000	\$7,750,000	\$7,750,000	-	
School Based Skills Development	93.778	\$29,404,000	\$12,500,000	\$12,500,000	-	
Targeted Case Management	93.778	\$1,882,000	\$800,000	\$800,000	-	
University of Utah Medical Group Physician Enhancement	93.778	\$31,051,000	\$13,200,000	\$13,200,000	-	
University of Utah School of Dentistry Disabled Dental	93.778	\$353,000	\$150,000	\$150,000	-	
Division/Program Totals		\$331,396,000	\$140,832,000	\$140,832,000	-	

Executive Director's Operations FY 2019 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement				Local/Other	% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds			Total State Match				
			General Fund	In Kind	Maint. Of Effort					
Federal Indirect	N/A	\$5,385,900	\$0	\$0	\$0	\$0	0%	-	Indirect charged on federal awards	
Behavioral Risk Factor Surveillance Grant	93.283	\$266,700	\$0	\$0	\$0	\$0	9%	-		
Vital Statistics Cooperative Program	N/A	\$265,000	\$0	\$0	\$0	\$0	0%	-		
Social Security Administration Enumeration at Birth Contract	N/A	\$184,200	\$0	\$0	\$0	\$0	0%	-		
Cycle IV Grant	93.511	\$120,200	\$0	\$0	\$0	\$0	0%	-		
Prevention Block Grant	93.283	\$50,000	\$0	\$0	\$0	\$0	50%	-		
Social Security Administration Death Record Contract	N/A	\$43,600	\$0	\$0	\$0	\$0	0%	-		
National Death Index Contract	N/A	\$18,900	\$0	\$0	\$0	\$0	0%	-		
Division/Program Totals		\$6,334,500	\$0	\$0	\$0	\$0	1%	-		

Disease Control and Prevention - Epidemiology & Laboratory Services FY 2019 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds				Local/Other			
			General Fund	In Kind	Maint. Of Effort	Total State Match				
Ryan White Care Act Title II (3517)	93.917	\$4,024,300	\$41,943	\$0	\$1,167,050	\$1,208,993	\$0	21%		
Immunization and Vaccines for Children Program	93.539 & 93.268	\$3,901,500	\$0	\$0	\$0	\$0	\$0	43%		
Utah's proposal for activities within the Prevention and Public Health Fund (PPHF) 2014 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) cooperative agreement (3460-3798)	93.521 & 93.323 & 93.815	\$3,476,200	\$0	\$0	\$0	\$0	\$0	16%	-	
Ryan White Part B Supplemental (3513)	93.917	\$2,673,600	\$0	\$0	\$0	\$0	\$0	63%		
AIDS Drug Assistance Program (ADAP) Shortfall Relief (3545)	93.917	\$1,322,900	\$0	\$0	\$0	\$0	\$0	0%		
The Utah Department of Health Enhancing Innovation and Capabilities of the Environmental Public Health Tracking Network (3717)	93.070	\$808,200	\$0	\$0	\$0	\$0	\$0	20%	-	
The 4 Corner States are Collaborating to Develop and Enhance Biomonitoring Capability to Assess Human Exposure in This Region	93.070	\$764,800	\$0	\$0	\$0	\$0	\$0	72%		
Comprehensive HIV Prevention Project for Health Departments (3526)	93.940	\$719,400	\$0	\$0	\$0	\$0	\$0	51%	Will be combined with HIV Surveillance in FY 19CFDA# 93.944	
Sexually transmitted disease (STD) Prevention & Disease Intervention Activities Throughout the State of Utah (3591)	93.977	\$523,700	\$0	\$0	\$0	\$0	\$0	59%		
Utah Tuberculosis (TB) Prevention and Control Project (3567 & 3490)	93.116	\$286,000	\$0	\$0	\$0	\$0	\$0	50%		
Utah: National Syndromic Surveillance Program (3723)	93.283	\$227,400	\$0	\$0	\$0	\$0	\$0	55%	-	
The SSun (Sexually Transmitted Disease Surveillance Network) Part B Grant Will Fund the Enhancement of Case Reporting and Data Collection (3538)	93.977	\$225,900	\$0	\$0	\$0	\$0	\$0	58%		
Utah-ATSDR's (Agency for Toxic Substances and Disease Registry) Partnership to Promote Local Efforts to Reduce Environmental Exposure (Utah-APPLETREE) Program (3755)	93.240	\$210,400	\$0	\$0	\$0	\$0	\$0	1%	-	
Implementation of the National HIV Surveillance System (NHSS) in Utah to Monitor (3532)	93.944	\$204,100	\$0	\$0	\$0	\$0	\$0	0%	Will be combined with HIV Prevention in FY19 CFDA# 93.940	
Utah's Proposal for activities within the Strengthening Surveillance in Jurisdictions with High Incidence of Hepatitis C Virus (HCV) and Hepatitis B Virus (HBV) Infections grant (3584)	93.27	\$193,300	\$0	\$0	\$0	\$0	\$0	64%		
Health Lab Clinical Laboratory Improvement Amendment	N/A	\$143,800	\$0	\$0	\$0	\$0	\$0	0%		
Utah Refugee Health Promotion Project (3570)	93.576	\$100,000	\$0	\$0	\$0	\$0	\$0	100%		
Utah's proposal for activities within the Prevention and Public Health Fund (PPHF) 2014 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) cooperative agreement (3467, 3780, 3781)	93.815	\$94,700	\$0	\$0	\$0	\$0	\$0	0%	Requesting no-cost extension	
Viral Hepatitis Prevention & Surveillance (3585)	93.270	\$75,400	\$0	\$0	\$0	\$0	\$0	0%		
Division/Program Totals		\$19,975,600	\$41,943	\$0	\$1,167,100	\$1,209,000	\$0	34%	-	

Medicaid and Health Financing FY 2018 Supplemental – American Reinvestment and Recovery Act (ARRA) Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement				% Pass-Thru	New Staff
			Matching State Funds			Local/Other		
			General Fund	Dedicated Credits	Total State Match			
Health Information Technology (HIT) ARRA	93.719	\$2,118,800	\$0	\$235,500	\$235,500	\$0	0%	-

Medicaid and Health Financing FY 2019 – American Reinvestment and Recovery Act (ARRA) Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement				% Pass-Thru	New Staff
			Matching State Funds			Total State Match		
			General Fund	Dedicated Credits	Other Funds			
Health Information Technology (HIT) ARRA	93.719	\$3,365,700	\$30,800	\$267,500	\$75,700	\$374,000	0%	-

Family Health and Preparedness FY 2019 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds				Local/Other			
			General Fund	In Kind	Maint. Of Effort	Total State Match				
Women Infants and Children (WIC) includes Peer Counseling	10.557	\$44,823,000	\$0	\$0	\$0	\$0	\$0	92%	-	63J-5-103(2)(c). Pass Thru to local health departments. Pass Thru includes Food cost
Public Health Preparedness and Response for Bioterrorism	93.069	\$9,446,000	\$0	\$434,310	\$0	\$434,310	\$510,290	54%	-	Pass thru to Local Health Departments and other Health Providers
Infants & Toddlers with Disabilities Baby Watch/Early Intervention (BW/EI) Part C of the Individuals with Disabilities Education Act	84.181A	\$6,580,500	\$0	\$0	\$12,298,000	\$12,298,000	\$0	63%	-	Baby Watch Early Intervention Subrecipient
Maternal and Child Health Block Grant	93.994	\$6,033,900	\$0	\$0	\$0	\$3,473,789	\$0	36%	-	Pass thru to Local Health Departments and other Health Providers
Women Infants and Children (WIC) Mountain Plains User Group Operating System Enhancements	10.578	\$2,342,000	\$0	\$0	\$0	\$0	\$0	100%	-	CDP, Project Management Office, and Electronic Benefits Transfer Contract
Federal Survey and Certification Title XVIII	93.777	\$2,334,000	\$0	\$0	\$0	\$0	\$0	0%	-	
Federal Survey and Certification Title XIX	93.777	\$1,119,000	\$414,030	\$0	\$0	\$414,030	\$0	0%	-	
Affordable Care Act - Maternal, Infant and Early Childhood Home Visiting Program	93.505	\$1,027,100	\$0	\$0	\$0	\$0	\$0	80%	-	Home Visitation Sub Recipients
Utah Title V Abstinence Education Grant Program	93.235	\$628,800	\$0	\$0	\$0	\$0	\$0	92%	-	pass thru to local health departments
Personal Responsibility Education Program	93.092	\$550,000	\$0	\$0	\$0	\$0	\$0	92%	-	Pass thru to LHD and tribes
State Early Childhood Comprehensive Systems	93.11	\$420,200	\$0	\$0	\$0	\$0	\$0	42%	-	Help Me Grow Utah
Rural Hospital Flexibility Grant Program	93.241	\$349,200	\$0	\$0	\$0	\$0	\$0	55%	-	Pass thru to rural hospitals at Utah hospital association
Universal Newborn Hearing Screening and Intervention Program	93.251	\$223,000	\$0	\$0	\$0	\$0	\$0	37%	-	PASS THRU TO USU/UPC
Commodity Supplemental Food Program	10.565	\$212,700	\$0	\$0	\$0	\$0	\$0	49%	-	Pass thru to Utah Food Bank
Utah Birth Defects Surveillance and Data Utilization Project	93.073	\$192,300	\$0	\$0	\$0	\$0	\$0	23%	-	UofU
Utah Pregnancy Risk Assessment Monitoring System (PRAMS)	93.946	\$182,900	\$0	\$0	\$101,100	\$101,100	\$0	0%	-	
State Office of Rural Health	93.913	\$165,700	\$0	\$0	\$0	\$0	\$0	0%	-	Minimal pass through. Match is state funds from Primary Care Administration
State Partnership Grant Program to Improve Minority Health	93.296	\$163,200	\$0	\$0	\$0	\$0	\$0	0%	-	
Small Rural Hospital Improvement Program	93.301	\$159,300	\$0	\$0	\$0	\$0	\$0	86%	-	Pass thru to multiple hospitals
Primary Care Services Resources Coordination and Development Program	93.13	\$152,300	\$0	\$0	\$0	\$0	\$0	0%	-	No Pass thru
Documentation and Use of Follow-up Diagnostic & Intervention Services Data through Maintenance and Enhancement of the Early Hearing Detection and Intervention Information System (EHDHS)	93.314	\$142,500	\$0	\$0	\$0	\$0	\$0	45%	-	
Emergency Medical Services for Children (EMSC) Partnership Grants	93.127	\$130,000	\$0	\$0	\$0	\$0	\$0	88%	-	pass thru to primary children's hospital medical providers
Utah State Systems Development Initiative Grant	93.11	\$97,300	\$0	\$0	\$0	\$0	\$0	0%	-	
General Medicaid Administration Title 19 - Home and Community Based Waiver	93.778	\$46,700	\$11,675	\$0	\$0	\$11,675	\$0	0%	-	
Affordable Care Act - Maternal, Infant and Early Childhood Home Visiting Program	93.505	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	Home Visitation Subrecipients
Division/Program Totals		\$77,521,600	\$425,700	\$434,300	\$12,399,100	\$16,732,900	\$510,300	74%	-	

Disease Control and Prevention - Epidemiology & Laboratory Services FY 2018 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					\$ of grant passed through	% Pass-Thru	New Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds				Local/Other				
			General Fund	In Kind	Maint. Of Effort	Total State Match					
Ryan White Part B Supplemental (3513)	93.917	\$1,463,300	\$0	\$0	\$0	\$0	\$0	\$626,800	43%		Awarded Additional Funding
Immunization and Vaccines for Children Program (3501 & 4452)	93.539 & 93.268	\$504,900	\$0	\$0	\$0	\$0	\$0	\$397,100	79%		Awarded Additional Funding
Utah's proposal for activities within the Prevention and Public Health Fund (PPHF) 2014 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) cooperative agreement (3467, 3780, 3781)	93.815	\$459,600	\$0	\$0	\$0	\$0	\$0	\$591,800	129%		
Utah's Proposal for activities within the Strengthening Surveillance in Jurisdictions with High Incidence of Hepatitis C Virus (HCV) and Hepatitis B Virus (HBV) Infections grant (3584)	93.27	\$193,300	\$0	\$0	\$0	\$0	\$0	\$124,400	64%		New Award
Ryan White Care Act Title II (3517)	93.917	\$45,200	\$0	\$0	\$483	\$483	\$0	-\$54,400	-120%		Awarded Additional Funding
The Utah Department of Health Enhancing Innovation and Capabilities of the Environmental Public Health Tracking Network (3717)	93.070	\$28,700	\$0	\$0	\$0	\$0	\$0	\$23,400	82%	-	Awarded Additional Funding
Health Lab Clinical Laboratory Improvement Amendment	N/A	\$24,300	\$0	\$0	\$0	\$0	\$0	\$0			
Sexually transmitted disease (STD) Prevention & Disease Intervention Activities Throughout the State of Utah	93.977	\$19,500	\$0	\$0	\$0	\$0	\$0	\$19,500	100%		Awarded Additional Funding
Utah-ATSDR's (Agency for Toxic Substances and Disease Registry) Partnership to Promote Local Efforts to Reduce Environmental Exposure (Utah-APPLETREE) Program (3755)	93.240	\$10,800	\$0	\$0	\$0	\$0	\$0	\$1,500	14%	-	Awarded Additional Funding
Comprehensive HIV Prevention Project for Health Departments	93.940	\$9,600	\$0	\$0	\$0	\$0	\$0	\$0	0%		Awarded Additional Funding
Utah: National Syndromic Surveillance Program (3723)	93.283	\$8,700	\$0	\$0	\$0	\$0	\$0	-\$81	0%	-	Awarded Additional Funding
Viral Hepatitis Prevention & Surveillance	93.270	\$7,900	\$0	\$0	\$0	\$0	\$0	\$0	0%		Awarded Additional Funding
The SSun (Sexually Transmitted Disease Surveillance Network) Part B Grant Will Fund the Enhancement of Case Reporting and Data Collection	93.977	\$6,700	\$0	\$0	\$0	\$0	\$0	\$6,700	100%		Awarded Additional Funding
The 4 Corner States are Collaborating to Develop and Enhance Biomonitoring Capability to Assess Human Exposure in This Region (3235)	93.070	\$2,700	\$0	\$0	\$0	\$0	\$0	\$16,000	593%		
Utah Tuberculosis (TB) Prevention and Control Project	93.116	\$1,100	\$0	\$0	\$0	\$0	\$0	-\$13,200	-1200%		Reduced Funding
Other Changes From Previously Approved Levels		-\$1,384,600	\$0	\$0	\$0	\$0	\$0	\$0	0%	-	
Division/Program Totals		\$1,401,700	\$0	\$0	\$500	\$500	\$0	\$1,739,500	124%	-	

Medicaid – Intergovernmental Transfers FY 2019

Intergovernmental Transfer Program Title	CFDA Number	IGT Amount	Recipient of Funds - Non-State Owned Entities			Utah Department of Health		
			Source of Funds	Use of Funds	Ability to Repay Funds	Other Required Information	Risk of Federal Disallowance	Other Required Information
NF NSGO UPL for Beaver Valley Hospital	93.778	\$2,241,000	Beaver Valley Hospital: "All monies used to fund any NF UPL Invoice requests from the Department of Health will be funded with funds from Capital and other hospital reserves that meet and comply with all Federal and State eligibility requirements. B.V.H. has also established a "Line of Credit" that may be used if the total amount of IGT invoices exceed our available and/or eligible funding sources. NO UNPERMISSIBLE FUNDS WILL EVER BE USED TO FUND AND CURRENT OR FUTURE NF UPL IGT INVOICES. As a NSGO, Beaver Valley Hospital cites the legal basis of these funds to be 42 CFR 433.51"	Beaver Valley Hospital: "All funds received were used in the delivery and development of health care services in Non State Government Owned (NSGO) Skilled Nursing Facilities, Acute Care Hospitals, Capital Projects and Reserves were also funded with portions of the IGT payments. All funds received in SFY 2018 will be used for the delivery of healthcare services in Acute and Skilled, and Rehab. Facilities. Some of the payments received will also fund current year Capital expenditures and other Capital Reserves. No significant changes are anticipated in the Types of expenditures made in State Fiscal Year 18 from State Fiscal Year 17"	Beaver Valley Hospital: For a disallowance scenario of one year of payments: "Because the majority of the funds received are used for current year expenditures, our ability to pay a full repayment would be difficult if the entire repayment was required at the time the Disallowance of Funds were issued. Repayment could occur over a period of a few years... Provided that penalties and any interest associated with the Disallowance were not burdensome. Repayment would be funded with any reserves on hand and current operating revenues."	None Required at this Time	Higher	None Required at this Time
NF NSGO UPL for Gunnison Valley Hospital	93.778	\$606,000	Gunnison Valley Hospital: "The funds provided to the department meet the requirements of 42 CFR 433, Subpart B, and R414-505. They were derived from operations saved from prior years."	Gunnison Valley Hospital: "For operating expenses (services, supplies, utilities, rent, maintenance etc)"	Gunnison Valley Hospital: "A year end June 30, 2017 the entity had \$20,988,957 in cash and cash investments. The for the past two years the entity received an average of \$1.5 million in gross UPL or \$918K net UPL. We don't foresee anything that would hamper our ability to pay back if necessary."	None Required at this Time	Higher	None Required at this Time
NF NSGO UPL for Kane County Hospital	93.778	\$700,000	Kane County Hospital: "The funds that will be provided to the Department come from revenue generated by the hospital and from funds saved from prior years. These funds meet the requirements of 42 CFR 433, Subpart B and R414-505."	Kane County Hospital: "The hospital plans to use the funds for hospital operations and to help fund a planned capital improvement project to renovate our ER and pharmacy. The nursing facilities will use the funds for operations purposes and to improve quality of care, staffing ratios, resident programs, and capital improvements."	Kane County Hospital: "In the unlikely event of a disallowance, the hospital would pay any repayment obligation of the payments it received from its operations and reserves. The hospital has the contractual right in the event of a repayment obligation to recoup all UPL payments received by the nursing facilities directly from the management company for each facility."	None Required at this Time	Higher	None Required at this Time
NF NSGO UPL for Milford Valley Hospital	93.778	\$2,710,000	Milford Valley Hospital: "The funds that will be provided to the Department come from revenue generated by the hospital and from funds saved from prior years. These funds meet the requirements of 42 CFR 433, Subpart B and R414-505."	Milford Valley Hospital: "The hospital plans to use the funds for hospital operations and to help fund capital expenses, equipment purchases and to enhance patient care. The nursing facilities will use the funds for operations and to improve quality of care, staffing ratios, resident programs, and capital improvements."	Milford Valley Hospital: "In the unlikely event of a disallowance, the hospital would pay any repayment obligation of the payments it received from its operations and reserves. The hospital will have the contractual right in the event of a repayment obligation to recoup UPL payments received by the nursing facilities directly from the management company for each facility."	None Required at this Time	Higher	None Required at this Time
Inpatient Upper Payment Limit	93.778	\$9,700,000	University of Utah Hospital and Clinics: Operating Revenues	University of Utah Hospital and Clinics: "Utah Medicaid has not changed the base Medicaid DRG rate since the state cut the rate 32% in 2009 and 2010. Since that time, Medicare has increased its rates annually, resulting in an increasing Upper Payment Limit gap. In FY 2017, University of Utah Health ("U of U Health") used the supplemental Medicaid payments funded by the inpatient UPL IGT (Inpatient IGT) to help cover the gap between the Medicaid payment and the payment Medicare would have made for the same services."	University of Utah Hospital and Clinics: "U of U Health has sufficient cash reserves to cover a potential multi-year federal disallowance of supplemental Medicaid payments funded by the inpatient IGT."	None Required at this Time	Lower	None Required at this Time

FEDERAL FUNDS AND INTERGOVERNMENTAL TRANSFERS - DEPARTMENT OF HEALTH

Intergovernmental Transfer Program Title	CFDA Number	Federal Funds	Annual Match Requirement		New Permanent Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds			
			Dedicated Credits	Total State Match		
County Mental Health	93.778	\$89,195,000	\$38,500,000	\$38,500,000	-	
County Substance Abuse	93.778	\$8,340,000	\$3,600,000	\$3,600,000	-	
Disproportionate Share Hospital	93.778	\$17,144,000	\$7,400,000	\$7,400,000	-	
Healthy U Health Plan	93.778	\$2,780,000	\$1,200,000	\$1,200,000	-	
Inpatient Upper Payment Limit	93.778	\$38,226,000	\$16,500,000	\$16,500,000	-	
Inpatient Upper Payment Limit - NEW	93.778	\$22,472,000	\$9,700,000	\$9,700,000	-	The University of Utah Hospitals and Clinics will be submitting the IGT if approved by CMS.
Nursing Facility Upper Payment Limit	93.778	\$53,749,000	\$23,200,000	\$23,200,000	-	
Nursing Facility NSGO Upper Payment Limit for all NEW NSGO's	93.778	\$14,496,000	\$6,257,000	\$6,257,000	-	Includes the 5 pending facilities (4 for BVH and 2 for GVH), 5 new requests for Kane County, and 6 Avalon facilities that have noted they anticipate becoming NSGO owned by Milford Valley Hospital.
Nurse Aid Registry	93.778	\$174,000	\$75,000	\$75,000	-	
Outpatient Upper Payment Limit	93.778	\$17,955,000	\$7,750,000	\$7,750,000	-	
School Based Skills Development	93.778	\$28,959,000	\$12,500,000	\$12,500,000	-	
Targeted Case Management	93.778	\$1,853,000	\$800,000	\$800,000	-	
University of Utah Medical Group Physician Enhancement	93.778	\$30,581,000	\$13,200,000	\$13,200,000	-	
University of Utah School of Dentistry Disabled Dental	93.778	\$348,000	\$150,000	\$150,000	-	
Division/Program Totals		\$326,272,000	\$140,832,000	\$140,832,000	-	

Opportunities to Draw Down More Federal Funds

The Department of Health indicates that there is one non-entitlement grant where additional federal funds could be drawn down:

- **Health Facility Licensing Survey Title XIX (Medicaid)** – with \$23,000 General Fund, the State could draw down \$69,000 in federal funds for inspection work of Intermediate Care Facilities for the Intellectually Disabled

Disease Control and Prevention – Federal Block Grants

The Disease Control and Prevention line item has one block grant, Preventive Health and Health Services at \$1,441,000 for FY 2019. This might be an option for funding the following building blocks in the Governor’s budget (<https://gomb.utah.gov/wp-content/uploads/sites/7/2017/12/FY-2019-Governors-Budget-Recommendations.pdf>):

- 1) Early Intervention Home Visiting Programs

2) Utah Statewide Immunization Technology System

The Department of Health indicates: “Funding any of these new areas would constitute a redirection in funds away from current priorities and partners, requiring approval from the Health Advisory Committee, input through a public hearing, and approval from the Centers for Disease Control and Prevention. Funding other areas through the Preventive Health and Health Services Block Grant would decrease funding for other top health priorities of obesity prevention and injury prevention.”

Below is a table showing the change in spending by purpose for this block grant from FFY 2011 through FFY 2019 (estimated). The Department of Health explains why it used \$703,200 or 88% of the \$803,000 increase in federal funds for physical activity/obesity and injury prevention starting in FFY 2018 with “Physical Activity, Obesity Prevention, and Injury Prevention are national and local health priority issues.”

Preventive Block Grant	FFY 2011	FFY 2012	FFY 2013	FF 2014	FF2015	FFY2016	FFY2017	FFY2018 (est)	FFY2019 (est)
Mandatory Spending	\$ 54,700	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800
Sexual Violence	\$ 54,686	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798
Discretionary Spending	\$ 907,100	\$ 674,300	\$ 662,600	\$ 630,000	\$ 1,433,000	\$ 1,407,500	\$ 1,438,100	\$ 1,438,100	\$ 1,438,100
Physical Activity/Obesity	\$ 535,368	\$ 438,303	\$ 506,887	\$ 483,585	\$ 841,783	\$ 850,000	\$ 850,000	\$ 850,000	\$ 850,000
Injury Prevention	\$ 160,410	\$ 149,124	\$ 114,983	\$ 110,357	\$ 455,354	\$ 450,000	\$ 450,000	\$ 450,000	\$ 450,000
Preventive Block Administration	\$ 32,058	\$ 24,946	\$ 40,681	\$ 36,077	\$ 135,911	\$ 57,538	\$ 88,084	\$ 88,084	\$ 88,084
Public Health Assessment	\$ 98,084	\$ 34,880				\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000
Environmental Epidemiology	\$ 81,224	\$ 27,049							
Total	\$ 961,800	\$ 736,100	\$ 724,300	\$ 691,800	\$ 1,494,800	\$ 1,469,300	\$ 1,499,900	\$ 1,499,900	\$ 1,499,900

Approved spending purposes and additional information are available on the following website, <https://www.healthypeople.gov/2020/topics-objectives>.

Disease Control and Prevention – Federal Reserves Over Three Months

Federal reserves, excluding block grants, are listed below along with building blocks in the Governor’s budget, <https://gomb.utah.gov/wp-content/uploads/sites/7/2017/12/FY-2019-Governors-Budget-Recommendations.pdf>, that they might be able to help fund:

- 1) Injury Prevention Maternal and Child Health
 - a. Early Intervention Home Visiting Programs
 - b. Utah Statewide Immunization Technology System

The Department of Health indicates: “Funding any of these new areas would constitute a redirection in funds away from current priorities and partners, requiring approval from the Health Advisory Committee, input through a public hearing, and approval from the Centers for Disease Control and Prevention. Funding other areas through the Preventive Health and Health Services Block Grant would decrease funding for other top health priorities of obesity prevention and injury prevention.”

Federal Grant Name	Amount in FY 2018 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
Utah's proposal for activities within the Prevention and Public Health Fund (PPHF) 2014 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) cooperative agreement	\$ 1,163,500	\$1,128,000	11	This grant has a three-year budget period. The amount is to be spent over the entire budget period.
Comprehensive HIV Prevention Project for Health Departments	\$ 719,400	\$ 780,600	13	This grant has a two-year budget period. The amount is to be spent over the entire budget period.
Injury Prevention Maternal and Child Health	\$ 938,200	\$ 570,300	7	This is a Federal Block grant. The old year funds are spent before new funds.
Implementation of the National HIV Surveillance System (HHSS) in Utah to Monitor	\$ 204,100	\$ 101,300	5	Received supplemental and carry forward funds after Budget Request was done. The Notice of Award has a \$15,000 error.
Total	\$ 3,025,200	\$2,580,200	10	

Family Health and Preparedness – Federal Block Grants

The Department is not aware of any building blocks in the Governor’s budget (<https://gomb.utah.gov/wp-content/uploads/sites/7/2017/12/FY-2019-Governors-Budget-Recommendations.pdf>) that could be funded with the block grant below:

- Maternal and Child Health \$6,033,900 in FY 2019
 - a. The agency decides how to use the grant money with public input and must conduct a needs assessment every five years.

Below is a table showing the change in spending by purpose for this block grant from FFY 2014 through FFY 2019 (estimated). The Department of Health explains the \$697,500 increase in mandatory spending from FFY 2016 to FFY 2017 with: “the majority of the increase being allocated to the Children with Special Health Care Needs direct service clinics. Maternal and Child Health Block Grant mandates that 30% of the budget be directed to States must budget and report expenditures of the federal-state partnership by subpopulation: pregnant women; infants < 1 year; children 1-22 years old (a child from 1st birthday through the 21st year); children with special health care needs (CSHCN); all others (women of childbearing age). States have statutory expenditure requirements on the federal portion that must be met for each

annual allotment. Use at least 30% for preventive and primary care services for children. Use at least 30% for services for CSHCN. Limit administrative costs to no more than 10%.”

Maternal Child Health	FFY2014	FFY2015	FFY2016	FFY2017	FFY2018 (est)	FFY2019 (est)
Mandatory Spending	\$5,482,900	\$4,897,700	\$ 4,768,200	\$5,465,700	\$ 5,465,700	\$ 5,465,700
Child Development	\$ 97,627	\$ 59,791	\$ 62,416	\$ 60,000	\$ 60,000	\$ 60,000
Financial Resources	\$ 122,154	\$ 133,854	\$ 110,938	\$ 142,000	\$ 142,000	\$ 142,000
LHD Contracts	\$1,300,442	\$1,364,591	\$ 1,220,149	\$1,188,394	\$ 1,188,394	\$ 1,188,394
Violence & Injury (VIPP)	\$ 407,497	\$ 380,349	\$ 410,950	\$ 450,980	\$ 450,980	\$ 450,980
Community Injury (VIPP)	\$ 384,747	\$ 372,579	\$ 363,820	\$ 387,710	\$ 387,710	\$ 387,710
MCH Admin	\$ 218,992	\$ 262,109	\$ 410,307	\$ 386,300	\$ 386,300	\$ 386,300
Data Resources	\$ 195,523	\$ 290,882	\$ 308,859	\$ 350,000	\$ 350,000	\$ 350,000
Oral Health	\$ 180,424	\$ 167,145	\$ 222,327	\$ 181,400	\$ 181,400	\$ 181,400
CSHCN Admin.	\$ 349,424	\$ 363,488	\$ 6,467	\$ 280,900	\$ 280,900	\$ 280,900
CSHCN Clinics MCH	\$1,421,138	\$ 371,574	\$ 484,981	\$ 800,000	\$ 800,000	\$ 800,000
CSHCN Integrated Services		\$ 453,584	\$ 455,733	\$ 500,000	\$ 500,000	\$ 500,000
Birth Defects	\$ 314,904	\$ 290,059	\$ 378,614	\$ 238,000	\$ 238,000	\$ 238,000
Indirect	\$ 490,000	\$ 387,678	\$ 332,658	\$ 500,000	\$ 500,000	\$ 500,000
Discretionary Spending	\$ 663,300	\$1,282,900	\$ 1,397,500	\$ 700,000	\$ 700,000	\$ 700,000
MCH Admin	\$ 72,997					
Maternal and Infant Health	\$ 314,255	\$ 463,586	\$ 584,092	\$ 400,000	\$ 400,000	\$ 400,000
Data Resources	\$ 48,881					
Pregnancy Risk Line	\$ 227,157	\$ 296,567	\$ 347,776	\$ 300,000	\$ 300,000	\$ 300,000
Oral Health Survey		\$ 45,000				
Minority Health Disparities		\$ 45,768	\$ 45,836			
School Health		\$ 93,378	\$ 94,676			
Safe Haven		\$ 32,034	\$ 20,362			
Baby Your Baby		\$ 219,646	\$ 199,663	\$ -	\$ -	\$ -
Early Hearing Detection	\$ -	\$ -	\$ 29,823			
CHARM		\$ 86,971	\$ 75,253			
Total	\$6,146,200	\$6,180,600	\$ 6,165,700	\$6,165,700	\$ 6,165,700	\$ 6,165,700

Family Health and Preparedness – Federal Reserves Over Three Months

Federal Grant Name	Amount in FY 2018 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
Maternal and Child Health Block Grant	\$ 6,080,600	\$6,173,600	12	MCH has a 24 month award period, authority total covers two years. Funding must be use for specific regulations stated in the block grant application.
Maternal, Infant and Early Childhood Homevisiting Grant program	\$ 2,875,600	\$ 987,700	4	Overlapping Grant Awards Available 04/1/16 -9/30/18 and 9/30/17 -9/29/19; Funds can only be used for activities specified in the grant
Personal Responsibility Education Program	\$ 550,000	\$ 595,400	12	Overlapping Grant Awards Available 10/1/15 -9/30/18 and 10/1/16 -9/30/19; Funds can only be used for activities specified in the grant
Total	\$ 9,506,200	\$7,756,700	9	

Federal reserves, excluding block grants, are listed below along with building blocks in the Governor's budget, <https://gomb.utah.gov/wp-content/uploads/sites/7/2017/12/FY-2019-Governors-Budget-Recommendations.pdf>, that they might be able to help fund:

- 1) Maternal, Infant and Early Childhood Homevisiting Grant program
 - a. Early Intervention Home Visiting Programs

The Department of Health indicates: "Maternal, Infant and Early Childhood Homevisiting reserve spending authority will be depleted by September 29, 2018, if not sooner. Federal Homevisitation expansion grants have been reduced or are no longer available. The Office of Homevisitation will be reducing services to the program as it currently exists. The Department is not aware of any building blocks in the Governor's budget that could be funded with these grant reserves."

Subcommittee Options for These Federal Grants and Intergovernmental Transfers

As per [UCA 63J-5-201](#) the subcommittee has at least the following three options:

1. "Recommend that the agency accept the federal funds or participate in the federal program"
2. "Recommend that the agency not accept the federal funds or participate in the federal program."
3. Direct the agency to accept some, but not all of the federal funds listed above

Grants Subject to Approval by the Executive Appropriations Committee

As per [UCA 63J-5-204](#) grants received after this approval process by the subcommittee that meet any of the following three criteria must be approved by the Executive Appropriations Committee:

1. More than \$1,000,000 up to \$10,000,000
2. Add 1 to 10 permanent or part-time employees
3. Require any State match up to \$1,000,000

Grants Subject to Approval by the Full Legislature

As per [UCA 63J-5-204](#) grants received after this approval process by the subcommittee that meet any of the following three criteria must be approved by the full Legislature:

1. Above \$10,000,000
2. Add 11 or more permanent or part-time employees
3. Require any State match above \$1,000,000

Additional Information About Federal Funds

- "Federal Grants Excluded From Legislative Approval Process", see <http://le.utah.gov/interim/2015/pdf/00002845.pdf>
- For more information on federal block grants in the Social Services Appropriations Subcommittee, please visit the brief entitled "How Federal Block Grant Spending is Approved" available at <http://le.utah.gov/interim/2015/pdf/00004112.pdf>
- The Catalog of Federal Domestic Assistance (CFDA) includes more detail on each program. You can access additional information for the various programs online at www.cfda.gov and searching by CFDA number.
- www.usaspending.gov - federal government reporting requirements for designated grants over \$25,000.