



FEDERAL FUNDS AND INTERGOVERNMENTAL TRANSFERS - DEPARTMENT OF HEALTH

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: RUSSELL FRANSEN

ISSUE BRIEF

SUMMARY

Utah Code Annotated, Section 63J-5-201, states: “The Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency’s budget for review during each annual general session. Each legislative appropriations subcommittee shall review the federal funds request summary and may recommend that the agency accept the federal funds or participate in the federal program...or recommend that the agency not accept the federal funds or participate in the federal program.” UCA 63J-5-206 makes intergovernmental transfers in Medicaid subject to the federal funds review process. The Department of Health anticipates a total decrease in federal funds subject to approval of (\$1,496,700) for FY 2019 as well as an increase of \$160,031,300 in FY 2020. The Department of Health anticipates intergovernmental transfers needing approval of \$15,083,000 for FY 2019 as well as a total of \$155,880,000 in FY 2020. The estimate of these federal funds and intergovernmental transfers is subject to any subsequent actions taken by the Legislature.

LEGISLATIVE ACTION

- The Analyst recommends that the Subcommittee direct the Department of Health to accept all federal funds and intergovernmental transfers as listed in this brief.

DISCUSSION AND ANALYSIS

The following tables show the federal assistance applications and intergovernmental transfers for fiscal years 2019 and 2020 for the Department of Health by budget line item for those federal funds subject to legislative approval (tables are from forms that the Department of Health submits to the Governor’s Office on an annual basis). Grants that are new to the State of Utah are listed in **bold**. The Department of Health anticipates receiving \$(1,496,700) or (0.9%) less federal funds for FY 2019 and \$160,031,300 for FY 2020 for the line items included in this brief. During the last General Session the Legislature approved \$161,681,400 in federal funds and \$140,832,000 in intergovernmental transfers already for FY 2019. The Department of Health anticipates intergovernmental transfers needing approval of \$15,083,000 for FY 2019 as well as a total of \$155,880,000 in FY 2020. Where applicable there is a discussion beneath each line item of: (1) new grants, (2) federal grant reserves over 3 months, and (3) spending plans and other potential uses for federal block grants. Three months or more of federal reserves was chosen because the Legislature ended FY 2015 with a nonlapsing balance of \$7.8 million on a total budget of \$26.0 million. This represents about 30% of the total budget or about three months. In general federal block grants cannot be used to draw down additional federal funds. Therefore any requests for funding that draw down federal funds are automatically excluded as potential uses for block grants.

The top five federal grants for approval in FY 2020 represent \$93,068,300 or 58% of all federal funds to be received by the Department of Health. Below is a brief explanation of the top five grants in the Department of Health:

1. Women Infants and Children including peer counseling for \$39,941,400 – for providing supplemental foods, health care referrals, and nutrition education for low-income pregnant, breastfeeding, and non-breastfeeding postpartum women, and to infants and children up to age five who are found to be at nutritional risk.

2. Vaccine Commodities for \$27,277,100 – represents the value of vaccines provided at no cost to eligible children ages 0-18 years that are uninsured, covered by Medicaid, under-insured, Alaska Native or American Indian.
3. Health Information Technology for \$10,299,000 – for payments to health care providers for adopting electronic health care records and achieving meaningful use.
4. Public Health Preparedness and Response for Bioterrorism for \$8,909,700 – for enhancing local preparedness and response capabilities and develop resilient communities.
5. Infants & Toddlers with Disabilities Baby Watch/Early Intervention Part C of the Individuals with Disabilities Education Act for \$6,641,100 – for assistance in operating a comprehensive statewide program of early intervention services for infants and toddlers with disabilities, and their families.

[UCA 63J-5-103](#) excludes the following federal grants from the annual approval process that flow through the Department of Health:

1. Medicaid
2. Children's Health Insurance Program

Disease Control and Prevention - Epidemiology & Laboratory Services FY 2019 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru	Notes/In Kind Source/Fed Requirements
			Matching State Funds								
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match			
Utah's proposal for activities within the Prevention and Public Health Fund 2014 Epidemiology and Laboratory Capacity for Infectious Diseases cooperative agreement	93.815	\$ 324,400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 61,600	19%	
Implementation of State-wide Newborn Screening for Mucopolysaccharidosis Type 1, Pompe Disease, and X-linked Adrenoleukodystrophy in Utah	93.065	\$ 214,600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	The intent of this grant offering is to increase the number of newborn screening laboratories that test for these conditions. This is a capacity improvement grant which will include purchase of additional lab equipment, validating the laboratory methods, and training staff to execute the testing once the methods have been validated.
Utah HIV Surveillance and Prevention Project	93.940	\$ 153,900	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 64,600	42%	Previously - Comprehensive HIV Prevention Project for Health Departments 93.940 and implementation of the National HIV Surveillance System in Utah to Monitor 93.944
Improving Hepatitis B and C Care Cascades; Focus on Increased Testing and Diagnosis	93.270	\$ 80,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	Previously named Viral Hepatitis Prevention & Surveillance
Strengthening Surveillance in Jurisdictions with High Incidence of Hepatitis C Virus and Hepatitis B Virus Infections grant	93.270	\$ 68,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 47,100	69%	
Ryan White Care Act Title II	93.917	\$ 16,300	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,400	21%	
Prevention and Public Health Fund 2014 Epidemiology and Laboratory Capacity for Infectious Diseases cooperative agreement	93.521 & 93.323 & 93.815	\$ 14,400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,500	38%	
Sexually transmitted disease Prevention & Disease Intervention Activities	93.977	\$ 10,600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 3,000	28%	
Utah: National Syndromic Surveillance Program	93.283	\$ 1,600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 900	57%	
Health Lab Clinical Laboratory Improvement Amendment	N/A	\$ 100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
Other Changes From Previously Approved Levels		\$ (3,049,200)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
Division/Program Totals		\$ (2,164,900)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 186,100	21%	

Disease Control and Prevention – Health Promotion FY 2019 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru	Notes/In Kind Source/Fed Requirements	
			Matching State Funds					Total State Match				
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort					
Cooperative Agreement for Emergency Response: Public Health Crisis	93.354	\$ 2,935,900	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,321,200	45%	The purpose of the grant is to provide funding and support to rapidly mobilize and respond to a public health crisis.
Strategies to Prevent and Manage Diabetes Heart Disease and Stroke	93.435	\$ 2,210,400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,635,700	74%	The purpose of this grant is to implement and evaluate evidence-based strategies to prevent and manage cardiovascular disease and diabetes in high-burden populations/communities in Utah.
State Physical Activity and Nutrition Program	93.439	\$ 1,103,900	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 662,300	60%	
Improving Health of Americans Through Prevention & Management of Diabetes, Heart Disease & Stroke	93.426	\$ 1,072,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 171,500	16%	
Utah Essentials for Childhood	93.136	\$ 171,900	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 32,700	19%	
Expanding and Sustaining Evidence-Based Programs in Utah with a Focus on Chronic Pain Self-Management Program and Living Well with Disabilities	93.734	\$ 156,600	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 40,700	26%	
Traumatic Brain Injury State Partnership Program Partner State Funding Opportunity	93.234	\$ 139,400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 75,000	\$ 50,200	36%	
Preventive Health and Health Services Block Grant 2018	93.991	\$ 100,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 74,400	74%	
Utah Prescription Drug Overdose, Abuse and Misuse Prevention Program	93.136	\$ 84,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 60,500	72%	
Utah Expanded Child Fatality Review Program	93.946	\$ 59,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
Utah Cancer Control Program Policy, Systems, and Environmental Change Strategies to Prevent and Control Cancer	93.898	\$ 32,700	\$ -	\$ -	\$ -	\$(1,014,000)	\$ 46,100	\$ -	\$ -	\$ 4,900	15%	
Utah Violent Death Reporting System	93.136	\$ 27,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
Administration of the Youth Risk Behavior and School Health Profiles Survey	93.079	\$ 17,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,000	35%	
Utah Evidenced-Based Falls Prevention Program	93.761	\$ 11,100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,600	41%	
Promoting Population Health through Increased Capacity in Alcohol Epidemiology in Utah	93.068	\$ 10,100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
Evaluate and Improve Prescribing Practices - Harold Rogers Prescription Drug Monitoring Program	16.754	\$ 500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 300	50%	
Core State Violence and Injury Prevention Program	93.163	\$ 400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	1%	
FY18 Quitline Capacity Grant- For Improving Quitline Capacity and Sustainability Through Increased Health Plan Cessation Coverage and Increased Quitline Services	93.735	\$ 300	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
Injury Prevention Maternal and Child Health	93.994	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	53%	
Other Changes From Previously Approved Levels		\$ (4,381,300)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%	
Division/Program Totals		\$ 3,751,600	\$ -	\$ -	\$ -	\$(1,014,000)	\$ 46,100	\$ 75,000	\$ 4,065,000	50%		

FEDERAL FUNDS AND INTERGOVERNMENTAL TRANSFERS - DEPARTMENT OF HEALTH

Disease Control and Prevention - Epidemiology & Laboratory Services FY 2020 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru	Notes/In Kind Source/Fed Requirements
			Matching State Funds								
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match			
Ryan White Care Act Title II	93.917	\$4,089,600	\$41,900	\$0	\$0	\$0	\$1,167,100	\$1,209,000	\$857,200	21%	
Utah's proposal for activities within the Prevention and Public Health Fund (PPHF) 2014 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) cooperative agreement	93.521 & 93.323 & 93.815	\$3,457,600	\$0	\$0	\$0	\$0	\$0	\$0	\$641,800	19%	
Immunization and Vaccines for Children Program	93.268	\$3,234,500	\$0	\$0	\$0	\$0	\$0	\$0	\$1,171,200	36%	
Ryan White Part B Supplemental	93.917	\$2,673,500	\$0	\$0	\$0	\$0	\$0	\$0	\$732,400	27%	
AIDS Drug Assistance Program Shortfall Relief	93.917	\$1,322,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Utah HIV Surveillance and Prevention Project	93.940	\$1,077,400	\$0	\$0	\$0	\$0	\$0	\$0	\$448,500	42%	
The Utah Department of Health Enhancing Innovation and Capabilities of the Environmental Public Health Tracking Network	93.070	\$794,400	\$0	\$0	\$0	\$0	\$0	\$0	\$143,100	18%	
The 4 Corner States are Collaborating to Develop and Enhance Biomonitoring Capability to Assess Human Exposure in This Region	93.070	\$760,700	\$0	\$0	\$0	\$0	\$0	\$0	\$520,300	68%	
Sexually transmitted disease (STD) Prevention & Disease Intervention Activities Throughout the State of Utah	93.977	\$506,900	\$0	\$0	\$0	\$0	\$0	\$0	\$150,100	30%	
Implementation of State-wide Newborn Screening for Mucopolysaccharidosis Type 1, Pompe Disease, and X-linked Adrenoleukodystrophy in Utah	93.065	\$286,100	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Utah Tuberculosis Prevention and Control Project	93.116	\$277,000	\$0	\$0	\$0	\$0	\$0	\$0	\$135,500	49%	
Utah's Proposal for activities within the Strengthening Surveillance in Jurisdictions with High Incidence of Hepatitis C Virus and Hepatitis B Virus Infections grant	93.270	\$261,500	\$0	\$0	\$0	\$0	\$0	\$0	\$180,000	69%	
Utah: National Syndromic Surveillance Program	93.283	\$229,300	\$0	\$0	\$0	\$0	\$0	\$0	\$130,500	57%	
Utah-ATSDR's (Agency for Toxic Substances and Disease Registry) Partnership to Promote Local Efforts to Reduce Environmental Exposure (Utah-APPLETREE) Program	93.240	\$209,700	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Improving Hepatitis B and C Care Cascades; Focus on Increased Testing and Diagnosis	93.270	\$155,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Health Lab Clinical Laboratory Improvement Amendment	N/A	\$143,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Utah Refugee Health Promotion Project	93.576	\$75,000	\$0	\$0	\$0	\$0	\$0	\$0	\$75,000	100%	
The Sexually Transmitted Disease Surveillance Network Part B	93.977	\$50,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	Grant will fund the enhancement of case reporting and data collection
Division/Program Totals		\$19,605,600	\$41,900	\$0	\$0	\$0	\$1,167,100	\$1,209,000	\$5,185,600	26%	

Disease Control and Prevention– Health Promotion FY 2020 – All Grants (1 of 2)

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru	Notes/In Kind Source/Fed Requirements
			Matching State Funds								
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match			
Utah Cancer Control Program Policy, Systems, and Environmental Change Strategies to Prevent and Control Cancer	93.898	\$3,004,900	\$0	\$0	\$0	\$966,700	\$535,600	\$0	\$455,000	15%	
Strategies to Prevent and Manage Diabetes Heart Disease and Stroke	93.435	\$2,939,000	\$0	\$0	\$0	\$0	\$0	\$0	\$2,309,900	79%	
Cooperative Agreement for Emergency Response: Public Health Crisis	93.354	\$2,935,900	\$0	\$0	\$0	\$0	\$0	\$0	\$1,314,100	45%	
Utah Prescription Drug Overdose, Abuse and Misuse Prevention Program	93.136	\$2,618,100	\$0	\$0	\$0	\$0	\$0	\$0	\$1,958,100	75%	
Improving Health of Americans Through Prevention & Management of Diabetes, Heart Disease & Stroke	93.426	\$1,905,700	\$0	\$0	\$0	\$0	\$0	\$0	\$855,000	45%	
Preventive Health and Health Services Block Grant 2018	93.991	\$1,541,500	\$0	\$0	\$0	\$0	\$0	\$0	\$1,143,900	74%	
State Physical Activity and Nutrition Program	93.439	\$1,471,800	\$0	\$0	\$0	\$0	\$0	\$0	\$1,027,200	70%	
Utah BeWise Program	93.436	\$1,110,400	\$0	\$0	\$0	\$388,100	\$0	\$0	\$506,500	46%	3:1 matching funds requirement
Tobacco Control Program	93.305	\$981,800	\$0	\$0	\$0	\$0	\$0	\$0	\$585,000	60%	
Injury Prevention Maternal and Child Health	93.994	\$938,200	\$0	\$0	\$0	\$0	\$0	\$0	\$497,800	53%	
Utah Asthma Program Comprehensive Asthma Control Through Evidence-based Strategies and Public Health Care Collaboration	93.07	\$515,600	\$0	\$0	\$0	\$0	\$0	\$0	\$222,700	43%	
Utah Rape Prevention and Education Program	93.136	\$429,300	\$0	\$0	\$0	\$0	\$0	\$0	\$232,500	54%	
Enhanced State Surveillance of Opioid-Involved Morbidity and Mortality	93.136	\$413,700	\$0	\$0	\$0	\$0	\$0	\$0	\$260,000	63%	
Utah Cancer Genomics Project	93.283	\$316,900	\$0	\$0	\$0	\$0	\$0	\$0	\$36,000	11%	
Utah State Public Health Approaches to Improving Arthritis Outcomes	93.945	\$287,400	\$0	\$0	\$0	\$0	\$0	\$0	\$73,500	26%	
Expanding and Sustaining Evidence-Based Programs in Utah with a Focus on Chronic Pain Self-Management Program and Living Well with Disabilities	93.734	\$258,900	\$0	\$0	\$0	\$0	\$0	\$0	\$40,900	16%	
Diabetes, Heart Disease & Stroke Prevention State and Local Public Health Actions to Prevent	93.757	\$249,300	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Utah Violent Death Reporting System	93.136	\$234,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Core State Violence and Injury Prevention Program	93.163	\$223,800	\$0	\$0	\$0	\$0	\$0	\$0	\$400	0%	
Utah Essentials for Childhood	93.136	\$207,100	\$0	\$0	\$0	\$0	\$0	\$0	\$33,200	16%	

Disease Control and Prevention– Health Promotion FY 2020 – All Grants (2 of 2)

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru
			Matching State Funds							
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match		
Utah Evidenced-Based Falls Prevention Program	93.761	\$204,600	\$0	\$0	\$0	\$0	\$0	\$0	\$84,600	41%
Evaluate and Improve Prescribing Practices - Harold Rogers Prescription Drug Monitoring Program	16.754	\$192,000	\$0	\$0	\$0	\$0	\$0	\$0	\$97,600	51%
Utah- To Prevent & Control and Promote School Health Prevention and Public Health Fund	93.753	\$153,900	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
Traumatic Brain Injury State Partnership Program Partner State Funding Opportunity	93.234	\$139,400	\$0	\$0	\$0	\$0	\$0	\$75,000	\$49,800	36%
Promoting Population Health through Increased Capacity in Alcohol Epidemiology in Utah	93.068	\$136,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
Improving the Health of People with Mobility Limitations and Intellectual Disabilities through State-based Public Health Programs in Utah	93.184	\$133,800	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
Administration of the Youth Risk Behavior and School Health Profiles Survey	93.079	\$93,500	\$0	\$0	\$0	\$0	\$0	\$0	\$35,000	37%
Utah Expanded Child Fatality Review Program	93.946	\$78,600	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
FY18 Quitline Capacity Grant- For Improving Quitline Capacity and Sustainability Through Increased Health Plan Cessation Coverage and Increased Quitline Services	93.735	\$65,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%
Division/Program Totals		\$23,780,900	\$0	\$0	\$0	\$1,354,800	\$535,600	\$75,000	\$11,818,700	50%

Vaccine Commodities FY 2020 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru	Notes/In Kind Source/Fed Requirements
			Matching State Funds								
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match			
Vaccine Commodities	NA	\$27,277,100	\$0	\$0	\$0	\$0	\$0	\$0	\$27,277,100	100%	Value of vaccine commodities

Executive Director’s Operations FY 2019 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru
			Matching State Funds							
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match		
Behavioral Risk Factor Surveillance Grant	93.283	\$ 93,900	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%
Other Changes From Previously Approved Levels		\$ (875,500)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%
Division/Program Totals		\$ (781,600)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%

Executive Director's Operations FY 2020 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					\$ of grant passed through	% Pass-Thru
			Matching State Funds						
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort		
Federal Indirect	N/A	\$5,200,000	\$0	\$0	\$0	\$0	\$0	\$0	0%
Behavioral Risk Factor Surveillance Grant	93.283	\$360,600	\$0	\$0	\$0	\$0	\$0	\$0	0.3%
Division/Program Totals		\$5,560,600	\$0	\$0	\$0	\$0	\$0	\$0	0.0%

Family Health and Preparedness FY 2019 Supplemental – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement					\$ of grant passed through	% Pass-Thru	Notes/In Kind Source/Fed Requirements	
			Matching State Funds								
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort				Total State Match
Women Infants and Children Mountain Plains User Group Operating System Enhancements	10.578	\$ 1,437,800	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,437,800	100%	CDP, Project Management Office, and Electronic Benefits Transfer Contract	
Affordable Care Act - Maternal, Infant and Early Childhood Home Visiting Program	93.87	\$ 1,431,400	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,245,300	87%	Home Visitation Sub Recipients	
Grants to States for Loan Repayment	93.165	\$ 202,500	\$ 202,500	\$ -	\$ -	\$ -	\$ -	\$ 202,500	100%	Pass thru to physicians for loan repayment	
Personal Responsibility Education Program	93.092	\$ 201,100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 136,700	68%	Pass thru to local health departments and tribes	
Federal Survey and Certification Title XIX	93.777	\$ 91,000	\$ 33,700	\$ -	\$ -	\$ -	\$ -	\$ 33,700	0%		
Infants & Toddlers with Disabilities Baby Watch/Early Intervention Part C of the Individuals with Disabilities Education Act	84.181A	\$ 60,600	\$ -	\$ -	\$ -	\$ -	\$ 12,298,000	\$ 12,298,000	\$ 38,200	63%	Baby Watch Early Intervention Subrecipient
Rural Hospital Flexibility Grant Program	93.241	\$ 58,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 33,600	58%	Pass thru to rural hospitals at Utah hospital association	
Utah Microcephaly Surveillance, Intervention, Referral to Services for Infants Linked to Zika Virus	93.073	\$ 56,000						\$ 20,200	36%		
Utah Pregnancy Risk Assessment Monitoring System	93.946	\$ 54,500	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%		
Small Rural Hospital Improvement Program	93.301	\$ 48,100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 41,400	86%	Pass thru to multiple hospitals	
Commodity Supplemental Food Program	10.565	\$ 27,100	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13,600	50%	Pass thru to Utah Food Bank	
Primary Care Services Resources Coordination and Development Program	93.13	\$ 26,800	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,300	16%		
Federal Survey and Certification Title XVIII	93.777	\$ 4,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%		
General Medicaid Administration Title 19 - Home and Community Based Waiver	93.778	\$ 3,500	\$ 900	\$ -	\$ -	\$ -	\$ -	\$ 900	\$ -	0%	
Utah State Systems Development Initiative Grant	93.11	\$ 2,700	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%		
Other Changes From Previously Approved Levels		\$ (6,214,200)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%		
Division/Program Totals		\$ (2,509,100)	\$ 237,100	\$ -	\$ -	\$ -	\$ 12,298,000	\$ 12,535,100	\$ 3,173,600	86%	

FEDERAL FUNDS AND INTERGOVERNMENTAL TRANSFERS - DEPARTMENT OF HEALTH

Family Health and Preparedness FY 2020 – All Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru	Notes/In Kind Source/Fed Requirements
			Matching State Funds								
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match			
Women Infants and Children includes Peer Counseling	10.557	\$39,941,400	\$0	\$0	\$0	\$0	\$0	\$0	\$36,272,600	91%	63J-5-103(2)(c). Pass Thru to local health departments. Pass Thru includes Food cost
Public Health Preparedness and Response for Bioterrorism	93.074	\$8,909,700	\$0	\$0	\$0	\$0	\$0	\$0	\$6,187,500	69%	Pass thru to Local Health Departments and other Health Providers
Infants & Toddlers with Disabilities Baby Watch/Early Intervention Part C of the Individuals with Disabilities Education Act	84.181A	\$6,641,100	\$0	\$0	\$0	\$0	\$12,298,000	\$12,298,000	\$4,159,700	63%	Baby Watch Early Intervention Subrecipient
Maternal and Child Health Block Grant	93.994	\$5,749,100	\$0	\$0	\$0	\$0	\$0	\$0	\$2,153,400	37%	Pass thru to Local Health Departments and other Health Providers
Women Infants and Children Mountain Plains User Group Operating System Enhancements	10.578	\$2,498,700	\$0	\$0	\$0	\$0	\$0	\$0	\$2,498,700	100%	CDP, Project Management Office, and Electronic Benefits Transfer Contract
Federal Survey and Certification Title XVIII Affordable Care Act - Maternal, Infant and Early Childhood Home Visiting Program	93.777	\$2,338,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Federal Survey and Certification Title XIX	93.505	\$2,034,200	\$0	\$0	\$0	\$0	\$0	\$0	\$1,655,200	81%	Home Visitation Sub Recipients
Personal Responsibility Education Program	93.092	\$751,100	\$447,700	\$0	\$0	\$0	\$0	\$447,700	\$0	0%	
Utah Title V Abstinence Education Grant Program	93.235	\$463,200	\$0	\$0	\$0	\$0	\$0	\$0	\$398,300	86%	Pass thru to local health departments
State Early Childhood Comprehensive Systems	93.11	\$420,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
Rural Hospital Flexibility Grant Program	93.241	\$378,900	\$0	\$0	\$0	\$0	\$0	\$0	\$236,900	63%	Pass thru to rural hospitals at Utah hospital association
Commodity Supplemental Food Program	10.565	\$239,800	\$0	\$0	\$0	\$0	\$0	\$0	\$120,000	50%	Pass thru to Utah Food Bank
Utah Pregnancy Risk Assessment Monitoring System	93.946	\$237,400	\$0	\$0	\$0	\$0	\$101,100	\$101,100	\$0	0%	
Universal Newborn Hearing Screening and Intervention Program	93.251	\$223,000	\$0	\$0	\$0	\$0	\$0	\$0	\$82,500	37%	Pass thru to USU/University of Utah Department of Pediatrics
Grants to States for Loan Repayment	93.165	\$202,500	\$202,500						\$202,500	100%	Pass Thru to multiple eligible grant recipients
Utah Birth Defects Surveillance and Data Utilization Project	93.073	\$192,300	\$0	\$0	\$0	\$0	\$0	\$0	\$15,000	8%	UofU
Small Rural Hospital Improvement Program	93.301	\$168,000	\$0	\$0	\$0	\$0	\$0	\$0	\$177,700	106%	Pass thru to multiple hospitals
State Office of Rural Health	93.913	\$164,200	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	Minimal pass through. Match is state funds from Primary Care Administration
State Partnership Grant Program to Improve Minority Health	93.296	\$161,700	\$0	\$0	\$0	\$0	\$0	\$0	\$49,800	31%	Pass thru to multiple Office of Health Disparities partners
Primary Care Services Resources Coordination and Development Program	93.13	\$160,900	\$0	\$0	\$0	\$0	\$0	\$0	\$28,400	18%	
Documentation and Use of Follow-up Diagnostic & Intervention Services Data through Maintenance and Enhancement of the Early Hearing Detection and Intervention Information System	93.314	\$142,500	\$0	\$0	\$0	\$0	\$0	\$0	\$64,200	45%	USU
Emergency Medical Services for Children Partnership Grants	93.127	\$130,000	\$0	\$0	\$0	\$0	\$0	\$0	\$115,000	88%	pass thru to primary children's hospital medical providers
Utah State Systems Development Initiative Grant	93.11	\$100,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%	
General Medicaid Administration Title 19 - Home and Community Based Waiver	93.778	\$50,200	\$12,600	\$0	\$0	\$0	\$0	\$12,600	\$0	0%	
Division/Program Totals		\$73,508,100	\$662,800	\$0	\$0	\$0	\$12,399,100	\$12,859,400	\$55,106,500	75%	

Medicaid and Health Financing FY 2019 Supplemental – American Reinvestment and Recovery Act (ARRA) Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru
			Matching State Funds							
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match		
Health Information Technology ARRA	93.778	\$ 3,207,300	\$ 1,900	\$ 149,200	\$ 205,200	\$ -	\$ -	\$ 356,300	\$ -	0%

Medicaid and Health Financing FY 2020 – American Reinvestment and Recovery Act (ARRA) Grants

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru
			Matching State Funds							
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match		
Health Information Technology ARRA	93.778	\$5,299,000	\$37,900	\$296,300	\$254,600	\$0	\$0	\$588,800	\$0	0%

Medicaid Services FY 2019 Supplemental – American Reinvestment and Recovery Act (ARRA)

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru
			Matching State Funds							
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match		
Health Information Technology ARRA	93.778	\$ (5,000,000)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	0%

Medicaid Services FY 2020 – American Reinvestment and Recovery Act (ARRA)

Grant Title	CFDA #	Federal Annual Award	Annual Match Requirement						\$ of grant passed through	% Pass-Thru
			Matching State Funds							
			General Fund	Dedicated Credits	Other Funds	In Kind	Maint. Of Effort	Total State Match		
Health Information Technology ARRA	93.778	\$5,000,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	0%

Medicaid – Intergovernmental Transfers FY 2019

Intergovernmental Transfer Program Title	CFDA Number	Federal Funds	Annual Match Requirement		New Permanent Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds			
			Dedicated Credits	Total State Match		
Inpatient Directed Payments	93.778	\$11,428,000	\$5,700,000	\$5,700,000		
Nursing Facility NSGO Upper Payment Limit for all NEW NSGO's	93.778	\$9,591,000	\$4,640,000	\$4,640,000		Includes 2 new requests for Canyonlands Special Servicing District and 6 for Gunnison Valley Hospital.
County Mental Health	93.778	\$0	\$1,410,000	\$1,410,000		
Nursing Facility Upper Payment Limit	93.778	(\$1,347,000)	\$1,373,000	\$1,373,000		
University of Utah Medical Group Physician Enhancement	93.778	\$0	\$380,000	\$380,000		
School Based Skills Development	93.778	\$0	\$360,000	\$360,000		
Disproportionate Share Hospital	93.778	\$0	\$250,000	\$250,000		
Healthy U Health Plan	93.778	\$0	\$240,000	\$240,000		
HITECH Peditric Patient Summary - NEW	93.778	\$1,890,000	\$240,000	\$240,000		
Outpatient Directed Payments	93.778	\$0	\$170,000	\$170,000		
County Substance Abuse	93.778	\$0	\$90,000	\$90,000		
University of Utah School of Dentistry Targeted Adult Dental (HB435) - NEW	93.778	\$372,000	\$90,000	\$90,000		
Targeted Case Management	93.778	\$0	\$80,000	\$80,000		
Social Detox Waiver - NEW	93.778	\$332,000	\$25,000	\$25,000		
University of Utah School of Dentistry Disabled Dental	93.778	\$0	\$20,000	\$20,000		
Nurse Aid Registry	93.778	(\$87,000)	\$15,000	\$15,000		
Division/Program Totals		\$22,179,000	\$15,083,000	\$15,083,000	-	

Medicaid – Intergovernmental Transfers FY 2020

Intergovernmental Transfer Program Title	CFDA Number	IGT Amount	Recipient of Funds - Non-State Owned Entities			Utah Department of Health		
			Information on Payments	Use of Funds	Ability to Repay Funds	Other Required Information	Risk of Federal Disallowance	Other Required Information
NF NSGO UPL for Gunnison Valley Hospital	93.778	\$3,374,000	Gunnison Valley Hospital: "The funds provided to the department meet the requirements of 42 CFR 433, Subpart B, and R414-505. They were derived from operations saved from prior years."	Gunnison Valley Hospital: "for operating expenses (services, supplies, utilities, rent, maintenance etc)"	Gunnison Valley Hospital: "At year end June 30, 2017 the entity had \$20,988,957 in cash and cash investments. The for the past two years the entity received an average of \$1.5 million in gross UPL or \$928K net UPL. We don't foresee anything that would hamper our ability to pay back if necessary."	None Required at this Time	Higher MSGEs could be required to pay all or a portion of the NF NSGO UPL payments back if CMS finds that violations have occurred.	None Required at this Time
NF NSGO UPL for Canyonlands Special Servicing District	93.778	\$794,000	Canyonlands Special Servicing District: "The funds that will be provided to the Department come from revenue generated by the special servicing district and from funds saved from prior years. These funds meet the requirements of 42 CFR 433, Subpart B and R414-505."	Canyonlands Special Servicing District: "The special servicing district plans to use the funds for operations and to help fund capital expenses, equipment purchases and to enhance patient care. The nursing facilities will use the funds for operations and to improve quality of care, staffing ratios, resident programs, and capital improvements."	Canyonlands Special Servicing District: "In the unlikely event of a disallowance, the special servicing district would pay any repayment obligation of the payments it received from its operations and reserves. The special servicing district will have the contractual right in the event of a repayment obligation to recoup UPL payments received by the nursing facilities directly from the management company for each facility."	None Required at this Time	Higher MSGEs could be required to pay all or a portion of the NF NSGO UPL payments back if CMS finds that violations have occurred.	None Required at this Time

FEDERAL FUNDS AND INTERGOVERNMENTAL TRANSFERS - DEPARTMENT OF HEALTH

Intergovernmental Transfer Program Title	CFDA Number	Federal Funds	Annual Match Requirement		New Permanent Staff	Notes/In Kind Source/Fed Requirements
			Matching State Funds			
			Dedicated Credits	Total State Match		
County Mental Health	93.778	\$89,195,000	\$39,910,000	\$39,910,000	-	
Inpatient Directed Payments	93.778	\$60,826,000	\$31,787,000	\$31,787,000	-	
Nursing Facility Upper Payment Limit	93.778	\$66,898,000	\$30,830,000	\$30,830,000	-	
University of Utah Medical Group Physician Enhancement	93.778	\$30,581,000	\$13,580,000	\$13,580,000	-	
School Based Skills Development	93.778	\$28,959,000	\$12,860,000	\$12,860,000	-	
Outpatient Directed Payments	93.778	\$17,955,000	\$7,920,000	\$7,920,000	-	
Disproportionate Share Hospital	93.778	\$17,144,000	\$7,650,000	\$7,650,000	-	
Nursing Facility NSGO Upper Payment Limit for all NEW NSGO's	93.778	\$9,591,000	\$4,640,000	\$4,640,000	-	Includes 2 new requests for Canyonlands Special Servicing District and 6 for Gunnison Valley Hospital.
County Substance Abuse	93.778	\$8,340,000	\$3,690,000	\$3,690,000	-	
Healthy U Health Plan	93.778	\$2,780,000	\$1,440,000	\$1,440,000	-	
Targeted Case Management	93.778	\$1,853,000	\$880,000	\$880,000	-	
HITECH Pediatric Patient Summary - NEW	93.778	\$1,890,000	\$240,000	\$240,000	-	
University of Utah School of Dentistry Disabled Dental	93.778	\$348,000	\$170,000	\$170,000	-	
University of Utah School of Dentistry Targeted Adult Dental (HB435) - NEW	93.778	\$348,000	\$150,000	\$150,000	-	
Nurse Aid Registry	93.778	\$87,000	\$90,000	\$90,000	-	
Social Detox Waiver - NEW	93.778	\$458,000	\$43,000	\$43,000	-	
Division/Program Totals		\$337,253,000	\$155,880,000	\$155,880,000	-	

Opportunities to Draw Down More Federal Funds

The Department of Health indicates that there is one non-entitlement grant where additional federal funds could be drawn down:

- **Health Facility Licensing Survey Title XIX (Medicaid)** – with \$23,000 General Fund, the State could draw down \$69,000 in federal funds for inspection work of Intermediate Care Facilities for the Intellectually Disabled

Disease Control and Prevention – New Grants

The Disease Control and Prevention line item has the following grants for approval that are entirely new to the State for FY 2019 and FY 2020:

1. **Implementation of State-wide Newborn Screening for Mucopolysaccharidosis Type 1, Pompe Disease, and X-linked Adrenoleukodystrophy in Utah** (\$214,600 in FY 2019 and \$286,100 in FY 2020) – Health: “The U.S. Department of Health and Human Services Advisory Committee on Heritable Disorders in Newborns and Children recommends specific disorders for inclusion on every newborn screening panel. As of February 2018, more than 80% of U.S. states do not include on their newborn screening panels any of the recent HHS recommended conditions; including Pompe Disease, Mucopolysaccharidosis Type 1, X-linked Adrenoleukodystrophy, and Spinal Muscular Atrophy. The intent of this grant offering is to increase the number of newborn screening laboratories that test for these conditions. This is a capacity improvement grant which will include purchase of additional lab equipment, validating the laboratory methods, and training staff to execute the testing once the methods have been validated.”
2. **Cooperative Agreement for Emergency Response: Public Health Crisis** (\$2,935,900 in FY 2019 and \$2,935,900 in FY 2020) – Health: “The purpose of the grant is to provide funding and support to rapidly mobilize and respond to a public health crisis. In this case, it is the growing abuse and misuse of Opioids epidemic. This involves several programs in the Department of Health and other public health resources.”
3. **Strategies to Prevent and Manage Diabetes Heart Disease and Stroke** (\$2,210,400 in FY 2019 and \$2,939,000 in FY 2020) – Health: “The purpose of this grant is to implement and evaluate evidence-based strategies to prevent and manage cardiovascular disease and diabetes in high-burden populations/communities in Utah. Expected accomplishments include: increased reporting of blood pressure and A1C measures, increased initiation of activities that promote clinical innovations, team-based care and self-monitoring of blood pressure, increased awareness of high blood pressure among patients, increased awareness of prediabetes among people at high risk for type 2 diabetes, increased participation in diabetes self-management education, increased use of diabetes self-management, improved prevention and control of hypertension and diabetes.”
4. **State Physical Activity and Nutrition Program** (\$1,103,900 in FY 2019 and \$1,471,800 in FY 2020) – Health: “This grant will support implementation of evidence-based strategies and leverage resources from multiple stakeholders and sectors in Utah to improve the nutrition and physical activity status of Utahns. Expected accomplishments include: Increased number of places that implement food service guidelines; Increased number of places that implement supportive breastfeeding interventions; Increased number of places that implement community planning and transportation interventions that support safe and accessible physical activity; and Increased number of Early Childcare Centers that implement nutrition and physical activity standards.”
5. **Improving Health of Americans Through Prevention & Management of Diabetes, Heart Disease & Stroke** (\$1,072,000 in FY 2019 and \$1,905,700 in FY 2020) – Health: “The purpose of this grant is to implement and evaluate evidence-based strategies to prevent and manage cardiovascular disease and diabetes in high-burden populations/communities within Utah. Activities will include: increased reporting of blood pressure and A1C measures, efforts to promote

clinical innovations, team-based care, and self-monitoring of blood pressure, increased awareness of high blood pressure among patients, increased awareness of prediabetes among people at high risk for type 2 diabetes, increased participation in diabetes self-management education programs (officially recognized, accredited, and/or licensed), improved medication adherence for adults with high blood pressure and adults with diabetes, increased self-monitoring of high blood pressure tied to clinical support, increased use of diabetes self-management, improved prevention and control of hypertension and diabetes.”

6. **Utah Essentials for Childhood** (\$171,900 in FY 2019 and \$207,100 in FY 2020) – Health: “The Essentials for Childhood Program is a framework to build safe, stable, nurturing relationships and environments for Utah Children. This is done through targeting primary prevention strategies and protective factors. Goals are to increase: Coordination and collaboration between the State Health Department and other sectors; Use of existing resources to implement child abuse prevention strategies and approaches; Reach of child abuse prevention work in Utah; Evaluation of prevention activities; and Use, access and sharing of state data related to child abuse and neglect prevention.”
7. **Traumatic Brain Injury State Partnership Program Partner State Funding Opportunity** (\$139,400 in FY 2019 and \$139,400 in FY 2020) – Health: “To create and strengthen a system of services and support that maximizes the independence, well-being, and health of people with traumatic brain injuries across the lifespan and all other demographics, their family members, and support networks.”
8. **Utah Expanded Child Fatality Review Program** (\$59,000 in FY 2019 and \$78,600 in FY 2020) – Health: “Purpose to identify all Sudden Unexpected Infant Death (SUID) and Sudden Death in the Young (SDY) cases, compile case information, conduct multidisciplinary case reviews, categorize cases using established criteria, improve data quality/timeliness, disseminate data for prevention, and identify risk factors. This will advance the capacity and infrastructure for injury surveillance, prevention, and policy. Maintain a child fatality review and surveillance program including additional investigation and data collection on unexpected child deaths One of the goals is to update the state injury prevention plan and produce and implement an action plan.”

Disease Control and Prevention – Federal Reserves Over Three Months

Federal reserves, excluding block grants, are listed on the following page. These federal reserves might be able to help fund the following building blocks:

- 1) Intervention to Address Rising Rates of Gonorrhea (item #EA on the building block list)
 - a. Utah's proposal for activities within the Prevention and Public Health Fund (PPHF) 2014 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) cooperative agreement (3460-3799) with reserve funding of \$1,185,800 could be used for this purpose.

The Department of Health indicates: “The funding period of the grant spans fiscal years and 100% of the funding is already designated. Funding any of these new areas would constitute a redirection in funds away from current priorities and partners, requiring approval from the Health Advisory Committee, input through a public hearing, and approval from the Centers for Disease Control and Prevention.”

FEDERAL FUNDS IN THE DEPARTMENT OF HEALTH

Federal Grant Name	Authority through FY 2019 Excluding Indirect Estimate	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
Utah Evidenced-Based Falls Prevention Program (3853)	463,078	259,378	15	3-year grant cycle. Of the award in column E, 12 months funding is for SFY 2020, and 1 month funding is for SFY 2021. Funding can only be used for purposes outlined in the grant.
Utah's Proposal for activities within the Strengthening Surveillance in Jurisdictions with High Incidence of Hepatitis C Virus (HCV) and Hepatitis B Virus (HBV) Infections grant (3584)	517,000	255,500	11	The funding period of the grant spans state fiscal years. Of the estimate in column F, 10 months funding is for SFY2020. Funding can only be used for purposes outlined in the grant.
Ryan White Care Act Title II (3517)	7,541,500	3,500,900	10	Rebates received in conjunction with this grant are to be used first per federal regulations, therefore pushing expenditures from grant funds to the end of the grant period which is 4/1 - 3/31 each grant year. Of the estimate in Column F, 9 months funding is for SFY 2020.
Utah-ATSDR's (Agency for Toxic Substances and Disease Registry) Partnership to Promote Local Efforts to Reduce Environmental Exposure (Utah-APPLETREE) Program (3755)	369,800	160,100	9	The funding period of the grant spans state fiscal years. Of the estimate in column F, 9 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Sexually transmitted disease (STD) Prevention & Disease Intervention Activities Throughout the State of Utah (3591)	911,000	376,700	8	The funding period of the grant spans state fiscal years. Of the estimate in column F, 6 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Tobacco Control Program (4378)	1,715,683	733,883	8	The funding period of the grant spans state fiscal years. Of the estimate in column F, 9 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Utah HIV Surveillance and Prevention Project (3526,3532)	1,742,100	664,700	7	The funding period of the grant spans state fiscal years. Of the estimate in column F, 6 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Utah Tuberculosis (TB) Prevention and Control Project (3567,3442)	445,400	168,400	7	The funding period of the grant spans state fiscal years. Of the estimate in column F, 6 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Utah Rape Prevention and Education Program (4330)	695,867	266,567	7	The funding period of the grant spans state fiscal years. Of the estimate in column F, 7 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Utah Prescription Drug Overdose, Abuse and Misuse Prevention Program (4357)	4,150,998	1,460,698	6	The funding period of the grant spans state fiscal years. Of the award in column E, 2 months funding is for SFY 2020 and a higher amount will be used towards the end of the grant. Funding can only be used for purposes outlined in the grant.
The 4 Corner States are Collaborating to Develop and Enhance Biomonitoring Capability to Assess Human Exposure in This Region (3235)	1,111,688	347,688	5	The funding period of the grant spans state fiscal years. Of the award in column E, 3 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Enhanced State Surveillance of Opioid-Involved Morbidity and Mortality (3852)	610,869	195,669	5	The funding period of the grant spans state fiscal years. Of the award in column E, 2 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Utah's proposal for activities within the Prevention and Public Health Fund (PPHF) 2014 Epidemiology and Laboratory Capacity for Infectious Diseases (ELC) cooperative agreement (3460-3799)	4,676,400	1,185,800	4	Supplemental funding of \$115,000 was awarded after Budget Prep was completed. The funding period of the grant spans state fiscal years. Of the award in Column E, 1 month funding is for SFY2020. Funding can only be used for purposes outlined in the grant.
Utah Cancer Genomics Project (4252)	431,845	111,445	4	The funding period of the grant spans state fiscal years. Of the award in column E, 3 months funding is for SFY 2020. Funding can only be used for purposes outlined in the grant.
Improving Health of Americans Through Prevention & Management of Diabetes, Heart Disease & Stroke (4236 & 4230)	1,429,286	357,286	3	
Implementation of Statewide Newborn Screening for MPS I, Pompe Disease and X-ALD in Utah (3418)	286,115	71,515	3	

Disease Control and Prevention – Federal Block Grants

The Disease Control and Prevention line item has one block grant, Preventive Health and Health Services at \$1,628,900 for FFY 2020. This represents an increase of \$132,900 or 9% over FFY 2018. Of the increase, the Department of Health plans to use \$87,900 on physical activity/obesity. This federal block grant might be an option for partially funding the following building blocks:

- 1) Intervention to Address Rising Rates of Gonorrhea (item #EA on the building block list)
- 2) Funding to Local Health Departments for Compliance with State Standards (item #EC on the building block list)

The Department of Health indicates: “Funding any of these new areas would constitute a redirection in funds away from current priorities and partners, requiring approval from the Health Advisory Committee, input through a public hearing, and approval from the Centers for Disease Control and Prevention. Funding other areas through the Preventive Health and Health Services Block Grant would decrease funding for other top health priorities of obesity prevention and injury prevention.”

Below is a table showing the change in spending by purpose for this block grant from FFY 2012 through FFY 2020 (estimated). The Department of Health explains why it used \$766,500 or 82% of the \$937,100 increase in federal funds for physical activity/obesity and injury prevention starting in FFY 2015 with “Physical Activity, Obesity Prevention, and Injury Prevention are national and local health priority issues.”

Approved spending purposes and additional information are available on the following website, <https://www.healthypeople.gov/2020/topics-objectives>.

Preventive Block Grant	FFY 2012	FFY 2013	FF 2014	FF2015	FFY2016	FFY2017	FFY2018	FFY2019 (est)	FFY2020 (est)
Mandatory Spending	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800	\$ 61,800	\$ 66,100	\$ 61,800	\$ 61,800
Sexual Violence	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798	\$ 61,798	\$ 66,100	\$ 61,798	\$ 61,798
Discretionary Spending	\$674,300	\$662,600	\$630,000	\$1,433,000	\$1,407,500	\$1,438,100	\$1,429,900	\$ 1,567,100	\$ 1,567,100
Physical Activity/Obesity	\$438,303	\$506,887	\$483,585	\$ 841,783	\$ 850,000	\$ 850,000	\$ 813,900	\$ 901,836	\$ 901,836
Injury Prevention	\$149,124	\$114,983	\$110,357	\$ 455,354	\$ 450,000	\$ 450,000	\$ 422,000	\$ 458,585	\$ 458,585
Preventive Block Administration	\$ 24,946	\$ 40,681	\$ 36,077	\$135,911	\$ 57,538	\$ 88,084	\$ 137,500	\$ 156,713	\$ 156,713
Public Health Assessment	\$ 34,880				\$ 50,000	\$ 50,000	\$ 56,600	\$ 50,000	\$ 50,000
Environmental Epidemiology	\$ 27,049								
Total	\$736,100	\$724,300	\$691,800	\$1,494,800	\$1,469,300	\$1,499,900	\$1,496,000	\$ 1,628,900	\$ 1,628,900

Family Health and Preparedness – New Grants

The Family Health and Preparedness line item has the one grant for approval that is entirely new to the State for FY 2019 and FY 2020:

1. **Grants to States for Loan Repayment** (\$202,500 in FY 2019 and \$202,500 in FY 2020) - Health: “The purpose of the grant is to provide student loan repayment assistance to qualified medical providers to work in designated Health Professionals Shortage Areas (HPSAs) throughout Utah. By increasing the amount of qualified medical professionals in HPSAs, we can dramatically improve access to needed primary care services and assist those communities in recruiting and retaining needed primary care professionals.”

Family Health and Preparedness – Federal Block Grants

<u>Maternal Child Health</u>	<u>FFY2015</u>	<u>FFY2016</u>	<u>FFY2017</u>	<u>FFY2018</u>	<u>FFY2019 (est)</u>	<u>FFY2020 (est)</u>
Mandatory Spending	\$4,897,700	\$4,768,200	\$4,696,000	\$4,635,000	\$5,659,600	\$5,535,300
Child Development	\$ 59,791	\$ 62,416	\$ 63,956	\$ 36,427	\$ 50,000	\$ 50,000
Financial Resources	\$ 133,854	\$ 110,938	\$ 117,901	\$ 109,602	\$ 150,000	\$ 150,000
LHD Contracts	\$1,364,591	\$1,220,149	\$1,060,601	\$1,010,968	\$1,188,394	\$1,188,394
Violence & Injury (VIPP)	\$ 380,349	\$ 410,950	\$ 405,120	\$ 285,745	\$ 383,000	\$ 325,000
Community Injury (VIPP)	\$ 372,579	\$ 363,820	\$ 364,406	\$ 266,440	\$ 387,700	\$ 387,700
MCH Admin	\$ 262,109	\$ 410,307	\$ 399,851	\$ 250,947	\$ 332,300	\$ 332,300
Data Resources	\$ 290,882	\$ 308,859	\$ 341,042	\$ 258,449	\$ 352,500	\$ 352,500
Oral Health	\$ 167,145	\$ 222,327	\$ 226,800	\$ 218,500	\$ 284,000	\$ 284,000
CSHCN Admin.	\$ 363,488	\$ 6,467	\$ 131,728	\$ 220,266	\$ 253,600	\$ 253,600
CSHCN Clinics MCH	\$ 371,574	\$ 484,981	\$ 324,531	\$ 699,365	\$ 905,000	\$ 859,800
CSHCN Integrated Services	\$ 453,584	\$ 455,733	\$ 444,740	\$ 577,420	\$ 422,000	\$ 400,900
Birth Defects	\$ 290,059	\$ 378,614	\$ 444,202	\$ 351,026	\$ 501,100	\$ 501,100
Indirect	\$ 387,678	\$ 332,658	\$ 371,153	\$ 349,840	\$ 450,000	\$ 450,000
Discretionary Spending	\$1,282,900	\$1,397,500	\$1,431,200	\$1,157,600	\$1,482,200	\$1,450,600
MCH Admin					\$ -	
Maternal and Infant Health	\$ 463,586	\$ 584,092	\$ 486,065	\$ 501,724	\$ 575,000	\$ 564,300
Data Resources						
Pregnancy Risk Line	\$ 296,567	\$ 347,776	\$ 374,121	\$ 225,660	\$ 344,000	\$ 344,000
Oral Health Survey	\$ 45,000					
Minority Health Disparities	\$ 45,768	\$ 45,836	\$ 55,239	\$ 27,377	\$ 18,400	\$ -
School Health	\$ 93,378	\$ 94,676	\$ 89,108	\$ 78,137	\$ 99,500	\$ 99,500
Safe Haven	\$ 32,034	\$ 20,362	\$ 64,816	\$ 39,155	\$ 50,000	\$ 47,500
Baby Your Baby	\$ 219,646	\$ 199,663	\$ 201,047	\$ 140,366	\$ 200,000	\$ 200,000
Early Hearing Detection	\$ -	\$ 29,823	\$ 78,665	\$ 67,478	\$ 99,500	\$ 99,500
CHARM	\$ 86,971	\$ 75,253	\$ 82,095	\$ 77,740	\$ 95,800	\$ 95,800
Utah Indian Health Advisory Board One Time					\$ 20,000	\$ -
Total	\$6,180,600	\$6,165,700	\$6,127,200	\$5,792,600	\$7,161,800	\$6,985,900

The Family Health and Preparedness line item has one block grant, Maternal and Child Health Block Grant at \$6,985,900 for FFY 2020. This represents an increase of \$858,700 or 14% over FFY 2017. Since FFY 2018 saw a reduction in funding, the analyst used FFY 2017 as the base year. The Department of Health plans to use \$535,300 or 62% of the increase on its children with special health care needs clinics. This federal block grant might be an option for partially funding the following building blocks:

1. Help 24 More Children With Hearing Aids (item #EE on the building block list)
2. Asthma Home-based Case Management for 70 Medicaid Children (item #EI on the building block list)

The agency decides how to use the grant money with public input and must conduct a needs assessment every five years. Below is a table showing the change in spending by purpose for this block grant from FFY 2015 through FFY 2020 (estimated). The Department of Health explains the \$858,700 increase in mandatory spending from FFY 2017 to FFY 2020 with: “Maternal and Child Health Block Grant is awarded on an annual basis for a 24 month award. Annual award is approximately \$6.2 million. FFY2019 and FFY 2020 budget amounts include anticipated carryover funds as well as the annual award amount. As budgets are allocated to spending categories on a 12-month basis, the budget includes carry-over funds from one

grant period and fully obligates the award of the second grant period. The Department estimates carry-over amounts will be completely used during FY 2020 and budget amounts must be reduced during FFY 2020 and 2021 by an estimated \$500,000 to \$800,000. Beginning in FY 2018, the Division has worked to reduce expenditures in the Maternal and Child Health Block Grant in order to continue funding discretionary spending for as long as possible.”

Family Health and Preparedness – Federal Reserves Over Three Months

Federal reserves, excluding block grants, are listed below. These federal reserves might be able to help fund the following building blocks:

- 1) Asthma Home-based Case Management for 70 Medicaid Children (item #EI on the building block list)
 - a. Maternal, Infant and Early Childhood Homevisiting Grant program with reserve funding of \$2,749,000 could be used for this purpose.

The Department of Health indicates: “Maternal and Infant Early Childhood Homevisiting Grant Program is a 24 month grant awarded annually. Federally we are required to budget and spend approximately 1/3 of the grant award in year one of the grant and 2/3 of the grant award in year two of the grant. This causes us to show reserves for the State Fiscal Year. However, funding for this program over the past several years has been significantly reduced (expansion grants no longer awarded). The program has reduced contracts to Home Visiting Subgrantees, and the reduced the number of families being seen.”

Federal Grant Name	Authority through FY 2019 Excluding Indirect Estimate	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
Maternal, Infant and Early Childhood Homevisiting Grant program	5,207,500	2,749,000	13	Overlapping Grant Awards Available 04/01/16 - 9/30/18, 9/30/17 - 9/29/19, and 09/30/18 - 09/29/20; Funds can only be used for activities specified in the grant and only up to 2/3 can be used in the first 12 months of the grant.
Maternal and Child Health Block Grant	9,595,400	3,769,500	7	MCH has a 24 month award period, authority total covers two years. Funding must be use for specific regulations stated in the block grant application.
Personal Responsibility Education Program	1,170,300	419,200	6	Overlapping Grant Awards Available 10/1/16 - 9/30/19 and 10/1/17 - 9/30/20; Funds can only be used for activities specified in the grant.

Subcommittee Options for These Federal Grants and Intergovernmental Transfers

As per [UCA 63J-5-201](#) the subcommittee has at least the following three options:

1. “Recommend that the agency accept the federal funds or participate in the federal program”
2. “Recommend that the agency not accept the federal funds or participate in the federal program.”
3. Direct the agency to accept some, but not all of the federal funds listed above

Grants Subject to Approval by the Executive Appropriations Committee

As per [UCA 63J-5-204](#) grants or intergovernmental transfers received after this approval process by the subcommittee that meet any of the following three criteria must be approved by the Executive Appropriations Committee:

1. More than \$1,000,000 up to \$10,000,000
2. Add 1 to 10 permanent or part-time employees
3. Require any State match up to \$1,000,000

Grants Subject to Approval by the Full Legislature

As per [UCA 63J-5-204](#) grants or intergovernmental transfers received after this approval process by the subcommittee that meet any of the following three criteria must be approved by the full Legislature:

1. Above \$10,000,000
2. Add 11 or more permanent or part-time employees
3. Require any State match above \$1,000,000

Additional Information About Federal Funds

- For more information on federal block grants in the Social Services Appropriations Subcommittee, please visit the brief entitled “How Federal Block Grant Spending is Approved” available at <http://le.utah.gov/interim/2015/pdf/00004112.pdf>
- www.usaspending.gov - federal government reporting requirements for designated grants over \$25,000.