FEDERAL FUNDS
DEPARTMENT OF HUMAN SERVICES

SOCIAL SERVICES APPROPRIATIONS SUBCOMMITTEE
STAFF: CLARE TOBIN LENCE

ISSUE BRIEF

SUMMARY

Utah Code Annotated (UCA) 63J-5-201 states, "the Legislative Fiscal Analyst shall submit a federal funds request summary for each agency to the legislative appropriations subcommittee responsible for that agency's budget for review during each annual general session." Each subcommittee determines whether or not to recommend that "the agency accept the federal funds or participate in the federal program for the fiscal year under consideration." The Executive Appropriations Committee then makes their determination and directs the Office of the Legislative Fiscal Analyst to include approved federal funds in an appropriations act.

The Department of Human Services (DHS) has requested an increase in federal funds of $25,899,700 for FY 2020 and total federal funds of $157,277,700 for FY 2021.

Not included here for review and specific authorization are federal Medicaid funds shown in the DHS budget as Transfers. These funds are exempt from this specific review process as specified in UCA 63J-5-103(2)(a). For DHS, federal Medicaid funds are first received by the Department of Health, the designated state agency for the Medicaid program, and subsequently transferred to DHS. The DHS FY 2021 budget request includes $291,260,500 in transfers related to Medicaid. Of this amount, $280,177,600 -- or 96.2 percent -- is in the Division of Services for People with Disabilities.

This brief includes:

1. Total amounts requested for FY 2020 supplemental and FY 2021 by line item - Page 2
2. Specific grants requested for FY 2020 supplemental and FY 2021 by line item - Pages 3-11
3. Federal reserves over three months for FY 2020 - Pages 12-15
4. Federal block grant uses and how block grant funding is approved - Pages 16-20
5. Interim approval process for federal grants - Page 21

LEGISLATIVE ACTION

1. The Office of the Legislative Fiscal Analyst recommends the subcommittee consider whether to authorize the Department of Human Services to accept the federal funds shown on pages 3-11.

Subcommittee Options for Federal Grants

Per UCA 63J-5-201, the subcommittee has the following three options:

1. Recommend that the agency accept the federal funds or participate in the federal program for the fiscal year under consideration.
2. Recommend that the agency not accept the federal funds or participate in the federal program for the fiscal year under consideration.
3. Direct the agency to accept some, but not all of the federal funds listed below.

FY 2020 SUPPLEMENTAL REQUEST OVERVIEW

The department is requesting increased federal grant authorization of $25,899,700 for FY 2020, over the $148,569,100 ongoing that was approved during the 2019 General Session. This is an increase of 17.4 percent.

When combined with estimated Medicaid transfers of $281,530,400, total federal funds would be about half of the DHS FY 2020 budget.

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Division			Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
				Matching State Funds				Local/Other		
				General Fund	In Kind	Maint. Of Effort	Total State Match			
Executive Director's Office			$715,500	$265,600	$0	$0	$265,600	$0	0.00%	$0
Substance Abuse and Mental Health			$16,072,600	$0	$0	$70,788,500	$70,788,500	$125,000	66%	0.00
Office of Recovery Services			$2,045,800	$0	$0	$0	$0	$0	0%	0.00
Child and Family Services			$5,690,400	$3,401,100	$0	$175,000	$3,576,100	$0	0%	0.00
Aging and Adult Services			$1,375,400	$54,500	$31,500	$78,727	$164,727	$157,548	72%	0.00
HUMAN SERVICES TOTALS State FY 2020			$25,899,700	$3,721,200	$31,500	$71,042,227	$74,794,927	$282,548	45%	0.00

FY 2021 REQUEST OVERVIEW

The department is requesting federal grant authorization totaling $157,277,700 for FY 2021. This amount is $9,533,400 over the $147,744,300 that was approved during the 2019 General Session for FY 2020, an increase of 6.5 percent.

When combined with budgeted Medicaid transfers of $291,260,500, total federal funds would be about half of the DHS FY 2021 budget.

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Division			Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
				Matching State Funds				Local/Other		
				General Fund	In Kind	Maint. Of Effort	Total State Match			
Executive Director's Office			$8,239,200	$1,218,100	$0	$0	$1,218,100	$0	16%	0.00
Substance Abuse and Mental Health			$42,303,400	$0	$50,400	$78,782,600	$78,833,000	$290,000	88%	0.00
Office of Public Guardian			$40,000	$0	$0	$0	$0	$0	100%	0.00
Services for People with Disabilities			$1,141,100	$0	$0	$0	$0	$0	0%	0.00
Office of Recovery Services			$24,437,500	$10,116,900	$0	$0	$10,116,900	$0	0%	0.00
Child and Family Services			$67,318,300	$28,701,000	$0	$175,000	$28,876,000	$0	0%	0.00
Aging and Adult Services			$13,798,200	$52,300	$31,500	$774,807	$858,607	$0	0%	0.00
HUMAN SERVICES TOTALS State FY 2020			$157,277,700	$40,088,300	$81,900	$79,732,407	$119,902,607	$290,000	24%	0.00

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Executive Director's Office								
Summary: The request for FY 2020 is a 8.4% percent increase over the amount initially appropriated for FY 2020. There are no new grants requested for FY 2020.								
Federal DHS Title IV-D Child Support	$25,400	$8,500	$0	$0	$8,500	$0	0%	0.00
Federal DHS Title IV-B Child Welfare	$112,400	$56,200	$0	$0	$56,200	$0	0%	0.00
Federal DHS Title IV-E Foster Care	$200,800	$200,800	$0	$0	$200,800	$0	0%	0.00
Federal DHS Dev Disability Grants	$215,100	$0	$0	$0	$0	$0	22%	0.00
Federal DHS Title IV-E Adoptions	$100	$100	$0	$0	$100	$0	0%	0.00
Title XX Local Discretionary Social Services Block Grant	$59,800	$0	$0	$0	$0	$0	100%	0.00
Substance Abuse Prevention Treament Block Grant	$2,900	$0	$0	$0	$0	$0	0%	0.00
Community Mental Health Services Block Grant	$2,100	$0	$0	$0	$0	$0	0%	0.00
UT Youth Empowered to Succeed	$91,700	$0	$0	$0	$0	$0	0%	0.00
Fed Strategic Prevention Framework	$100	$0	$0	$0	$0	$0	0%	0.00
Opioid Crisis Grants	$3,900	$0	$0	$0	$0	$0	0%	0.00
Progressive Adulthood Grant	$1,100	$0	$0	$0	$0	$0	0%	0.00
Adult Drug Court Discretion Program	$100	$0	$0	$0	$0	$0	0%	0.00
Division/Program Totals	$715,500	$265,600	$0	$0	$265,600	$0	0.00%	$0
Substance Abuse and Mental Health								
Summary: The request for FY 2020 is a 43.8% percent increase over the amount initially appropriated for FY 2020. There are five new grants requested for FY 2020 (highlighted in peach).								
Projects for Assistance in Transition from Homelessness (PATH)	$300	$0	$0	$0	$0	$0	93%	0.00
Substance Abuse Prevention & Treatment	$216,700	$0	$0	$26,428,800	$26,428,800	$0	89%	0.00
Assisted Outpatient Treatment	$148,700	$0	$0	$0	$0	$0	85%	0.00
State Youth Treatment Implementation	$40,800	$0	$0	$0	$0	$0	77%	0.00
Behavioral Health Workforce Education & Training	$21,700	$0	$0	$0	$0	$0	0%	0.00

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Utah Youth Hope Project	$736,000	$0	$0	$0	$0	$0	87%	0.00
Mental Health Block Grant	$589,000	$0	$0	$44,359,700	$44,359,700	$0	82%	0.00
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	$2,893,800	$0	$0	$0	$0	$0	86%	0.00
Youth & Young Adults at Clinical High Risk for Psycosis (CHR-P)	$437,600	$0	$0	$0	$0	$0	67%	0.00
Youth Empowered Solutions to Succeed (YESS) - Healthy Transitions	$1,241,500	$0	$0	$0	$0	$0	87%	0.00
State Opioid Response Grant & Supplemental	$6,871,100	$0	$0	$0	$0	$0	97%	0.00
Utah Opioid State Targeted Response Grant	$34,500	$0	$0	$0	$0	$0	99%	0.00
Utah Promoting Integration of Primary and Behavioral Health Care (U-PIPBHC)	$2,606,800	$0	$0	$0	$0	$0	95%	0.00
Adult Drug Court Discrestionary Programs	$178,500	$0	$0	$0	$0	$125,000	98%	0.00
Supported Employment	$55,600	$0	$0	$0	$0	$0	93%	0.00
Division/Program Totals	$16,072,600	$0	$0	$70,788,500	$70,788,500	$125,000	66%	0.00

Office of Recovery Services

Summary: The request for FY 2020 is a 7.8% percent increase over the amount initially appropriated for FY 2020. There are no new grants requested for FY 2020.

Title IV-D Child Support Enforcement Incentive	$2,045,800	$0	$0	$0	$0	$0	0%	0.00
Division/Program Totals	$2,045,800	$0	$0	$0	$0	$0	0%	0.00

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Child and Family Services								
Summary: The request for FY 2020 is a 9.0% percent increase over the amount initially appropriated for FY 2020. There is one new grant requested for FY 2020 (highlighted in peach).								
Title IV-E Prevention Services	$455,000	$0	$0	$0	$0	$0	0%	0.00
Title IV-E AFDC Foster Care	$3,800,000	$2,985,700	$0	$0	$2,985,700	$0	0%	0.00
Independent Living Program	$22,200	$5,600	$0	$175,000	$180,600	$0	0%	0.00
Title IV-E AFDC Adoption Assistance	$800,000	$394,000	$0	$0	$394,000	$0	0%	0.00
Education & Training Voucher	$62,600	$15,700	$0	$0	$15,700	$0	0%	0.00
Family Violence Prevention Services Act	$19,200	$0	$0	$0	$0	$0	0%	0.00
Child Abuse Prevention Treatment Act	$236,100	$0	$0	$0	$0	$0	0%	0.00
Promoting Safe and Stable Families	$0	$0	$0	$0	$0	$0	0%	0.00
Promoting Safe and Stable Families - Caseworker Visits	$400	$100	$0	$0	$100	$0	0%	0.00
Kinship Navigator	$294,900	$0	$0	$0	$0	$0	0%	0.00
Division/Program Totals	$5,690,400	$3,401,100	$0	$175,000	$3,576,100	$0	0%	0.00

Federal Funds Request Summary for State FY 2020

July 1, 2019 through June 30, 2020

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Aging and Adult Services								
Summary: The request for FY 2020 is a 11.1% percent increase over the amount initially appropriated for FY 2020. There are two new grants requested for FY 2020 (highlighted in peach).								
Title III B Supportive Services	$191,400	$0	$0	$15,944	$15,944	$31,906	100%	0.00
Title III C-1 Congregate Meals	$422,400	$0	$0	$35,186	$35,186	$70,414	100%	0.00
Title III C-2 Home Delivered Meals	$168,900	$0	$0	$14,069	$14,069	$28,156	100%	0.00
Title III D Preventative Health	$32,600	$0	$0	$0	$0	$0	100%	0.00
Title III E National Family Caregiver Support	$162,400	$0	$0	$13,528	$13,528	$27,072	100%	0.00
Title V Senior Community Service Employment Program	$1,000	$0	$0	$0	$0	$0	100%	0.00
Title VII Elder Abuse Prevention	$9,400	$0	$0	$0	$0	$0	0%	0.00
Title VII Ombudsman Services	$14,300	$0	$0	$0	$0	$0	100%	0.00
Transforming America's Response to Elder Abuse: Enhanced E-MDTs	$125,000	$0	$0	$0	$0	$0	0%	0.00
State Grants to Enhance Adult Protective Services	$248,000	$54,500	$31,500	$0	$86,000	$0	0%	0.00
Division/Program Totals	$1,375,400	$54,500	$31,500	$78,727	$164,727	$157,548	72%	0.00
HUMAN SERVICES TOTALS								
State FY 2020	$25,899,700	$3,721,200	$31,500	$71,042,227	$74,794,927	$282,548	45%	0.00

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Executive Director's Office								
Summary: The request for FY 2021 is a 3.4% percent decrease from the amount initially appropriated for FY 2020. There are no new grants requested for FY 2021.								
UT Youth Empowered to Succeed	$7,100	$0	$0	$0	$0	$0	0%	0.00
Federal DHS Dev Disability Grants	$840,500	$0	$0	$0	$0	$0	23%	0.00
Opioid Crisis Grants	$8,900	$0	$0	$0	$0	$0	0%	0.00
Adult Drug Court Discretion Program	$200	$0	$0	$0	$0	$0	0%	0.00
Substance Abuse Prevention Treament Block Grant	$7,300	$0	$0	$0	$0	$0	0%	0.00
Mental Health Homeless Block Grant	$100	$0	$0	$0	$0	$0	0%	0.00
UT Supported Employment Transformation Project	$500	$0	$0	$0	$0	$0	0%	0.00
SPF/Partnerships for Success	$5,000	$0	$0	$0	$0	$0	0%	0.00
Federal DHS Title IV-B Child Welfare	$260,000	$86,700	$0	$0	$86,700	$0	0%	0.00
Federal DHS Title IV-D Child Support	$469,200	$234,600	$0	$0	$234,600	$0	0%	0.00
Federal DHS Title IV-E Foster Care	$863,000	$863,000	$0	$0	$863,000	$0	0%	0.00
Federal DHS Title IV-E Adoptions	$33,800	$33,800	$0	$0	$33,800	$0	0%	0.00
Title XX Local Discretionary Social Services Block Grant	$1,140,700	$0	$0	$0	$0	$0	100%	0.00
Title XX Social Services Block Grant	$4,534,600	$0	$0	$0	$0	$0	0%	0.00
Fed Strategic Prevention Framework	$100	$0	$0	$0	$0	$0	0%	0.00
Community Mental Health Services Block Grant	$2,100	$0	$0	$0	$0	$0	0%	0.00
Progressive Adulthood Grant	$1,100	$0	$0	$0	$0	$0	0%	0.00
Indirect	$65,000	$0	$0	$0	$0	$0	0%	0.00
Division/Program Totals	$8,239,200	$1,218,100	$0	$0	$1,218,100	$0	16%	0.00
Substance Abuse and Mental Health								
Summary: The request for FY 2021 is a 15.2% percent increase from the amount initially appropriated for FY 2020. There are five new grants requested for FY 2021 (highlighted in peach).								
Mental Health Block Grant	$6,051,700	$0	$0	$49,719,400	$49,719,400	$0	82%	0.00

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Substance Abuse Prevention & Treatment	$17,740,800	$0	$0	$29,063,200	$29,063,200	$0	89%	0.00
Projects for Assistance in Transition from Homelessness (PATH)	$591,600	$0	$0	$0	$0	$165,000	93%	0.00
Supported Employment	$600,000	$0	$0	$0	$0	$0	93%	0.00
State Youth Treatment Implementation	$800,000	$0	$0	$0	$0	$0	76%	0.00
Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	$371,600	$0	$0	$0	$0	$0	80%	0.00
Utah Zero Suicide Project	$471,000	$0	$50,400	$0	$50,400	$0	31%	0.00
Behavioral Health Workforce Education & Training	$72,100	$0	$0	$0	$0	$0		0.00
Adult Drug Court Discretionary Programs	$500,000	$0	$0	$0	$0	$125,000	99%	0.00
Assisted Outpatient Treatment	$750,000	$0	$0	$0	$0	$0	82%	0.00
State Opioid Response Grant	$7,958,600	$0	$0	$0	$0	$0	95%	0.00
Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	$2,260,000	$0	$0	$0	$0	$0	82%	0.00
Youth & Young Adults at Clinical High Risk for Psychosis (CHR-P)	$400,000	$0	$0	$0	$0	$0	65%	0.00
Utah Promoting Integration of Primary and Behavioral Health Care (U-PIPBHC)	$2,000,000	$0	$0	$0	$0	$0	94%	0.00
Youth Empowered Solutions to Succeed (YESS) - Healthy Transitions	$1,000,000	$0	$0	$0	$0	$0	85%	0.00
Utah Youth Hope Project	$736,000	$0	$0	$0	$0	$0	87%	0.00
Division/Program Totals	$42,303,400	$0	$50,400	$78,782,600	$78,833,000	$290,000	88%	0.00

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Office of Public Guardian								
Summary: The request for FY 2021 is a 1.7% percent decrease from the amount initially appropriated for FY 2020. There are no new grants requested for FY 2021.								
Social Services Block Grant	$40,000	$0	$0	$0	$0	$0	100%	0.00
Division/Program Totals	$40,000	$0	$0	$0	$0	$0	100%	0.00
Services for People with Disabilities								
Summary: The request for FY 2021 is a 26.4% percent decrease from the amount initially appropriated for FY 2020. There are no new grants requested for FY 2021.								
Social Services Block Grant	$943,100	$0	$0	$0	$0	$0	0%	0.00
Improving State-Level Prevention, Training, and Service Response for Female Victims with Disabilities	$198,000	$0	$0	$0	$0	$0	0%	0.00
Division/Program Totals	$1,141,100	$0	$0	$0	$0	$0	0%	0.00
Office of Recovery Services								
Summary: The request for FY 2021 is a 6.9% percent decrease from the amount initially appropriated for FY 2020. There are no new grants requested for FY 2021.								
Title IV-D Child Support Enforcement	$19,638,600	$10,116,900	$0	$0	$10,116,900	$0	0%	0.00
Title IV-D Child Support Enforcement Incentive	$4,798,900	$0	$0	$0	$0	$0	0%	0.00
Division/Program Totals	$24,437,500	$10,116,900	$0	$0	$10,116,900	$0	0%	0.00

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Child and Family Services								
Summary: The request for FY 2021 is a 6.8% percent increase from the amount initially appropriated for FY 2020. There is one new grant requested for FY 2021 (highlighted in peach).								
Social Services Block Grant	$10,745,200	$0	$0	$0	$0	$0	0%	0.00
Title IV-B Child Welfare Services	$3,686,700	$1,228,900	$0	$0	$1,228,900	$0	0%	0.00
Title IV-E Prevention Services	$910,000	$0	$0	$0	$0	$0	0%	0.00
Title IV-E AFDC Foster Care	$25,854,100	$20,313,900	$0	$0	$20,313,900	$0	0%	0.00
Community Based Child Abuse Prevention	$378,900	$94,700	$0	$0	$94,700	$0	0%	0.00
Independent Living Program	$854,000	$213,500	$0	$175,000	$388,500	$0	0%	0.00
Title IV-E AFDC Adoption Assistance	$12,511,500	$6,162,400	$0	$0	$6,162,400	$0	0%	0.00
Education & Training Voucher	$341,900	$85,500	$0	$0	$85,500	$0	0%	0.00
Family Violence Prevention Services Act	$1,295,800	$0	$0	$0	$0	$0	0%	0.00
Title XX TANF	$7,535,000	$0	$0		$0	$0	0%	0.00
Child Abuse Prevention Treatment Act	$613,700	$0	$0	$0	$0	$0	0%	0.00
Adoption Incentive Grant	$490,300	$0	$0	$0	$0	$0	0%	0.00
Promoting Safe and Stable Families	$1,697,300	$565,800	$0	$0	$565,800	$0	0%	0.00
Promoting Safe and Stable Families - Caseworker Visits	$109,000	$36,300	$0	$0	$36,300	$0	0%	0.00
Kinship Navigator	$294,900	$0	$0	$0	$0	$0	0%	0.00
Division/Program Totals	$67,318,300	$28,701,000	$0	$175,000	$28,876,000	$0	0%	0.00

Federal Funds Request Summary for State FY 2021

July 1, 2020 through June 30, 2021

Grant Title	Federal Annual Award	Annual Match Requirement					% Pass-Through	New Perman-ent Staff
		Matching State Funds				Local/Other		
		General Fund	In Kind	Maint. Of Effort	Total State Match			
Aging and Adult Services								
Summary: The request for FY 2021 is a 10.9% percent increase from the amount initially appropriated for FY 2020. There are two new grants requested for FY 2021 (highlighted in peach).								
Social Services Block Grant	$1,049,700	$0	$0	$0	$0	$0	0%	0.00
Title III B Supportive Services	$2,404,600	$0	$0	$200,303	$200,303	$0	0%	0.00
Title III C-1 Congregate Meals	$3,200,800	$0	$0	$266,627	$266,627	$0	0%	0.00
Title III C-2 Home Delivered Meals	$2,296,000	$0	$0	$191,257	$191,257	$0	0%	0.00
Title III D Preventative Health	$180,000	$0	$0	$0	$0	$0	0%	0.00
Title III E National Family Caregiver Support	$1,400,000	$0	$0	$116,620	$116,620	$0	0%	0.00
Title V Senior Community Service Employment Program	$531,300	$0	$0	$0	$0	$0	0%	0.00
Title VII Elder Abuse Prevention	$25,000	$0	$0	$0	$0	$0	0%	0.00
Title VII Ombudsman Services	$160,000	$0	$0	$0	$0	$0	0%	0.00
Nutrition Services Incentive Program	$1,400,000	$0	$0	$0	$0	$0	0%	0.00
State Health Insurance Information Program	$355,000	$0	$0	$0	$0	$0	0%	0.00
Medicare Improvement for Patients & Providers Act	$135,000	$0	$0	$0	$0	$0	0%	0.00
Senior Medicare Patrol	$285,600	$0	$0	$0	$0	$0	0%	0.00
Transforming America's Response to Elder Abuse: Enhanced E-MDTs	$125,000	$0	$0	$0	$0	$0	0%	0.00
State Grants to Enhance Adult Protective Services	$250,200	$52,300	$31,500	$0	$83,800	$0	0%	0.00
Division/Program Totals	$13,798,200	$52,300	$31,500	$774,807	$858,607	$0	0%	0.00
HUMAN SERVICES TOTALS								
State FY 2021	$157,277,700	$40,088,300	$81,900	$79,732,407	$119,902,607	$290,000	24%	0.00

Federal Grants $200,000 or Greater - Amount of Anticipated Reserves

Department of Human Services

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/19	Estimate of Additional Grant Monies to be Received 9/30/19 to 6/30/20	Estimated Authority through SFY 2020	Amount in SFY 2020 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
DSPD	Improving State-Level Prevention, Training, and Service Response for Female Victims with Disabilities	373,000	25,000	398,000	200,000	198,000	11	Multi-Year funding to 9/30/2021. Reserve is to fund the remaining grant period.
EDO, DSAMH	Adult Drug Court Discretionary Programs	776,800	0	776,800	678,700	98,100	1	
DAAS	Title V Senior Community Service Employment Program	531,300	0	531,300	531,300	0	0	
DAAS	Title III B Supportive Services	1,185,800	1,835,000	3,020,800	2,393,300	627,500	3	
DAAS	Title III C-1 Congregate Meals	1,894,400	2,059,400	3,953,800	3,236,100	717,700	2	
DAAS	Title III C-2 Home Delivered Meals	1,207,400	1,498,400	2,705,800	2,343,000	362,800	1	
DAAS	Senior Medicare Patrol	193,800	26,200	220,000	220,000	0	0	
DAAS	Title III E National Family Caregiver Support	1,104,600	828,400	1,933,000	1,510,800	422,200	3	
DAAS	Nutrition Services Incentive Program	505,300	962,600	1,467,900	1,400,000	67,900	0	
DSAMH	Projects for Assistance in Transition from Homelessness (PATH)	595,300	0	595,300	591,600	3,700	0	
DSAMH	Youth & Young Adults at Clinical High Risk for Psychosis (CHR-P)	537,600	0	537,600	437,600	100,000	2	
DSAMH	Utah Youth Hope Project	736,000	0	736,000	736,000	0	0	
EDO	UT Transition Youth Empowered to Succeed	661,200	0	661,200	668,200	-7,000	0	
EDO, DSAMH	Utah Zero Suicide Project	640,300	0	640,300	463,100	177,200	4	Carryover of $65,900 was not included in the budget request. Anticipate authorization to spend this additional amount in FY2020. This will result in a reserve of 2 months which reserve is needed to fund this program into the first quarter of FY2021.
EDO, DSAMH	Behavioral Health Workforce Education & Training	454,600	0	454,600	313,100	141,500	5	Carryover of $72,100 was not included in the budget request. Anticipate authorization to spend this additional amount in FY2020. This will result in a reserve of 2 months which reserve is needed to fund this program into the first quarter of FY2021.
EDO, DSAMH	Utah Promoting Integration of Primary and Behavioral Health Care (U-PIPBHC)	1,606,800	2,000,000	3,606,800	2,607,900	998,900	4	Multi-Year funding to 12/31/2020. Reserve is to fund the FY2021 portion of this project.
EDO, DSAMH	Youth Empowered Solutions to Succeed (YESS) - Healthy Transitions	991,500	1,000,000	1,991,500	1,241,500	750,000	7	Multi-Year funding to 03/30/2021. Reserve is to fund the FY2021 portion of this project.

Federal Grants $200,000 or Greater - Amount of Anticipated Reserves

Department of Human Services

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/19	Estimate of Additional Grant Monies to be Received 9/30/19 to 6/30/20	Estimated Authority through SFY 2020	Amount in SFY 2020 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
EDO, DSAMH	Strategic Prevention Framework - Partnerships for Success II (SPF-PFS II)	3,611,100	0	3,611,100	2,898,800	712,300	2	
EDO, DSAMH	Supported Employment	259,600	800,000	1,059,600	860,100	199,500	2	
EDO, DSAMH	State Youth Treatment Implementation	1,090,900	0	1,090,900	858,300	232,600	3	
EDO, DSAMH	Strategic Prevention Framework - Prescription Drugs (SPF-Rx)	435,100	0	435,100	348,200	86,900	2	
DCFS	Kinship Navigator	295,400	0	295,400	294,900	500	0	
DCFS	Promoting Safe and Stable Families	1,696,900	1,696,900	3,393,800	1,806,300	1,587,500	10	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports effective fiscal and program management, and allows time for contracting. These funds are limited to family preservation, family support, time-limited reunification, and adoption promotion and support services.
DCFS	Community Based Child Abuse Prevention	736,900	362,000	1,098,900	378,900	720,000	22	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited to child abuse prevention
DCFS	Education & Training Voucher	341,900	279,300	621,200	341,900	279,300	9	Funds from this grant are planned for expenditure in the State fiscal immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for post-secondary education for former foster youth and certain adoptive youth.

Federal Grants $200,000 or Greater - Amount of Anticipated Reserves

Department of Human Services

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/19	Estimate of Additional Grant Monies to be Received 9/30/19 to 6/30/20	Estimated Authority through SFY 2020	Amount in SFY 2020 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
DCFS	Adoption Incentive Grant	1,338,200	289,000	1,627,200	490,300	1,136,900	27	The amount of this funding can vary significantly from year to year. There is not a typical monthly cost for how these funds are used. For more effective fiscal and program management, expenditures of these funds are planned after the federal fiscal year award is received so the amount to be budgeted is known. Funds from each year's grant award are planned to begin to be expended in the State fiscal year immediately following the year in which Federal funds are authorized. The Federal government allows up to 3 years to spend each year's award, so due to uneven award amounts, a portion of funds may be carried into an additional fiscal year to even out budgeting.
EDO, DCFS	Title IV-B Child Welfare Services	3,021,100	2,870,000	5,891,100	3,946,700	1,944,400	5	Lag in grant spending is beneficial for management.
DHS	Title XX, includes local discretionary SSBG	11,643,300	11,527,500	23,170,800	16,330,500	6,840,300	5	Lag in grant spending is beneficial for management. Amount includes DWS funding for food program.
DCFS	Child Abuse Prevention Treatment Act	1,676,000	324,000	2,000,000	613,700	1,386,300	27	Funds from this grant are expended in the State fiscal year following the year in which Federal funds are authorized. Timing expenditures in this way supports effective fiscal and program management, and allows time for contracting. The federal government allotted a one-time funding increase with the intention that it be used over four years to help implement provisions of the Family First Act. The division is using part of the award to work with the U of U in developing a plan of Safe Care Evaluation as a part of implementing the FFPSA. These funds are for child protective services system improvements and training.
DCFS	Family Violence Prevention Services Act	1,295,800	1,295,800	2,591,600	1,295,800	1,295,800	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are allocated to domestic violence shelters that provide services for the safety of abused parents and their children.

Federal Grants $200,000 or Greater - Amount of Anticipated Reserves

Department of Human Services

Division	Federal Grant Name	Cumulative Grant Authority through 9/30/19	Estimate of Additional Grant Monies to be Received 9/30/19 to 6/30/20	Estimated Authority through SFY 2020	Amount in SFY 2020 Budget Request	Reserve Spending Authority	# of Months of Reserves	Explanation of Reserves Over Three Months From Agency
DCFS	Independent Living Program	854,000	854,000	1,708,000	854,000	854,000	12	Funds from this grant are planned for expenditure in the State fiscal year immediately following the year in which Federal funds are authorized. This timing supports budgeting for effective fiscal and program management, including time for contracting. These funds are limited for youth preparing to transition to adult living from foster care.
DAAS	State Grants to Enhance Adult Protective Services	248,000	0	248,000	248,000	0	0	
DAAS	State Health Insurance Information Program	200,900	124,100	325,000	325,000	0	0	
EDO, DSAMH	State Opioid Response Grant	16,704,000	0	16,704,000	14,723,300	1,980,700	1	
EDO	Federal DHS Dev Disability Grants	1,131,400	632,000	1,763,400	842,500	920,900	13	The program is funded with Federal funds. The grant funds is intended to be used for program purposes.
EDO, DSAMH	Mental Health Block Grant	6,792,800	6,090,500	12,883,300	6,600,300	6,283,000	11	Multi-Year funding with the additional annual federal authorization expected in the second half of the state fiscal year. Funds have planned uses detailed in the budget approved by the granting authority.
EDO, DSAMH	Substance Abuse Prevention & Treatment	10,827,500	16,591,100	27,418,600	18,522,300	8,896,300	5	Multi-Year funding with the additional annual federal authorization expected late in the state fiscal year. Funds have planned uses detailed in the budget approved by the granting authority.
EDO, DSAMH	Assisted Outpatient Treatment	1,111,100	0	1,111,100	909,200	201,900	2	
Totals				**133,278,800**	**93,061,200**	**40,217,600**	**5**	

FEDERAL BLOCK GRANT USES AND HOW BLOCK GRANT FUNDING IS APPROVED

The following tables show the agency-proposed uses of their three major block grants: 1) Social Services Block Grant; Substance Abuse Prevention and Treatment Block Grant; and the Mental Health Block Grant. The final table indicates the process to approve spending plans for these three block grants.

Social Services Block Grant

	FY19 Actual	FY20 Estimate	FY21 Budget
Executive Director Operations (EDO)			
Executive Director	1,765,000	2,654,000	2,654,000
Legal Affairs	120,000	120,000	120,000
Information Technology	489,600	627,100	634,000
Local Discretionary	1,121,200	1,200,500	1,140,700
Office of Quality and Design	-	253,100	261,500
Office of Licensing	1,132,500	880,000	865,100
EDO Total	**$ 4,628,300**	**$ 5,734,700**	**$ 5,675,300**
Office of Public Guardian (OPG)			
Office of Public Guardian	40,000	40,000	40,000
OPG Total	**$ 40,000**	**$ 40,000**	**$ 40,000**
Division of Services for People with Disabilities (DSPD)			
Administration	100,000	100,000	100,000
Non Waiver Services	1,043,100	1,043,100	843,100
DSPD Total	**$ 1,143,100**	**$ 1,143,100**	**$ 943,100**
Division of Child and Family Services (DCFS)			
Administration	-	75,000	75,000
Service Delivery	6,004,800	3,415,000	3,460,000
In-Home Services	376,400	218,300	2,818,300
Out-of-Home Services	-	509,900	509,900
Facility Based Services	897,600	991,400	991,400
Special Needs	903,500	910,600	910,600
Domestic Violence	1,154,900	1,500,000	1,500,000
Child Welfare MIS	1,159,100	480,000	480,000
DCFS Total	**$ 10,496,300**	**$ 8,100,200**	**$ 10,745,200**
Division of Aging and Adult Services (DAAS)			
Aging Alternatives	1,049,800	1,049,700	1,049,700
	$ 1,049,800	**$ 1,049,700**	**$ 1,049,700**
DHS Totals without JJS	**$ 17,357,500**	**$ 16,067,700**	**$ 18,453,300**
Division of Juvenile Justice Services (JJS)			
Case Management	-	188,300	188,300
Community Programs		-	-
Rural Programs		59,500	59,500
	$ -	**$ 247,800**	**$ 247,800**
DHS Totals with JJS	**$ 17,357,500**	**$ 16,315,500**	**$ 18,701,100**
Amount for DWS	15,000	15,000	15,000
Total Amount per Fiscal Year	**$ 17,372,500**	**$ 16,330,500**	**$ 18,716,100**

Substance Abuse Prevention and Treatment Block Grant

	FY19 Actual	FY20 Estimate	FY21 Budget
KBA			
Administration	807,300	881,700	844,800
KBA Total	***807,300***	***881,700***	***844,800***
KCC			
Prevention Training Contracts			
Substance Abuse Fall Conference	24,200	3,600	3,600
Prevention workforce training (SAPST)	65,000	108,900	108,900
Subtotal	**89,200**	**112,500**	**112,500**
Treatment Training Contracts			
Substance Abuse Fall Conference	36,200	50,000	50,000
EBP Training (Adolescent, , Trauma, MRT, ASAM)	147,900	147,900	100,000
Social Research Institute	120,500	120,500	120,500
Conference sponsorships	30,000	52,800	50,000
Subtotal	**334,600**	**371,200**	**320,500**
State Prevention and Early Intervention			
Communities that Care (CTC) Coalitions	39,400	60,000	60,000
Statewide Epidemiological Outcomes Workgroup	-	60,000	60,000
SHARP Survey	183,500	230,000	200,000
Prevention of Harm-Naloxone	250,000	250,000	200,000
Other	-	250,000	75,000
Subtotal	**472,900**	**850,000**	**595,000**
State Treatment and Recovery Supports			
Parolee Access to Recovery	240,000	205,600	205,600
Contract with USARA for Recovery Support Services	101,900	105,000	105,000
Screening Brief Intervention	-	72,400	72,400
Other	984,900	361,900	266,700
Subtotal	**1,326,800**	**744,900**	**649,700**
KCC Total	***2,223,500***	***2,078,600***	***1,677,700***
KCD			
Prevention and Early Intervention			
Prevention Pass-through to Local Authorities	3,370,500	4,345,100	4,345,100
Opioid Prevention Grants	-	246,800	150,000
Subtotal	**3,370,500**	**4,591,900**	**4,495,100**
Treatment			
General Treatment Pass-through to Local Authorities	6,048,200	7,468,700	7,468,700
Women's Treatment to Local Authorities	3,396,300	2,286,500	2,286,500
Medication-assisted Treatment (MAT)	-	50,000	50,000
Subtotal	**9,444,500**	**9,805,200**	**9,805,200**
Recovery Support			
Sober Living	-	350,000	300,000
Subtotal	**0**	**350,000**	**300,000**
KCD Total	***12,815,000***	***14,747,100***	***14,600,300***
KDB			
Treatment			
Drug Courts - Allocation to Local Substance Abuse Authorities	1,067,200	667,700	500,000
Drug Courts -Certification monitoring	40,000	40,000	40,000
Other	-	100,000	78,000
Subtotal	**1,107,200**	**807,700**	**618,000**
KDB Total	***1,107,200***	***807,700***	***618,000***
Budget Prep Total	**16,953,000**	**18,515,100**	**17,740,800**

Mental Health Block Grant

Description	FY19 Actual	FY20 Estimate	FY21 Budget
KBA			
Administration	223,200	314,200	288,200
KBA Total	***223,200***	***314,200***	***288,200***
KBC			
Training			
Mental Health Conference/Sponsorships	81,600	120,000	93,000
Training Contracts	181,800	312,700	200,000
Subtotal	**263,400**	**432,700**	**293,000**
Prevention and Early Intervention			
Mental Health Promotion / Mental Illness Prevention Services	243,400	300,000	271,800
Family Resource Facilitators Statewide	-	239,800	239,800
Family Mentors	219,400	316,900	316,900
Statewide Crisis Service	46,700	140,000	140,000
Federal Early Intervention (5% FY16) (10% FY17)	798,400	968,300	968,300
Screening for Mental Health	35,000	35,000	20,000
Other	10,000	-	-
Subtotal	**1,352,900**	**2,000,000**	**1,956,800**
Recovery Support & Treatment			
Consumer Advocate Statewide	89,800	180,000	90,000
Outcome Questionnaire Services	-	80,000	77,600
UBHPAC Expense (Stipends)	20,700	40,000	40,000
JRI Research	7,500	-	-
Subtotal	**118,000**	**300,000**	**207,600**
Miscellaneous			
Other Support Costs - Travel, Printing, Websites	342,200	186,300	151,300
Subtotal	**342,200**	**186,300**	**151,300**
KBC Total	***2,076,500***	***2,919,000***	***2,608,700***
KBD			
Treatment			
Adult Pass-through to Local Authorities	1,517,000	2,257,600	2,257,600
Children Pass-through to Local Authorities	726,600	887,300	887,300
Children's Outplacement Safety Net	0	20,000	10,000
Adult (Secondary) Outplacement (from 6/13/19 email)	-	50,000	-
JRI Research - Weber	143,300	150,000	-
Subtotal	**2,386,900**	**3,364,900**	**3,154,900**
KBD Total	***2,386,900***	***3,364,900***	***3,154,900***
Budget Prep Total	**4,686,600**	**6,598,100**	**6,051,800**

Federal Block Grant Approval Process

Block Grant	Allowable Uses	Process to Approve Spending Plans	How and Why Have Spending Priorities Changed Over the Last Five Years?
Social Services Block Grant	SSBG is allowed for support and delivery of social services within grant restrictions.	Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. The Utah Department of Human Services (Department) budget is approved through the State agency budgeting process. See Title 63J. The budgeting process includes Legislative review, appropriation of funds, and the opportunity for public comment. Department responsibility includes the expectation to administer the Social Services Block Grant (62A-1-114). The Department provides oversight of the grant and Department decisions impact grant spending. The Department submits a pre-expenditure report to the US Department of Health and Human Services. (See 42 USC 1397c.) SSBG funds are awarded to the State based on population allocation. The award is impacted by Federal action, such as sequestration. Funds are to be used as allowed by the grant. For the Federal site regarding Social Services Block Grant, see http://www.acf.hhs.gov/ocs/programs/ssbg For the Department site involving block grant public notice information, see http://hsofo.utah.gov/public-notices.	
Substance Abuse - Prevention and Treatment	SAPT block grant funds may be used at a state's discretion to achieve state objectives except for certain requirements including: 1) not less than 20 percent of the funds can be spent for education, counseling, and risk-reduction programs for individuals who do not require treatment for substance abuse, 2) not less than 5 percent of the grant can be spent to increase (relative to fiscal year 1994) the availability of treatment services designed for pregnant women and women with dependent children, and 3) states will provide, directly or through arrangements	DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version is approved by SAMHSA. This process is	Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences. No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan unless prior written approval is obtained from SAMHSA.

	with other public or nonprofit entities, tuberculosis services for substance abusers, and those individuals at risk for the human immunodeficiency virus (HIV) disease.	governed by the Federal Government and cannot be changed.	Furthermore there are restrictions on spending of the Block Grants.
Mental Health Block Grant	This grant assists states in carrying out their plans for providing comprehensive community mental health services. The CMHS block grant is also used to monitor the progress in implementing a comprehensive community based mental health system, and provide technical assistance to aid states in planning and implementing a comprehensive community based mental health system. A minimum of 5% of the block grant amount must be used for early intervention services for individuals with SMI or SED. Annual costs for services to children with a serious emotional disturbance must equal or exceed the amount spent during State Fiscal Year 1994.	DSAMH applies for the Substance Abuse and Mental Health block grants in a combined application now using the online portal WebGAS and the grant is set aside for States (it is not a competitive bid or a discretionary grant). Costs charged to the grant must be allowable. Spending decisions are determined by need, plan, and available resources. These block grants are noncompetitive, formula grants mandated by the U.S. Congress. Eligible entities must submit an annual application to demonstrate statutory and regulatory compliance in order to receive the formula-based funding. Hundreds of work hours by DSAMH staff, the Planning Council and others go into creating and reviewing the support and plans that are submitted to Substance Abuse and Mental Health Services Administration (SAMHSA) electronically. SAMHSA responds to our request with questions and suggestions, and there's a back and forth with our SAMHSA contact until the final version is approved by SAMHSA. This process is governed by the Federal Government and cannot be changed.	Spending plans have remained relatively constant with some variation based on a number of factors including the size of the grants, increases or decreases in state general fund and timing differences. The changes you may notice from year to year are more of a product of Federal Fiscal years not lining up with the State Fiscal Year. This creates timing differences where expenditures can be pulled or pushed to adjoining state fiscal years creating an impression of differences. No discretionary spending was listed because the grant specifies where the money must be spent once approved; there is no discretion in the areas in which it's spent or deviating from the plan unless prior written approval is obtained from SAMHSA. Furthermore there are restrictions on spending of the Block Grants.

INTERIM APPROVAL PROCESS FOR FEDERAL GRANTS

Per UCA 63J-5-204, if agencies receive grants after the General Session approval process, these grants may be approved according to the following criteria:

Grants Subject to Approval by the Governor, the Judicial Council, or the State Board of Education

1. Less than $1 million;
2. Add no permanent employees; or
3. Require a state match less than $1 million.

Grants Subject to Approval by the Executive Appropriations Committee

4. More than $1 million but less than $10 million;
5. Add 1 to 10 permanent employees; or
6. Require a state match up to $1 million.

Grants Subject to Approval by the full Legislature

1. More than $10 million;
2. Add 11 or more permanent employees; or
3. Require a state match greater than $1 million.