

Department of Health - Items Funded in FY 2020 from the 2019 General Session

For "LFA Comments," staff evaluated:

1. Which items may need follow up action by the Subcommittee?
2. What results may be of particular interest to the Subcommittee?

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
Disease Control and Prevention (LEAA)						
2019 SB 143 - Public Education Vision Screening	\$32,000	1. By September 1, 2019, complete preparatory work necessary for implementation including having an amended rule ready for public comment, updated guidelines and forms completed, and online training modules ready.	1	1	The amended rule went into effect on August 1, 2019. Guidelines, forms, and online training modules were all completed by the same date.	
		2. Starting with the 2019-20 school year 95% of public LEAs will report providing vision screening to students as required by code.	95%	76%	Reporting was due June 30, 2020. Due to COVID-19 many LEAs were unable to complete the required vision screening. Each LEA determines when they will do their vision screening. Some choose to do it early in the year, others put it off until later in the spring. This decision impacted those schools that put it off because of	
		3. Starting with the 2019-20 school year each public LEA will report annually by June 30 the number of students receiving vision screening, and number of students referred for further treatment.	100%	76%	Reporting was due June 30, 2020. Due to COVID-19 many LEAs were unable to complete the required vision screening. Each LEA determines when they will do their vision screening. Some choose to do it early in the year, others put it off until later in the spring. Schools that delayed their vision screening were unable to proceed due to COVID. Another issue was schools not being able to follow up with parents after COVID hit. Staff were not allowed into the school to check records so didn't know status of referrals from earlier in the year.	
Quicker completion of test for drug-facilitated sexual assaults	\$105,800	95% of all law enforcement cases completed within 45 days	95%	91%	The only implementation delay has been needing to hire a lab Tech III position. All hiring had to be additionally reviewed and approved by executive management. That has happened and HR finally reviewed applicants (COVID has caused a huge bottle neck in the hiring process in addition to the additional review processes recently implemented. The 91% can be attributed to a significant amount of employee turnover in the lab at the start of the fiscal year as well as a higher than average case count for the latter part of the FY.	
State Alzheimer's State Planning	\$250,000	1. Contract with two partners to provide community care consultations by June 30, 2020.	2	2	Contracts were awarded to the Alzheimer's Association, Utah Chapter and Center for Alzheimer's Care, Imaging and Research (CACIR) at the University of Utah.	
		2. Develop a surveillance plan for Alzheimer's disease and related dementias by June 30, 2020.	1	In Progress	Plans are in place to include Alzheimer's disease and related dementias in the Chronic Disease Reporting Rule. Data is currently being collected from BRFS (Cognitive Decline and Caregiving modules), IBIS, death certificates, hospital discharge records, Parkinson's disease registry, Utah Population Database, Alzheimer's Association records and Area Agency on Aging records.	
		3. Conduct and expand the public awareness campaign by June 30, 2020.	1	1	The Age Well campaign has partnered with the Medicare SHIP program and is part of their mailings sent to those at age 65. Video testimonials have been developed and are being distributed statewide. Website: agewell.health.utah.gov also includes resources on the Annual Wellness Visit, provider resources and access to local Area Agencies on Aging.	
		4. Create a permanent full-time staff position by June 30, 2020.	1	1	A permanent full-time staff position was hired June 2019.	

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
Domestic Violence: Prevention Sexual Assault and Interpersonal Violence	\$250,000	1. By August 1, 2019, provide funding support to a minimum of five prevention providers to enhance early prevention education activities through an RFP [request for proposal].	5	7	We were able to provide 7 agencies with funding to provide rape and sexual assault prevention funding to their respective communities. Funding was provided to: Citizen's Against Physical and Sexual Abuse (CAPSA) (Cache and Rich Counties), New Hope Crisis Center (Box Elder County), Peace House (Summit County), Rape Recover Center (Salt Lake County), Restoring Ancestral Winds (statewide servicing Native American Tribes), Canyon Creek, and Utah Navajo Health Services (servicing the Navajo Tribe in South Eastern Utah). The seven agencies participating in this funding opportunity made great strides on early prevention outcomes in one year, despite COVID-19 concerns in the last quarter of funding. Grantees used one-year funding to develop a Statewide Prevention Group to collaborate on shared work state-wide. They met monthly to discuss projects, visions, and goals related to Interpersonal Violence Prevention (IPV).	
		2. By October 1, 2019, provide technical assistance to prevention providers in developing an evaluation plan for their prevention education activities.	Technical Assistance	Met	Monthly calls are held with each contracted provider. The agenda for these calls includes an evaluation component. Because this was only one year of funding most evaluation plans were limited to a data gap assessment and reporting of monthly activities.	
		3. By June 1, 2019, develop a scalability plan for widespread adoption of prevention education activities.	Scalability Plan	Met	From the beginning our scalability plan has been to leverage other resources (inside and outside) to infuse primary prevention into all activities. This means approaches that aim to change the characteristics and conditions of the environments in which communities live, work, and play to make perpetration of violence less likely. For example, implementing workplace policies against sexual harassment protects against sexual assault. Working with partners to implement comprehensive policies improves outcomes that don't require additional funding.	
Utah Produce Incentives/Double up Food Bucks	\$400,000	1. By August 31, 2019 at least one staff position to oversee a Produce Incentive Program will be hired.	1 Staff position	1.00	A Produce Incentives Specialist was hired in November 2019 and is dedicated full time to the Produce Incentive Program. The hiring process was delayed by several months while program management transitioned existing staff into new roles to support this funding in addition to a longer than anticipated hiring process.	
		2. By August 31, 2019 at least one contract will be created to facilitate dispersing incentive dollars to program participants.	1 contract	1.00	An initial contract with Urban Food Connections of Utah was started in July 2019 when we received the funds. This contract was finalized in December 2019, which was later than anticipated. The delay in this contract was due to a longer than anticipated sole source process with State Purchasing, and contract negotiations with the vendor was delayed due to staff turnover at Urban Food Connections of Utah and additional transition at that organization that impacted the contract process. Because this contract process occurred in the late fall and winter outside of the market season, this delay isn't expected to impact the program timeline for distributing funding.	
		3. By June 30, 2020 100% of produce incentive funds will be dispersed to support program participants receiving incentive dollars.	100%	35%, currently in progress	We received legislative funding well into the 2019 farmers market season, and the first several months of funding were dedicated to establishing contracts and partnerships. Actual through June 30th is 35%. Program participants experienced significant delays in the first half of 2020 due to the COVID-19 pandemic. Grocery retail and farmer markets delayed operations and opened with significantly smaller capacity than originally planned to account for COVID safety restrictions and precautions. Clinic partners halted programming for non-essential services to accommodate COVID testing and treatment. As a result, the majority of incentive dollars were dispersed July - August 2020. It is expected that despite initial delays, program participation will be significantly higher than in previous years due to increased need and demand.	

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
2251 Spinal Cord & Brain Injury Rehab Fund						
HB461 - Pediatric Neuro rehabilitation Fund	\$50,000	1. Number of children that received an intake assessment.	25	TBD	Contracts are in place but with COVID, nothing was implemented. Contract dates are for 05/01/2020 through 04/30/2025. It is also important to note that the Fund is for postoperative kids 6 months after surgery, which have been delayed as a result of COVID-19. One of the Contractors currently has a Fund participant.	
		2. Number of physical, speech or occupational therapy services provided to	200	TBD		
		3. Percent of children that returned to school.	50%	TBD		
Family Health and Preparedness (LFAA)						
SB30 - Anesthesia and Sedation Related Provisions	\$43,800	1. 100% of effected providers (approximately 25,000 from DOPL database) are notified annually September 30 of each year of their need to report.	Complete	Mainly Complete	56,338 emails were sent to 54,441 providers (some providers had more than one email). We have been going through several thousand returned emails and are now preparing to mail letters to the providers whose email address was not valid.	
		2. UDOH will publicly reports deaths, adverse events, by health care providers, type of license and specialty, and type of facility once a year on July 15th following the close of the budget year ending June 30 of each year.	Complete	Complete	Complete for 2019 and posted here: https://health.utah.gov/wp-content/uploads/AnesthesiaAmendment19.pdf	
Maternal Mental Health Support		1. By June 30, 2020, the Maternal and Infant Health Program will establish web content relating to perinatal depression and anxiety, which will include county specific referral information for screening and treatment.		Complete	The website was completed and deployed successfully in February of 2020. A press conference, launching the site, was held on February 25, 2020. Since its launch, almost 2,500 unique users have visited the site, with a weekly average of 75 users. The site, one of its kind, received national attention on Obstetrics and Gynecology listservs, emails to midwives across the nation, and garnered local attention with news channels featuring stories on the site. In February, the site started out with a little over 100 providers, and now have grown to 142.	
		2. By June 30, 2020, increase the number of providers in the referral database from zero to 100.	100	142	As of 6/30/2020, there are 142 Utah mental providers with specific training in perinatal mental health enrolled in the Maternal Mental Health Referral Network database. This database feeds the information displayed on the website mentioned above. Work continues to solicit additional providers, specifically targeting areas with low provider resources.	
		3. By June 30, 2020, increase to at least three the number of new providers trained on perinatal mental health in each provider category (therapist, support groups, behavioral health providers, and obstetric and pediatric clinical providers).	3	3	A statewide training was held on June 1, 2020 to train providers on perinatal mental health. A targeted effort was made to include a broader representation of provider types, such as support groups. There were 170 attendees at this training, of which a portion were enrolled in the referral network database. Another training is scheduled for October 2020. The UDOH Maternal Mental Health Specialist has worked to train staff in statewide WIC clinics on screening and referral of pregnant and postpartum women.	
		4. By June 30, 2020, increase the number of clinical sites who have implemented developed protocols for screening and treatment of perinatal depression and anxiety from zero to four.	4	4	As of January 31, 2020, four clinical sites have been recruited to implement developed screening and treatment protocols. Each site has been trained on the protocols and is undergoing internal processes to implement within their clinical settings. In order to support this effort, a toolkit has been developed and monthly facilitation trainings are scheduled at each site. Due to complications from the COVID pandemic, in person visits and ongoing trainings have been delayed. Also due to the pandemic, several sites temporarily closed down to in office patient care. These factors impacted the ability to implement the screenings in the time frame originally anticipated. Efforts will resume as allowed by the COVID situation.	

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
Primary Care Grants	\$750,000	1. Provide an additional 7 contracts to agencies to provide medical services for the underserved and uninsured.	7	2	Only two new organizations were awarded, but the maximum award amount for each organization was increased from \$70,000 the previous year, to \$93,500 this year. During the previous year, the program did not receive this \$750,000, as it had before. Because funding appropriations were reduced, awards to approved recipients were also reduced by \$30,000, rather than reducing the number of recipients. When the \$750,000 was restored for FY2020, awards were restored to the higher levels than the previous year, while also increasing the total number of	
		2. Increase total number of encounters by 8,500.	8,500	10,129	By increasing the amount and number of awards, the amount of encounters were increased.	
		3. Contractually obligate 95% of funding.	95%	95%		
Roads to Independence	\$300,000	Contract with Roads to Independence with contract requirement that building will be purchased by the end of fiscal year 2020.	1	1	Contract with Roads to Independence was fully executed on August 14, 2019. Buyers Closing Statement received by the Department indicating completion of purchase date June 25, 2020.	
Children's Hearing Aid	\$191,600	1. All children fit with traditional hearing aids through CHAP will have real-ear measurements indicative of maximum audibility for their hearing loss to the spectrum of speech.	100%	100%	As of January 30, 2020 100% of target has been met. 9/15/20: 100% of this target was met for SFY2020.	
SB85 - Secure Transport Designation Amendments	\$12,500	1. Development of air ambulance activation protocols by April 1, 2020.			Rule R426-10 was created to address air ambulance protocols.	
		1. New measure - develop related administrative rules. Made effective 09/11/2019.	1	1	The Department currently has approved Air Ambulance Accreditation vendors.	
		2. Review and acceptance of Air Ambulance Accreditation vendors for deemed status for licensing requirements by April 1, 2020.				
		2. New measure - develop inspection and permitting criteria for vehicles. Completed 09/11/2019.	1	1		
		3. Review and report available financial data associated with billing practices as per SB74 by October 1, 2019.			The Department has published air ambulance billing information from available data. The data only represents a portion of actual flights and bills.	
		4. Annually review and report available financial data associated with billing practices by October 1 of each year, thereafter.			The Department has published air ambulance billing information from available data. The data only represents a portion of actual flights and bills.	
Medicaid Services (LIAA)						
SB106 - Mental Health Services in Schools	\$36,000	The department has submitted a state plan amendment on or before January 1, 2020.	Reimbursement Active	Reimbursement Active	State plan amendment was not required. Reimbursement is now occurring for these services. When complete, FY2020 utilization will be used as a baseline for future years. FY 2020 did see an increase in utilization for behavioral health in schools, the rate of use per 1,000 was about 17% higher than in FY 2019.	
SB39 - Assisted Outpatient Treatment (AOT) for Mental Illness	\$120,500	Medicaid will reimburse for outpatient assisted treatment when treatment is court ordered.	100% of individuals court-ordered for AOT receiving service	100%	All individuals court-ordered for AOT are receiving the service from local mental health authorities. Additional education is being conducted by DSAMH and service delivery is expected to increase over time. DSAMH or courts should be contacted for additional questions.	
Use for property improvements	\$291,000	1) Number of facilities that make significant capital improvements.	None	5	The Department submitted a State Plan Amendment to extend the time period for the a Capital Improvement Incentive for these facilities. The State Plan amendment was subsequently approved. The Department reimbursed facilities based on requests submitted. A low number of requests have been submitted at this time. 537,907/2,116,209 = 0.254	
		2) Percent of appropriation awarded through the capital improvements process.	None	25%		

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
Update of PT/OT Medicaid Payments	\$370,000	(1) Increase to 20% the number of licensed therapists in Utah who are willing to contract with Medicaid to provide services.	20%	47%	Enrollment numbers for Physical Therapists and Occupational Therapists both exceeded the 20% target.	
		(2) A 30 percent increase in chronic pain patients who are able to access physical therapy and occupational therapy prior to being put on long term opioids	30%	40%	Comparing the data for Q1 in 2020 to the same quarter in 2019, we are seeing an increase of 39.73% in members with a chronic pain diagnosis accessing these services.	
		(3) Does the department conform to industry standards in its methods of billing for physical therapy and occupational therapy services?	Conformity	Achieved	The department now allows providers to bill these services by the specific procedure codes to match industry standards.	
Medicaid Accountable Care Organization Funding	6,452,900	This was a one-time appropriation from restricted funds, with federal funds match, to give up to an additional 1% increase to the ACOs.	6,452,900	Done	ACO rates for July 1, 2019 were increased for this additional one-time funding and are pending CMS approval. The full one percent was not able to be incorporated into the rates.	
Medicaid Managed Care Additional 1% Reimbursement Rate Increase	7,954,200	This was a one-time appropriation from General Funds, with federal funds match, to give an additional 1% increase to the ACOs.	7,954,200	Done	ACO rates for July 1, 2019 were increased for this additional one-time funding and are pending CMS approval.	
SB11 - Medicaid Dental Coverage Amendments	3,447,000	Newly eligible individuals will receive oral healthcare at a rate similar to similar populations. This rate will be at least 95 recipients per 1,000 eligible.	95	33	This waiver was approved 12/23/2019, coverage began 3/1/2020. Since coverage began at the start of the COVID-19 public health emergency, utilization for these dental services has been lower than the target.	
Home Health Care Services	3,747,500	(1) Decreased waiting lists and waiting time for services.	None	None	We do not have data for the first three measures. To get that information, we would need to do a provider survey, which would take time and funding. DMHF will reach out to the Home Health/Hospice Provider Association and ask them to complete a survey for their members.	We recommend that the Department of Health report to the Social Services Appropriation Subcommittee by October 1, 2021 on the results of the survey if completed by the Home Health/Hospice Provider Association regarding the impacts of the funding items "Home Health Care Services".
		(2) Decreased interruption of services due to shortage of service providers.	None	None		
		(3) Decreased caregiver and professional turnover.	None	None		
		(4) Reversal of the alarming trend in decreasing number of home health and personal care providers who accept Medicaid for reimbursement.	1%	9%	Comparing the data for Q1 in 2020 to the same quarter in 2019, we are seeing an increase of 8.81% in providers providing these services.	
		(5) Increased number of providers serving rural Utah.	1%	13%	Comparing the data for Q1 in 2020 to the same quarter in 2019, we are seeing an increase of 13.37% in providers providing these services in rural counties.	
Medicaid and CHIP Caseload, Inflation, and Program Changes (Consensus)	4,072,300	The department has sufficient funding to provide Medicaid services to eligible individuals and to cover inflationary increases, FMAP changes, and other federally mandated changes.	Yes	Yes	Funds were sufficient in FY 2020 to cover the items noted.	
Nursing Care Restricted Fund Increase (part of SB96)	6,889,100	Nursing facility and hospice rates are increased to target appropriated total funds increase effective July 1, 2019.	6,889,100	Done	The rates were increased for FY 2020.	
Dental Provider Reimbursement	12,727,000	Managed Dental Organization (MDO) and fee-for-service (FFS) rates were increased to target appropriated total funds increase effective July 1, 2019.	12,727,000	Done	The FFS rates were increased 21%. The MDO rates were increased to add the additional appropriation. MDO rates are still pending CMS approval.	
HB37 - Reauthorization of Hospital Provider Assessment Act	24,007,300	This bill effectively reinstated 26-36a as well as adding a Private Outpatient Hospital directed payment.	27,583,500	Done	The hospital assessment changes have been reviewed and approved by CMS. The Private Outpatient Hospital directed payment is pending CMS approval of the directed payment and the July 1, 2019 ACO capitated rates.	
SB96 - Medicaid Expansion Adjustments	290,774,600	The percentage of uninsured adults in Utah's coverage gap (as measured through BRFS) is at least 2.5% lower than 2018's percentage.	-2.50%	TBD	The Department expects 2019 uninsured rates among the coverage gap population to be available late 2020.	Request the Department of Health provide the final results with similar future reports in 2021.
Medicaid and Health Financing (LGAA)						
Asthma Home-based Case Management	\$160,000	Increase the percentage of Medicaid members in the pilot counties with well-controlled asthma to 82%	82%	TBD	This program is in the ramp-up phase, results will be available in SFY 2022.	Request the Department of Health provide the final results with similar future reports in 2021.

Department of Health - Items Funded in FY 2020 from the 2020 General Session

For "LFA Comments," staff evaluated:

1. Which items may need follow up action by the Subcommittee?
2. What results may be of particular interest to the Subcommittee?

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
5820 - Qualified Patient Enterprise Fund						
SB 121 - Medical Cannabis Amendments	\$85,700	Ensure 100% new roles and scope enhancements have been added in the Electronic Verification System by January 2021.	100%	TBD	This will be determined January 2021.	Request the Department of Health provide the final results with similar future reports in 2021.
		Ensure contract amended to reflect new roles and scope enhancement requests by January 2021.	100%	TBD	This will be determined January 2021.	Request the Department of Health provide the final results with similar future reports in 2021.
Medicaid Services (LIAA)						
Medicaid Consensus Buffer	\$39,500,000	The department has sufficient funding to provide Medicaid services to eligible individuals and to cover inflationary increases, FMAP changes, and other federally mandated changes.	Yes	Yes	Funds were sufficient in FY 2020 to cover the items noted.	
Medicaid and Health Financing (LGAA)						
HB 32 - Crisis Services Amendments	\$10,000	First full year of operation under the waiver will be used as a baseline. In subsequent years, DOH will seek to reduce the number of emergency department visits and hospitalizations that occur within 30 days of an individual's stay in an IMD for Mental Health treatment. DOH will target a 5% reduction in overall stays.	5%	TBD	This measure requires the first year of operation to be used as a baseline, with subsequent years targeting the reduction goal. Results will not be available until a baseline is established and an additional year of operation occurs to compare to the baseline.	Request the Department of Health provide the final results with similar future reports in 2021.
2252 - Medicaid Expansion Fund						
Medicaid and CHIP Caseload, Inflation and Program Changes	\$723,100	The department has sufficient funding to provide Medicaid services to eligible individuals and to cover inflationary increases, FMAP changes, and other federally mandated changes.	Yes	Yes	Funds were sufficient in FY 2020 to cover the items noted.	
2253 - Pediatric Neuro-Rehabilitation Fund						
H.B. 461, Pediatric Neuro-rehabilitation Fund	\$50,000	1. Number of children that received an intake assessment by July 1, 2021.	25	TBD	Contracts were started in May 2020 and Covid-19 has limited the capability for this program to receive any participants. Part of the qualification for the program is that the participant has to be post-surgical orthopedic within that last 6 months. Because of this there have been no participants to report for SFY 2020.	Request the Department of Health provide the final results with similar future reports in 2021.
		2. Number of physical, speech or occupational therapy services provided to children by July 1, 2021.	200	TBD	Contracts were started in May 2020 and Covid-19 has limited the capability for this program to receive any participants. Part of the qualification for the program is that the participant has to be post-surgical orthopedic within that last 6 months. Because of this there have been no participants to report for SFY 2020.	Request the Department of Health provide the final results with similar future reports in 2021.
		3. Percent of children that returned to school by July 1, 2021.	500.00%	TBD	Contracts were started in May 2020 and Covid-19 has limited the capability for this program to receive any participants. Part of the qualification for the program is that the participant has to be post-surgical orthopedic within that last 6 months. Because of this there have been no participants to report for SFY 2020.	Request the Department of Health provide the final results with similar future reports in 2021.

Department of Human Services - 2019 & 2020 General Sessions and 2020 Special Sessions Funding FY 2020 - Final Report

For "LFA Comments," staff evaluated:

1. Which items may need follow up action by the Subcommittee?
2. What results may be of particular interest to the Subcommittee?

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measures	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
2019 General Session						
Division of Substance Abuse and Mental Health (DSAMH)						
Children Reunifying in Residential Treatment Programs	\$1,000,000	1) Percent of families served in which children reunify with their birth mother or father.	80%	Odyssey House: 93% House of Hope: 81%	COVID-19 has impacted the delivery of services and complicated employment opportunities.	24% of clients at House of Hope did not reduce their use of substances by discharge.
		2) Percent of parents who are abstinent from or reduced their use of substances.	90%	Odyssey House: 100% House of Hope: 15%		
		3) Percent of parents who are employed at discharge.	90%	Odyssey House: 53% House of Hope: 15%		
		4) Percent of families in stable housing at discharge.	90%	Odyssey House: 100% House of Hope: 15%		
Medication Assisted Treatment	\$750,000	1) Percent who improved their employment status at 5 months.	57%	Salt Lake: 61% Davis: 63%		
		2) Percent who improved their housing status at 5 months.	32%	Salt Lake: 14% Davis: 51%		
Operation Rio Grande: Sober Living Program	\$1,200,000	1) Number of new individuals placed in sober living facilities 2) Percent of clients with positive random urinalysis tests while participating in voucher program	280 10%	264 9%	91% of UAs were negative for substances with 1,025 clients tested during the 4th quarter	
Operation Rio Grande: Substance Abuse and Mental Health Services	\$100,000	1) Number of unduplicated individuals served	40 (monthly)	457	341 Clients served	
		2) Number of individuals housed	40	185	264 clients placed	
H.B. 17 "Firearm Violence and Suicide Prevention Amendments"	\$510,000	1) Number of gun locks distributed	15,000	26,650	Target met and exceeded for FY 20	
		2) Number of gun safe coupons redeemed	3,000	1,388	Partnered with local and statewide big-box retailers and small businesses for marketing. Rebate application breakdown: total applications 1,958; disqualified 25 (did not meet program requirements); incomplete applications 79 (did not provide full name, full address, or email on application); completed rebates 1,388; approved applications 455 (but did not submit further proof of purchase to receive rebate); and rejected rebates 11 (item was returned, purchase date before July 1, 2019, invalid receipt etc.).	This program took time to ramp up. Funding was one-time and DSAMH lapsed \$281,200 of \$500,000 at the end of FY 2020.
		3) Number of individuals trained on suicide prevention and firearm safety through training video	50,000	162,300	Target met and exceeded for FY 20	

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measures	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
H.B. 120 "Student and School Safety Assessment"	\$150,000	1) Number of local trainings for LEAs on mental health resources and understanding	1	13	Target met and exceeded for FY 20. Trainings were focused for LEA teams including mental health and school resources officers. (6 CSTAG introduction to threat assessment, 2 CSTAG train the trainer, 1 Safe and Healthy Schools Conference all sessions surrounded mental health resources, 1 Critical Issues School Based Track, 1 SafeUT for supervisors, 1 Substance Abuse Trends, 1 SIAC for law enforcement)	
H.B. 393 "Suicide Prevention Amendments"	\$600,000	1) Number of suicide loss survivors receiving bereavement support and risk reduction services	3	3	Suicide bereavement support groups have been contracted for and provider has held 7 different groups serving 53 people. Cleanup services have been provided to 3 families in FY20.	
		2) Increase quality of and access to behavioral health in primary care settings	1	1	80 primary care providers utilized the Utah Psychiatric program in FY20.	
		3) Number of primary care providers trained	25	15,613	15,613 licenses renewed in FY20, and viewing one of the suicide training videos is a requirement	Providing training as a required video for provider license renewal reached many more providers than originally anticipated.
Encircle Family & Youth Resource Center	\$125,000	1) Number of services (clinical and peer based) provided to families and youth	?	650	Programming approach pivoted in response to COVID-19. Services were provided through 37 hours of clinical treatment for youth/families, while the remaining services were focused on peer based services to support reducing risk factors for LGBTQ youth through creating a safe and connected virtual community in the midst of a pandemic. They were able to provide LGBTQ Cultural Competency trainings for a variety of child serving state and community agencies. Targeted outreach was made to youth serving agencies across the Wasatch Front and St. George area.	
		2) Number of trainings provided to schools and school-based providers related to LGBT students and cultural competency and the number of people trained	?	10 trainings / 348 people trained		
		3) Number of community outreaches performed, including number of families, youth, providers, etc.	?	18		
Self-Protection and Dating Violence for FLDS Refugee Women	\$152,000	1) Provide personal safety course to FLDS refugee women	30 in year 1; 60 in subsequent years	67	400 vetted, 211 on Safety Net, 197 on VOCA	
		2) Provide dating and healthy relationships course to women and youth	80	170	148 provided therapy and 22 in class	
		3) Outcomes from pre and post-test surveys (improvement in understanding)	50%		86% completion rate for classes. The Contractors/Cherish Families were not trained to use the OQ/YOQ for improvement rates until late into the 4th QTR of FY20.	LFA recommendation as part of the Follow-Up Report to have DSAMH report this measure again in FY 2021.
Division of Services for People with Disabilities (DSPD)						
Additional Needs and Youth Aging Out & Waiting List	\$11,135,900	1) Percent of adults who report that services and supports help them live a good life	100%	89%	DSPD did not meet this performance measure target by 11%. A review of other states found the range of responses to this survey question between 84% and 97%. Utah's performance is statistically within the average national range. DSPD will continue to monitor performance in this area.	Decline from recent years: FY 2016: 94%; FY 2017: 92%; FY 2018: 94%
		2) Among children living at home, percent of respondents who report that their child's services and supports help them live a good life	100%	97%	DSPD nearly met this performance measure target.	
		3) Among adults living at home, percent of respondents who report that their family member's services and supports help them live a good life	100%	99%	DSPD nearly met this performance measure target.	
		4) Among adults living in residential settings, percent of respondents who report that their family member's services and supports help them live a good life	100%	98%	DSPD nearly met this performance measure target.	
Direct Care Staff Salary Increase - Phase V	\$2,704,400	1) Staff turnover rates, as reported in the National Core Indicators Staff Salary Stability Survey	At or below national average	51.5%	As of Dec. 31, 2018, the national turnover rate for Direct Support Staff was 51.3%. Utah's turnover rate was slightly above this rate.	
		2) Percent of appropriation going toward direct care staff salaries	100%	100%	DSPD met this target	
Motor Transportation Payment Rate Increase	\$795,400	1) Percent of costs that are covered by the rate, based on the Department of Health rate study	100%	100%	DSPD met this target	
		2) Number of providers who reported a lack of MTP funding as a contributing factor in leaving the market	0	0	DSPD met this target	
Transition 250 Intermediate Care Facility Residents to the Community by FY 2024	\$13,281,600	1) Percent of the fiscal year that 150 people receive services when transitioning from an ICF	84%	18%	In FY20, there was a total of 45 individuals that transitioned from ICFs into HCBS. Those in services averaged 59.5% of the year receiving services.	Funding for FY 2020 would have covered 150 individuals transitioning.
		2) Percent of facilities that receive a quarterly education/outreach visit	100%	77%	Visits were not made during Q4 due to the COVID-19 pandemic.	
Office of Recovery Services (ORS)						
S.B. 96 "Medicaid Expansion Adjustments"	\$100,000	1) Percent of insurance policies reported by Eligibility that are verified within 60 days (as required by federal regulation)	95%	100%		
		2) Percent of verifications entered accurately	90%	96.5%	Each worker has a random sample of 100 cases audited on a quarterly basis to ensure the accuracy of the data entered.	

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measures	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
Division of Child and Family Services (DCFS)						
Domestic Violence Shelter Funding - Home Safe	\$300,000	1) Number of individuals who receive services through HomeSafe	60	82		
		2) Percentage of clients who receive victim advocacy services (financial assistance, transportation, basic needs, employment and education supports, health care coverage, and safe housing)	100%	100%	Some clients, although they reach out for help; they ultimately refuse services when offered them. Also, some are at a lower risk of abuse and may only be seeking help to find resources that don't involve being in a shelter.	
		3) Percentage of clients who receive 2 or more types of services (financial assistance, transportation, basic needs, employment and education supports, health care coverage, and safe housing)	50%	92%		
		4) Percent of clients who report at 6-month post-test that they were able to improve their safety	85%		Most clients have yet to meet the 6 month mark. In addition, staff assigned to the program contracted the virus and were out for a few months causing delays in coordination of the program with the research team.	
Domestic Violence: Utah County Shelter Infrastructure Expansion	\$465,000	1) Number of clients who receive sheltering services (100% of those seeking help)	900		These performance measures were established by the Center for Women and Children in Crisis for when the shelter addition was completed. The funding provided by the Legislature was to help with engineering, site improvements and architectural design. \$6,282.93 in engineering costs was reported in FY20. Due to the delay caused by coronavirus, the Center for Women and Children in Crisis is planning to complete the project in FY21.	
		2) Percent of clients who receive services that obtain permanent housing, employment or other sustainable income, and are able to live independently from shelter assistance within 6 months of exiting the programs	90%			
		3) Percent of clients who continue to live free from abusive relationships beyond one year from the time they exit the program	90%			
Division of Aging and Adult Services (DAAS)						
Alzheimer's State Plan Funding	\$750,000	1) Number of additional clients served by the Alternatives program	20	23		
		2) Number of additional clients served by the Medicaid Aging Waiver	50	100	100 new clients added in the FY. It is difficult to quantify the impact of the additional funding due to COVID-19's impact on enrollment.	
		3) Number of additional clients provided respite services by the Caregiver support program	100	108		
		4) Number of additional facility visits conducted by the Long-Term Care Ombudsman program	50	66		
Home Health Care Services	\$322,500	1) Percent of home health providers who report on a division survey that they are satisfied or very satisfied with providing services on the Medicaid Aging Waiver	75%		Survey questions are in the process of being determined by the AAAs and Utah Association for Home Care (UAHC). The timing of both the survey sendout and the response deadline is still indeterminable.	
Office of Public Guardian (OPG)						
Office of Public Guardian Staff	\$130,000	1) Percent of guardianship cases that are emergencies	60%	76%		
		2) Percent increase in new clients determined from additional assessments	20%	41%		
		3) Percent of identified individuals with life threatening situations that require intervention for preservation of life who are served by the office	100%	100%		
Transition 250 Intermediate Care Facility Residents to the Community by FY 2024	\$194,000	1) Percent of referrals from intermediate care facility residents that result in a face to face meeting scheduled within one week of referral	90%	100%		
2020 General Session & Special Sessions						
Division of Aging and Adult Services (DAAS)						
Public Health Response (In-Home Supportive Services, Meals on Wheels)	\$500,000	1) Average cost per meal (Target = \$7.42)	\$7.42		Meals Served: Average cost in FFY19 was \$7.42. The FFY2020 amounts will not be available until January 2021.	
		2) Average amount of additional meals served	?	67,385		
Division of Child and Family Services (DCFS)						
Human Services Public Health Emergency Response	\$1,100,000	1) Average increase in proctor care rates	11.44%	11.44%		
		2) Pay all providers requesting COVID-19 retainer payment	100%	100%	100% of providers who submitted documentation showing the need for a COVID-19 retainer payment were reimbursed after the documentation was reviewed and approved.	
		3) Average increase in crisis nurseries rate	9.00%	9.00%		

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measures	Target	Result	Agency Explanation of Result Compared to Target	LFA Comments
Division of Services for People with Disabilities (DSPD)						
Disability Services: Anticipated Budget Shortfall	\$3,200,000	1) Percent of providers who receive payment for services	100%	100%		
		2) Percent of people receiving funding for additional leap day services	100%	100%	Services were not interrupted for any individuals due to the additional day in FY20.	
		3) Deficit at end of year close-out	\$0	\$0	No deficit	
Human Services Public Health Emergency Response	\$7,843,200	1) Percentage of day program, supported employment, and personal care-community integration providers who were retained as contractors during the public health emergency	95%	98.3%	In FY20, DSPD contracted with 118 providers who provided these services. Two of these providers terminated their contracts during the public health emergency.	
		2) Percentage of families who rated the helpfulness of caregiver compensation as "Helpful" or "Very Helpful"	90%	87.7%	This figure comes from a survey that was sent to families to ask about their experience with how DSPD handled the public health emergency. In particular, this question was geared to families who received caregiver compensation. This was on a scale of 1 to 5 with 1 being "not at all helpful," and 5 being "very helpful".	
		3) Percentage of families who rated the helpfulness of personal protective equipment as "Helpful" or "Very Helpful"	90%	90.6%		
Division of Substance Abuse and Mental Health (DSAMH)						
Human Services Public Health Emergency Response - USH	\$425,000	1) Percentage of reported positive patients transmitted by a staff member	0%	0%	The FY19 cost settlement came in \$600k under what was estimated in the FY19 accrual. This was unknown prior to voicing support of the \$300k supplemental reduction in the 2020 General Session. Due to the circumstances, USH had to use the funds for this item to cover the operational deficit to allow USH to cover COVID-related costs with the CARES state relief funds.	
		2) Personal protective equipment (PPE) weekly inventory levels satisfies the weekly burn rate	100%	100%		

Department of Workforce Services - PMs for FY 2020 Funding

For "LFA Comments," staff evaluated:

1. Which items may need follow up action by the Subcommittee?
2. What results may be of particular interest to the Subcommittee?

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comment
Operations and Policy (NJBA)						
School Readiness (SB 166, item 1)	\$6,000,000	In collaboration with the Utah State Board of Education, solicit SY 2019-2020 Becoming Quality and Expanded Seat grant applications and execute contracts by August 1, 2019.	Aug. 1, 2019	Solicited - Yes; Executed - No	DWS: "We did not execute the contracts by the August 1, 2019 performance measure target, though the effective date of these agreements were retroactive to July 1, 2019. The target date for contract execution was not met primarily because the agreements need to be signed by three parties: (1) the early care and education program; (2) DWS EDO; and (3) the Chair of the School Readiness Board. Circulating the agreements between these three entities often delayed the process."	
		- Provide staff support to the Utah School Readiness Board, including 4-8 calls or meetings throughout the year.	4 - 8	5	In FY20, DWS, OCC provided staff support to the School Readiness Board for 5 meetings, both in-person and virtual.	
		Fund 5-7 private and public preschool programs with Becoming Quality grants	5 - 7	4	In FY20, DWS, OCC funded 4 preschool programs with a Becoming Quality grant - 2 private child care providers and 2 two local education agencies.	
		- Fund 8-10 private and public preschool programs with Expanded Seat grants, with a target of serving 2,400 students in SY 2019-2020.	2,400 students	2,316	In FY20, DWS, OCC funded 20 preschool programs with an Expanded Student Access grant - 7 private child care providers and 13 local education agencies. The programs served 2,316 eligible students in School Year 2019-2020.	
CIRCLES Salt Lake Intergenerational Poverty Reduction Initiative (SB 3, item 214)	\$51,000	Number of participants	20	22		
		Number and percentage of participants who were below 100% of FPL for their family size and after participating achieved 100% of FPL	-	14	14 families were below 100% FPL at the start of the program and now 8 of the 14 families, or 57% are above 100% FPL.	
		Number and percentage of participants who were below 200% of FPL for their family size and after participating achieved 200% + FPL	-	6	All 22 participants were below 200% of FPL when they started, 6 of the 22 families, or 27.3% were above 200% FPL at completion.	
Apprenticeship Opportunity Awareness (SB 3, item 215 to implement HB 280)	\$128,800	DWS will provide a report including the following: - recommendations for improving apprenticeship programs.	-	See DWS Apprenticeship Report for recommendations	For FY 2020 the focus has been on research, collaboration with partners and building the first annual report. No funding was allocated to promoting apprenticeships in FY 2020 but Special Administrative Expense Account funding was made available for FY 2021. (DWS: We cannot provide targets for the above items that are measures because the commissioner has to build the report and set baselines first.)	
		- number of available apprenticeship programs in the state	-	234		
		- number of apprentices participating in each program	-	4,128		
		- completion rate of each program	-	44.89%		
		- cost of state funding for each program	-	None		
Medicaid Expansion (SB 3, item 216 to implement SB 96)	(\$184,400)	Number of individuals enrolled in Adult Expansion Medicaid	70,000	54,978 individuals enrolled as of June 30, 2020. 58,221 individuals enrolled as of August 27, 2020.	(Target = 70,000, based on projections from the Department of Health).	Emailed DWS to ask if operating costs changed. DWS: "You are right. The programming costs for system development in the eligibility determination system (eREP) do not change based on enrollment levels. Development costs are determined by things like the features, rules, and the level of programming complexity required."
12 month continuous eligibility for children on Medicaid	\$274,400	Achieve 100% programming accuracy pre-deployment testing (unit, system, integration and regression testing).	100%	-	Funding for this was removed in the 5th Special Session of 2020, SB5001, item 25, so this was not implemented. This is the only item appropriated during the 2020 General Session.	
Dignity of Work (SB 2, item 200)	\$380,000	Number of people who obtain and maintain employment for 30 days. (target = 132)	132	134	The number of people who have obtained and maintained employment in the Dignity of Work program during July 1, 2019 to June 30, 2020 is 134 individuals.	
Special Administrative Expense Account (SAEA) Programs (SB 2, items 197, 200-202)	\$3,250,000	Please see the SAEA tab.	-	-		

Appropriation Name	Amount (All Sources, Ongoing and One-Time)	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comment
Housing and Community Development Division (NSAA)						
Demolition and remediation of the building located at 210 South Rio Grande Street (SB 3, item 211)	\$1,400,000	No funding was appropriated to DWS for this purpose. The Legislature included intent language in Senate Bill 3, however, which directs the Utah State Building Board to allocate \$1,400,000 in FY2020 capital improvement funding to DFCM for the demolition and remediation work. DWS proposes the following performance measure: "Building located at 210 South Rio Grande Street in Salt Lake City is demolished and the site is appropriately remediated." DFCM would be best suited to provide a more specific performance measure, if needed, since they will contract for and oversee the demolition and remediation work.	Complete	Complete		
Homeless Shelter Funding Revisions (S.B. 3, item 212 to implement H.B. 203)	(\$96,900)	Decrease response time to all calls around an emergency shelter from the preceding fiscal year.	Decrease response time	FY20 response time for projects using that measure was 7 Min 21 Sec, compared to 6 min 40 secs in FY19. (Increase of 10.3%)	Increase attributed to a small sample size of one HRC in FY19, and new HRC opening in South Salt Lake FY20. Enactment of H.B. 203 will likely result in a decrease in revenues to the Homeless Shelter Cities Mitigation Restricted Account due to four cities being exempt from contributing a portion of their sales tax revenues to the restricted account. This appropriation is reduction of \$198,200 (ongoing in FY 2020) and an increase of \$101,300 (one-time in FY 2020) in authority to spend in the Housing and Community Development line item from the Homeless Shelter Cities Mitigation Restricted Account.	
Lantern House (SB 3, item 211)	\$100,000	Average length of stay is fewer than 20 days, or the average length of stay was reduced by at least 10 percent from the preceding fiscal year.	<10%	Increased by 2.2	FY19 - 44 Days FY20 - 45 days	
Switchpoint (SB 3, item 211)	\$100,000	Average length of stay is fewer than 20 days, or the average length of stay was reduced by at least 10 percent from the preceding fiscal year.	<20 days	18 days	FY19 - 19 days FY 20 - 18 days	
Homeless Case Management (SB 2, item 334)	\$900,000	75% of clients exiting permanent housing projects leave to a permanent housing destination.	75%	80%		
Utah Weatherization Assistance Program (SB 2, item 198)	\$1,000,000	73 households will be served with this appropriation in FY 2020.	73	138		

Department of Workforce Services - Special Administrative Expense Account (SAEA) Fiscal Year 2020

Project Categories by Funded Projects	Amount	Performance Measure	Target	Result	Agency Explanation of Result Compared to Target	LFA Comment
Educational/Training Partnerships						
ACE Veteran Credentialing Program	\$400,000	Number of individuals placed in employment	200	362	A program to help veterans receive credentialing for skills they obtained while serving in the military.	
Talent Ready Utah		Programs developed		22		
		Expanded programs		14		
		Enrolled participants		1,833		
		Participants completing program		588		
		Credentials		402		
		Participation in work-based learning experience		1,313		
		Entered employment		32		
		New partnerships formed		9		
		Current workforce: measure active job seekers in the system.		-	-	DWS: "Plans were put on hold to launch the WorkForceNOW campaign because of the pandemic. It has been revised and preparing to launch in September with a renewed effort to tackle unemployment challenges as a result of the pandemic."
Utah NFB - Project STRIVE	\$75,000	Number of participants served	65	35	DWS: "Due to the COVID-19 pandemic restrictions Project STRIVE was not able to complete the following activities in the final quarter: Monthly Activities, Bell Academy, Host Summer Interns, Annual State Convention. Each of these activities are in-person activities and were all cancelled which impacted their ability to meet their participation numbers."	
Sub-total	\$475,000					
Administration	\$327,500				Administration of SAEA programs is funded from the SAEA, as is standard practice with other DWS funding sources that pay for their related administration costs.	
Collection Costs	\$812,500				In accordance with <i>Utah Code</i> §35A-4-506, when SAEA funds are used for a purpose unrelated to the administration of the unemployment compensation program (as described in Subsection 303(a)(8) of the Social Security Act, 42 USC §503(a)(8), as amended), the Department of Workforce Services must develop and follow a cost allocation plan in compliance with United States Department of Labor regulations, including the cost principles described in 29 CFR §97.22(b) and 2 CFR Part 225. The cost allocation plan determines the cost of collecting the SAEA funds which are repaid to the Federal government. For FY 2020, collection costs are expected to be approximately \$812,500.	
Sub-total	\$1,140,000					
Grand Total	\$1,615,000					