

Office of the
Legislative Fiscal Analyst

FY 2001 Budget Recommendations

Joint Appropriations Subcommittee for
Commerce and Revenue

Department of Commerce

Contents:

- 1.0 Summary
- 2.0 Issues
- 3.0 Programs
- 4.0 Additional Information

1.0 Department of Commerce

Summary

The Utah Department of Commerce is the licensing and registration agency for Utah’s professional and corporate community. Business registrations, professional licensing, consumer protection and education, oversight of public utilities and the monitoring of the real estate and securities industries all fall within the scope of the Department.

Funding for the Department is primarily from the Commerce Service Fund. This is made up of fees collected by the Department. By statute, fees collected over the amount appropriated to the Department are to be deposited into the General Fund. Every year, an unused portion of the Commerce Service Fund is added to the General Fund. The Department also receives revenue from Dedicated Credits, Trust Funds, and a small amount of Federal Funds.

Department Mission Statement

The mission statement of the Department reads: “The Utah Department of Commerce mission is to protect public interest by ensuring fair commercial and professional practices.

Utah Tomorrow

The Utah Department of Commerce has been involved with Utah Tomorrow since its inception. Areas affected include Economic Development, Free Enterprise and Regulatory Systems. The Department contributes to the State business climate and the free enterprise opportunities for growth and expansion within the State. It works jointly with other State Departments, including the Department of Community and Economic Development, the Public Service Commission, and the Utah State Tax Commission.

The Department has established an elaborate system of goals, outcome measures and tracking items. Some of these are listed with the respective budgets.

	Analyst FY 2001 Base	Analyst FY 2001 Changes	Analyst FY 2001 Total
Financing			
Federal Funds	\$138,400		\$138,400
Dedicated Credits Revenue	314,100		314,100
GFR - Certified Nurse Midwife Ed. & Enf. Fund		10,000	10,000
GFR - Commerce Service	14,403,900		14,403,900
GFR - Factory Build Housing Fees Account		104,400	104,400
Real Estate Education and Recovery	146,900		146,900
Transfers	24,600		24,600
Beginning Nonlapsing	165,400		165,400
Closing Nonlapsing	(37,300)		(37,300)
Total	<u>\$15,156,000</u>	<u>\$114,400</u>	<u>\$15,270,400</u>
Programs			
Administration	\$1,422,900		\$1,422,900
Occupational & Professional Licensing	5,215,000	114,400	5,329,400
Securities	1,093,500		1,093,500
Consumer Protection	679,600		679,600
Corporations and Commercial Code	1,575,400		1,575,400
Real Estate	837,800		837,800
Public Utilities	2,838,300		2,838,300
Committee of Consumer Services	632,200		632,200
Building Operations and Maintenance	223,300		223,300
Real Estate Education	153,000		153,000
Public Utilities P & T Services	150,000		150,000
CCS P & T Services	335,000		335,000
Total	<u>\$15,156,000</u>	<u>\$114,400</u>	<u>\$15,270,400</u>
FTE	244.5		244.5

2.0 Issues: Department of Commerce

2.1 Y2K Spending

The Department used the Y2K compliance requirement to develop a computer application system that replaced twenty-two Legacy systems across five divisions with a single integrated system. The project was started several years ago and developed with Y2K money. It was part of the Y2K compliance solution and was designed to address additional issues related to deployment of the e-commerce and connectivity initiatives. Funds for this project were originally appropriated to the Department of Administrative Services, to be disbursed to the Department when requested through an application process. The FY 2000 appropriation of \$330,000 is still under control of the Department of Administrative Services and is currently being reviewed by the LFA for strict Y2K application compliance. Subject to the results of that review, the Analyst recommends these funds be transferred to the Department of Commerce to complete the project.

FY 2000 Nonlapsing Balances \$330,000

2.2 Nurse Practice Act

House Bill 351 passed by the 1998 Legislature established a General Fund Restricted Account to be used for: education and training of licensees; enforcement; investigation of unprofessional or unlawful conduct; providing legal representation to the Division; monitoring compliance of renewal requirements; and education and training of board members. Estimated revenue is \$10,000. Legislative appropriation approval is required before funds can be spent. This is a restricted account. The Analyst recommends a FY 2001 ongoing appropriation of \$10,000 and a supplemental appropriation of \$10,000 for FY 2000.

GFR-Certified Nurse Midwife Education & Enforcement Fund \$10,000

2.3 Manufactured Housing Restricted Funds

A special assessment of \$75.00 is made on each “factory built home” sold by a dealer. Funds collected are deposited into a General Fund Restricted Account - Factory Built Housing Fees Account and used for the regulation of the manufactured housing industry. These funds are currently being collected, but must be appropriated before they can be used. Utah Code 58-56-17 and 17.5 provide the authorization. The estimated revenue for FY 2000 and FY 2001 is \$104,000. The Analyst recommends funding for both FY 2000 and FY 2001.

GFR-Factory Built Housing Fees Account \$104,400

3.1 Programs: Department of Commerce - Administration

Recommendation The Analyst recommends funding of \$1,422,900 from the Commerce Service Fund.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
GFR - Commerce Service	\$1,522,700	\$1,281,200	\$1,422,900	\$141,700
Beginning Nonlapsing	300,000	300,000		(300,000)
Closing Nonlapsing	(300,000)			
Total	\$1,522,700	\$1,581,200	\$1,422,900	(\$158,300)
Expenditures				
Personal Services	\$906,000	\$1,126,300	\$1,149,100	\$22,800
In-State Travel	(400)	1,000	1,000	
Out of State Travel	1,900	3,500	2,000	(1,500)
Current Expense	152,500	134,700	142,700	8,000
DP Current Expense	130,500	130,500	96,600	(33,900)
DP Capital Outlay	332,200	185,200	31,500	(153,700)
Total	\$1,522,700	\$1,581,200	\$1,422,900	(\$158,300)
FTE	17.0	20.5	20.5	

Purpose The Administration provides overall management and oversight to the Department of Commerce. Administration includes centralized accounting, payroll, personnel, and budgeting functions. Information Technology Management is mostly centralized under Administration. The various law proceedings of the Department are coordinated through Administration.

Performance Measures Continue identifying and taking appropriate steps to eliminate laws and rules unnecessary for the public’s protection, particularly those which are unduly burdensome on legitimate businesses and professionals. *Performance Measure: Number of laws and rules streamlined or eliminated during the past fiscal year.*

Provide outstanding internal and external customer service by providing timely, knowledgeable, easily accessible, and courteous responses to consumer complaints, requests for information, and licensure applications or renewals. *Performance Measures: a) Number of complaints as a percentage of licensees, as reported via customer satisfaction surveys, response cards, and online complaints. b) Average complaint resolution time. b) Reduction in number of Commerce functions requiring in-person transactions. c) Reduction in licensure and renewal turn-around times.*

Assist professions in complying with laws and rules through communication and education. Performance Measures: Number of public presentations, news releases, brochures distributed, and newsletter circulation.

Take appropriate and timely action against violators or regulatory laws.
Performance Measures: Percent of complaints resulting in formal investigation and licensee actions as a percent of total licensees.

LAST YEAR'S PERFORMANCE MEASURES:

1. Commerce-related laws streamlined or eliminated in past fiscal year: Approximately 30.
2. Outreach efforts in 1999 fiscal year compared with 1998 fiscal year: 21 percent increase in public presentations, 17% increase in newsletter circulation, 13% increase in brochure distribution and 20% increase in news releases, press conferences, news spots, etc.
3. Directors are keenly aware of the issues, laws and responsibilities for their respective divisions.
4. The Department accepts online complaints and complaint response. Two divisions utilize customer comment cards.
5. Boards are informally evaluated in an ongoing manner by how issues are resolved, number of cases reviewed, etc. Staff are evaluated via an informal mid-year review and a formal annual review.

3.2 Programs: Department of Commerce - Division of Occupational and Professional Licensing

Recommendation

The Analyst recommends an ongoing budget of \$5,329,400. The primary source of funding is the Commerce Service Fund. Funding is recommended for two General Fund Restricted Accounts. Funds are collected, but authorization must be given by appropriation to make them available to be spent.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
General Fund, One-time		\$35,000		(\$35,000)
Dedicated Credits Revenue	269,800	260,000	258,300	(1,700)
GFR - Certified Nurse Midwife Ed. & Enf. Fund			10,000	10,000
GFR - Commerce Service	4,698,800	5,132,000	4,886,700	(245,300)
GFR - Factory Build Housing Fees Account			104,400	104,400
Beginning Nonlapsing	58,700	90,200	70,000	(20,200)
Closing Nonlapsing	(90,200)	(70,000)		70,000
Lapsing Balance	(257,000)			
Total	\$4,680,100	\$5,447,200	\$5,329,400	(\$117,800)
Expenditures				
Personal Services	\$3,582,800	\$3,990,400	\$3,922,100	(\$68,300)
In-State Travel	39,300	63,200	57,400	(5,800)
Out of State Travel	35,400	64,100	48,600	(15,500)
Current Expense	859,300	969,400	1,038,100	68,700
DP Current Expense	151,800	220,700	214,700	(6,000)
DP Capital Outlay		119,400	48,500	(70,900)
Capital Outlay	11,500	20,000		(20,000)
Total	\$4,680,100	\$5,447,200	\$5,329,400	(\$117,800)
FTE	91.0	92.0	92.0	

Purpose

The Division of Occupational and Professional Licensing is responsible for the licensing and regulation of approximately fifty-five occupations and professions. Licensure of an occupation or profession is established by law through legislative action.

In addition to issuing and renewing licenses, the Division establishes minimum requirements for licensure, investigates unlawful or unprofessional conduct, and conducts administrative proceedings against individuals who allegedly engage in unlawful or unprofessional conduct.

The Division oversees the Medical Malpractice Prelitigation Hearing Program, the Uniform Building Standards Act, the Residence Lien Recovery Fund, the Construction Standards for Manufactured Housing and Recreational Vehicles.

Legislative Task Force

The Legislature, during the 1999 General Session, established a legislative / citizen committee to evaluate requests for licensure. This board has been meeting and has recommended several pieces of legislation for the 2000 General Session.

Residence Lien Recovery Fund Report

The Residence Lien Recovery Fund was established to assist residents and subcontractors to recover unpaid/reimbursed costs associated with building a structure without exceptional costs of time and litigation. The Residence Lien Recovery Fund is required to report annually to the Legislature. The following is FY 1999 information:

1) Amounts received by the Fund	\$592,243
2) Disbursements from the Fund	\$463,695
3) Interest earned and credited to the Fund	\$183,107
4) The Fund balance as of June 30, 1999	\$3,576,230

The Analyst is reporting the following additional information:

1) Claims filed	141
2) Completed claims	76
3) Total value of claims paid	\$434,170
4) Average amount of paid claim	\$5,713

The fund is solvent and seems to be accomplishing the purpose for which it was established.

Performance Measures

The FY 1999 Investigations performance measures:

- 4,413 - Complaints Received
- 2,859 - Investigations Opened
- 2,858 - Investigations Resolved
- 979 - Citations Issued

The FY 1999 Licensing performance measures:

- 19,657 - New license applications received
- 97,093 - Renewal packages printed and mailed to licensees
- 95,224 - Licenses printed and mailed to licensees

3.3 Program: Department of Commerce - Division of Securities

Recommendation The Analyst recommends funding of \$1,093,500 from the Commerce Service Fund. The recommendation is a flat budget.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
GFR - Commerce Service	\$1,050,200	\$1,082,700	\$1,093,500	\$10,800
Lapsing Balance	(126,100)			
Total	\$924,100	\$1,082,700	\$1,093,500	\$10,800
Expenditures				
Personal Services	\$837,000	\$992,400	\$978,200	(\$14,200)
In-State Travel	1,600	1,600	2,200	600
Out of State Travel	5,700	5,700	7,800	2,100
Current Expense	53,500	53,500	54,100	600
DP Current Expense	26,300	26,300	44,100	17,800
DP Capital Outlay		3,200	7,100	3,900
Total	\$924,100	\$1,082,700	\$1,093,500	\$10,800
FTE	21.0	20.0	20.0	

Purpose The Division of Securities enforces the Utah Uniform Securities Act including licensing broker-dealers, agents and investment advisors. They review all entities issuing securities. The Division investigates fraudulent sales activities and initiates administrative actions to deny, revoke or suspend licenses or registration where appropriate to stop unlawful activities. They also refer investigations to State or local prosecutors for civil or criminal court action.

Performance Measures The following performance measures were reported by the Department of Commerce for this area.

Performance Measure	FY 1998	FY 1999
Securities Professionals licensed	71,545	74,902
Securities Professionals new licenses	7,050	3,406
Investigative cases closed	103	160
Filings registered	4,733	4,652
Audits performed	44	31
Average number of days from complaint to resolution	180	180

3.4 Program: Department of Commerce - Division of Consumer Protection

Recommendation The Analyst recommends funding of \$679,600 from the Commerce Service Fund.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
GFR - Commerce Service	\$585,000	\$687,200	\$679,600	(\$7,600)
Lapsing Balance	(29,600)			
Total	\$555,400	\$687,200	\$679,600	(\$7,600)
Expenditures				
Personal Services	\$498,700	\$624,300	\$604,600	(\$19,700)
In-State Travel	500	1,200	1,200	
Out of State Travel	600	1,000	1,000	
Current Expense	40,700	37,400	39,100	1,700
DP Current Expense	14,900	14,900	28,300	13,400
DP Capital Outlay		8,400	5,400	(3,000)
Total	\$555,400	\$687,200	\$679,600	(\$7,600)
FTE	15.0	16.0	16.0	

Purpose The Division of Consumer Protection is responsible for assisting consumers and businesses in dealing with dishonest business practices. The Division has authority under thirteen separate statutes.

Goals and Objectives The Utah Division of Consumer Protection responds to consumer complaints, prevents and corrects unfair and deceptive business practices, provides relief for consumers and promotes consumer education programs. The goal is to help create a business climate where consumers are knowledgeable and sophisticated and legitimate businesses can flourish.

Performance The major activity of this budget is the resolution of complaints. The following table lists the activity related to complaints.

Activity Measure	FY 1997	FY 1998	FY 1999
Complaints received	2,869	4,135*	2,285
Complaints assigned	1,172	1,398	1,661
Complaints closed (through investigation)	946	1,135	2,101
Complaints closed (thru voluntary compliance)			857

* Several large victim cases, including one which had 1,300 victims.

Activity Highlights:

- 25,000 plus telephone inquiries resulting in 1,661 assigned incidents;
- 235 cases closed through administrative, civil, or criminal action;
- 21 consumer alerts and press releases warning Utahns against fraud.

3.5 Program: Department of Commerce - Division of Corporations and Commercial Code

Recommendation The Analyst recommends funding of \$1,575,400 from the Commerce Service Fund.

	FY 1999 Actual	FY 2000 Estimated	FY 2001 Analyst	Est/Analyst Difference
Financing				
GFR - Commerce Service	\$1,431,300	\$1,524,600	\$1,575,400	\$50,800
Lapsing Balance	(10,400)			
Total	<u>\$1,420,900</u>	<u>\$1,524,600</u>	<u>\$1,575,400</u>	<u>\$50,800</u>
Expenditures				
Personal Services	\$1,004,600	\$1,066,600	\$1,060,400	(\$6,200)
In-State Travel	200	500	500	
Out of State Travel	4,100	5,500	5,500	
Current Expense	330,800	299,500	328,200	28,700
DP Current Expense	81,300	152,500	171,400	18,900
DP Capital Outlay			9,400	9,400
Capital Outlay	(100)			
Total	<u>\$1,420,900</u>	<u>\$1,524,600</u>	<u>\$1,575,400</u>	<u>\$50,800</u>
FTE	34.0	33.0	33.0	

Purpose The Division is the State’s filing office and repository of all corporations, commercial code, business registration, limited partnerships, limited liability companies, notaries public, collection agencies, trademarks, and agricultural liens. The Division serves all business enterprises by providing registration and informational assistance, expediting customer needs and making available its repository of records and data for public research.

The Division also registers corporate annual reports. The Utah Digital Signature Act, the Utah Notary Public Act, and the Utah Commercial Code are administered by the Division.

The Division maintains the DataShare computer system, which allows access to the Division’s data base from a personal computer with a modem. The DataShare program will be available on the Internet this year (FY 1999).

Performance Measures Activity and performance measures were reported by the Division:

Activity / Performance Measure	FY 1998	FY 1999
Transactions processed for the public	360,000	300,000
New business names registered	14,853	15,516
New corporations registered	9,731	9,673
New liability companies registered	6,924	8,567
Telephone calls received from the public	150,000	184,999

3.6 Program: Department of Commerce - Division of Real Estate

Recommendation The Analyst recommends funding of \$837,800. Funding is primarily from the Commerce Service Fund.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
Dedicated Credits Revenue	\$41,100	\$55,800	\$55,800	
GFR - Commerce Service	729,300	757,200	782,000	\$24,800
Total	\$770,400	\$813,000	\$837,800	\$24,800
Expenditures				
Personal Services	\$585,200	\$631,200	\$624,900	(\$6,300)
In-State Travel	4,900	4,900	4,900	
Out of State Travel	7,900	6,600	6,600	
Current Expense	144,700	103,200	109,600	6,400
DP Current Expense	14,800	11,300	30,600	19,300
DP Capital Outlay			5,400	5,400
Other Charges/Pass Thru	12,900	55,800	55,800	
Total	\$770,400	\$813,000	\$837,800	\$24,800
FTE	14.0	14.0	14.0	

Purpose The Division of Real Estate is responsible for the regulation of the Real Estate industry in Utah. The Division provides public protection in the real estate marketplace through education and licensure. The Division licenses all brokers, sales persons, and appraisers. Complaints are investigated and where necessary, disciplinary proceedings are conducted. The Division screens all subdivided lands and time-share projects and insures full disclosure in offerings. The Division audits broker trust accounts and sales and appraisal practices are monitored to insure they are fair and reasonable.

Performance The following table lists the activities reported by the Division for FY 1999. They are primarily activity oriented rather than outcome oriented measures:

Activity Measure	FY 1998	FY 1999
Utahns licensed with the Division	16,142	16,586
Seminars given through the State	16	12
Licensing exams given	2,639	2,830
Investigations closed	207	189
Newsletters issued	8	8

3.7 Program: Department of Commerce - Real Estate Education and Recovery Fund

Recommendation The Analyst recommends funding of \$153,000 from the Real Estate Education and Recovery Fund. This is dedicated revenue which cannot be shifted for other programs or purposes.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
Real Estate Education and	\$141,400	\$145,500	\$144,900	(\$600)
Beginning Nonlapsing	2,600	23,500	23,500	
Closing Nonlapsing	(23,500)	(23,500)	(15,400)	8,100
Total	<u>\$120,500</u>	<u>\$145,500</u>	<u>\$153,000</u>	<u>\$7,500</u>
Expenditures				
Personal Services	\$89,800	\$93,800	\$89,800	(\$4,000)
In-State Travel	200	1,800	2,800	1,000
Out of State Travel	2,400	4,400	4,600	200
Current Expense	28,000	41,000	51,300	10,300
DP Current Expense	100	4,500	4,500	
Total	<u>\$120,500</u>	<u>\$145,500</u>	<u>\$153,000</u>	<u>\$7,500</u>
FTE	2.0	2.0	2.0	

Purpose Title 61, Chapter 2a of the Utah Code Annotated establishes the Real Estate Recovery Fund. The fund is to reimburse the public for damages up to \$10,000, which are incurred by real estate licensees. A balance of \$100,000 is to remain in the fund to satisfy claims. The Fund can also be appropriated "... to provide revenue for improving the real estate profession through education and research with the goal of making real estate salespeople more responsible to the public." The Real Estate Education Program is funded from the balance in the restricted account in excess of the \$100,000. The FY 1999 ending balance in the fund was \$834,414.

3.8 Program: Department of Commerce - Division of Public Utilities

Recommendation The Analyst recommends funding of \$2,838,300. Approximately 94 percent of this budget is from the Commerce Service Fund. A small amount of funding is from the Federal Pipe Safety Grant.

	FY 1999	FY 2000	FY 2001	Est/Analyst
	Actual	Estimated	Analyst	Difference
Financing				
Federal Funds	\$141,700	\$138,000	\$138,400	\$400
GFR - Commerce Service	2,669,700	2,765,200	2,675,300	(89,900)
Transfers	26,400	25,000	24,600	(400)
Lapsing Balance	(156,300)			
Total	<u>\$2,681,500</u>	<u>\$2,928,200</u>	<u>\$2,838,300</u>	<u>(\$89,900)</u>
Expenditures				
Personal Services	\$2,327,800	\$2,502,500	\$2,455,600	(\$46,900)
In-State Travel	9,300	14,200	14,200	
Out of State Travel	52,000	48,800	48,800	
Current Expense	145,100	164,400	175,800	11,400
DP Current Expense	97,500	100,000	101,900	1,900
DP Capital Outlay		73,300	17,000	(56,300)
Capital Outlay	23,400			
Other Charges/Pass Thru	26,400	25,000	25,000	
Total	<u>\$2,681,500</u>	<u>\$2,928,200</u>	<u>\$2,838,300</u>	<u>(\$89,900)</u>
FTE	35.0	38.0	38.0	

Purpose The Division of Public Utilities is responsible for the administration and execution of the rules and orders of the Public Service Commission and State law relative to public utilities. Regulation of utilities is carried out through the oversight of the Division and the quasi-judicial and rule making roles of the Public Service Commission. Regulation substitutes for the normal economic competition among non-regulated business.

There are approximately 55 public utilities operating in Utah. The Division monitors and evaluates the performance of the utilities and their compliance with the law and rulings of the Commission. The Division is charged with insuring the financial health of the utilities while also representing the interest of all classes of rate payers. The issues facing the Division are more complex as utilities seek deregulation and a more competitive market environment.

3.9 Program: Department of Commerce - Division of Public Utilities - Professional and Technical

Recommendation The Analyst recommends an appropriation of \$150,000, of which two thirds is from the Commerce Service Fund.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
GFR - Commerce Service	\$100,000	\$100,000	\$100,000	
Beginning Nonlapsing	165,100	146,900	71,900	(\$75,000)
Closing Nonlapsing	(146,900)	(71,900)	(21,900)	50,000
Total	<u>\$118,200</u>	<u>\$175,000</u>	<u>\$150,000</u>	<u>(\$25,000)</u>
Expenditures				
Current Expense	\$118,200	\$175,000	\$150,000	(\$25,000)
Total	<u>\$118,200</u>	<u>\$175,000</u>	<u>\$150,000</u>	<u>(\$25,000)</u>

Purpose The Division of Public Utilities hires expert witnesses to appear in rate cases before the Public Service Commission. These funds have traditionally been nonlapsing and have been appropriated in a separate line item.

There is a large carry-over balance for FY 2000. The deregulation of the electric industry may use much of the available funds during the next year. It is estimated that some funds will be available to carry-over into FY 2001.

3.10 Program: Department of Commerce - Committee of Consumer Service

Recommendation The Analyst recommends funding of \$632,200 from the Commerce Service Fund.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
GFR - Commerce Service	\$617,100	\$638,700	\$632,200	(\$6,500)
Lapsing Balance	(66,400)			
Total	\$550,700	\$638,700	\$632,200	(\$6,500)
Expenditures				
Personal Services	\$472,500	\$563,100	\$552,600	(\$10,500)
In-State Travel	2,000	6,000	6,000	
Out of State Travel	5,900	8,600	19,900	11,300
Current Expense	29,900	36,600	38,200	1,600
DP Current Expense	24,400	24,400	13,800	(10,600)
DP Capital Outlay			1,700	1,700
Capital Outlay	16,000			
Total	\$550,700	\$638,700	\$632,200	(\$6,500)
FTE	10.0	9.0	9.0	

Purpose The Committee of Consumer Services represents the interests of residential, small business and agricultural rate payers before the Public Service Commission. Its purpose is to represent consumers who might not otherwise have an effective voice before the Commission. The Committee meets as a policy board and determines the cases in which it will participate. The Committee has nine staff members and one Assistant Attorney General.

Intent Language The Analyst recommends the following intent language:

It is the intent of the Legislature that unused funding for the Committee on Consumer Services be transferred to the Committee's Professional and Technical Services fund and be nonlapsing up to \$500,000.

The purpose for this is to authorize Committee operational savings to be used for additional technical assistance for the caseload they anticipate over the next 18 months. Two major issues: 1) the deregulation of the electric industry; and 2) the merger between Pacificorp and Scottish Power consume a significant amount of resources.

3.11 Program: Department of Commerce - Committee of Consumer Services - Professional and Technical

Recommendation The Analyst recommends funding of \$335,000. This is primarily from General Fund Restricted Commerce Service Fund. The appropriation continues the increase made by the 1999 Legislature.

	FY 1999	FY 2000	FY 2001	Est/Analyst
Financing	Actual	Estimated	Analyst	Difference
GFR - Commerce Service	\$235,000	\$335,000	\$335,000	
Beginning Nonlapsing	289,500	197,800		(\$197,800)
Closing Nonlapsing	(197,800)			
Total	<u>\$326,700</u>	<u>\$532,800</u>	<u>\$335,000</u>	<u>(\$197,800)</u>
Expenditures				
Out of State Travel	\$1,600			
Current Expense	<u>325,100</u>	<u>532,800</u>	<u>335,000</u>	<u>(\$197,800)</u>
Total	<u>\$326,700</u>	<u>\$532,800</u>	<u>\$335,000</u>	<u>(\$197,800)</u>

Purpose The Committee of Consumer Services hires expert witnesses to appear in rate cases before the Public Service Commission. These funds have traditionally been nonlapsing and have been appropriated in a separate line item. Several major issues have contributed to the increased caseload. The issues related to the deregulation of the electric industry and the merger between Pacificorp and Scottish Power have required additional resources for the Committee and this technical assistance.

3.12 Program Department of Commerce - Building Operations and Maintenance

Recommendation The Analyst recommends funding of \$223,300. This continues the lease at the same rate as FY 2000. Funding is from the General Fund Restricted - Commerce Service Fund with a \$2,000 addition from Trust Accounts.

	FY 1999	FY 2000	FY 2001	Est/Analyst
	Actual	Estimated	Analyst	Difference
Financing				
GFR - Commerce Service	\$221,300	\$221,300	\$221,300	
Real Estate Education and Recovery	2,000	2,000	2,000	
Total	<u>\$223,300</u>	<u>\$223,300</u>	<u>\$223,300</u>	<u>\$0</u>
Expenditures				
Current Expense	\$223,300	\$223,300	\$223,300	
Total	<u>\$223,300</u>	<u>\$223,300</u>	<u>\$223,300</u>	<u>\$0</u>

Purpose The Department of Commerce is primarily located at the Heber M. Wells Building off of Third South and First East in Salt Lake City. The building is owned and operated by the Division of Facilities and Construction Management (DFCM) of the State Department of Administrative Services.

Performance Measures No performance measures reported for this area.

4.0 Additional Information

4.1(a) Tables: Department of Commerce – General Regulation

	FY 1997	FY 1998	FY 1999	FY 2000	FY 2001
Financing	Actual	Actual	Actual	Estimated	Analyst
General Fund, One-time				\$35,000	
Federal Funds	\$70,300	\$138,100	\$141,700	138,000	\$138,400
Dedicated Credits Revenue	24,700	234,300	310,900	315,800	314,100
GFR - Certified Nurse Midwife Ed. & Enf. Fund					10,000
GFR - Commerce Service	12,441,400	13,068,200	13,525,400	14,090,100	13,968,900
GFR - Factory Build Housing Fees Account					104,400
Real Estate Education and Recovery	2,000	2,000	2,000	2,000	2,000
Transfers		45,000	26,400	25,000	24,600
Beginning Nonlapsing	275,500	180,100	358,700	390,200	70,000
Closing Nonlapsing	(180,100)	(358,700)	(390,200)	(70,000)	
Lapsing Balance	(443,100)	(76,100)	(645,800)		
Total	\$12,190,700	\$13,232,900	\$13,329,100	\$14,926,100	\$14,632,400
% Change		8.5%	0.7%	12.0%	-2.0%
Programs					
Administration	\$1,030,500	\$1,278,500	\$1,522,700	\$1,581,200	\$1,422,900
Occupational & Professional Licensing	4,304,000	5,104,000	4,680,100	5,447,200	5,329,400
Securities	974,500	959,300	924,100	1,082,700	1,093,500
Consumer Protection	530,400	551,500	555,400	687,200	679,600
Corporations and Commercial Code	1,422,700	1,325,800	1,420,900	1,524,600	1,575,400
Real Estate	678,800	714,900	770,400	813,000	837,800
Public Utilities	2,507,900	2,546,400	2,681,500	2,928,200	2,838,300
Committee of Consumer Services	518,600	529,200	550,700	638,700	632,200
Building Operations and Maintenance	223,300	223,300	223,300	223,300	223,300
Total	\$12,190,700	\$13,232,900	\$13,329,100	\$14,926,100	\$14,632,400
Expenditures					
Personal Services	\$9,570,600	\$9,891,100	\$10,214,600	\$11,496,800	\$11,347,500
In-State Travel	55,600	61,000	57,400	92,600	87,400
Out of State Travel	94,900	106,500	113,500	143,800	140,200
Current Expense	1,704,100	2,029,700	1,979,800	2,022,000	2,159,100
DP Current Expense	595,500	572,000	541,500	680,600	691,400
DP Capital Outlay	96,700	502,200	332,200	389,500	126,000
Capital Outlay	38,400	25,400	50,800	20,000	
Other Charges/Pass Thru	34,900	45,000	39,300	80,800	80,800
Total	\$12,190,700	\$13,232,900	\$13,329,100	\$14,926,100	\$14,632,400
FTE	234.0	234.0	237.0	242.5	242.5

4.1(b) Tables: Department of Commerce – Real Estate Education

	FY 1997	FY 1998	FY 1999	FY 2000	FY 2001
	Actual	Actual	Actual	Estimated	Analyst
Financing					
Dedicated Credits Revenue		\$2,500			
Real Estate Education and Recovery	\$128,500	138,000	\$141,400	\$145,500	\$144,900
Beginning Nonlapsing			2,600	23,500	23,500
Closing Nonlapsing		(2,600)	(23,500)	(23,500)	(15,400)
Lapsing Balance	(21,800)				
Total	\$106,700	\$137,900	\$120,500	\$145,500	\$153,000
% Change		29.2%	-12.6%	20.7%	5.2%
Programs					
Real Estate Education	\$106,700	\$137,900	\$120,500	\$145,500	\$153,000
Total	\$106,700	\$137,900	\$120,500	\$145,500	\$153,000
Expenditures					
Personal Services	\$83,400	\$83,600	\$89,800	\$93,800	\$89,800
In-State Travel		2,200	200	1,800	2,800
Out of State Travel	2,600	4,900	2,400	4,400	4,600
Current Expense	19,500	47,200	28,000	41,000	51,300
DP Current Expense	1,200		100	4,500	4,500
Total	\$106,700	\$137,900	\$120,500	\$145,500	\$153,000
FTE		2.0	2.0	2.0	2.0

4.1(c) Tables: Department of Commerce – Division of Public Utilities - Professional and Technical Services

	FY 1997	FY 1998	FY 1999	FY 2000	FY 2001
	Actual	Actual	Actual	Estimated	Analyst
Financing					
GFR - Commerce Service	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000
Beginning Nonlapsing	161,400	205,800	165,100	146,900	71,900
Closing Nonlapsing	(205,800)	(165,100)	(146,900)	(71,900)	(21,900)
Total	\$55,600	\$140,700	\$118,200	\$175,000	\$150,000
% Change		153.1%	-16.0%	48.1%	-14.3%
Programs					
Professional & Technical Services	\$55,600	\$140,700	\$118,200	\$175,000	\$150,000
Total	\$55,600	\$140,700	\$118,200	\$175,000	\$150,000
Expenditures					
Current Expense	\$55,600	\$140,700	\$118,200	\$175,000	\$150,000
Total	\$55,600	\$140,700	\$118,200	\$175,000	\$150,000

4.1(c) Tables: Department of Commerce – Committee of Consumer Services - Professional and Technical Services

	FY 1997	FY 1998	FY 1999	FY 2000	FY 2001
	Actual	Actual	Actual	Estimated	Analyst
Financing					
GFR - Commerce Service	\$235,000	\$235,000	\$235,000	\$335,000	\$335,000
Beginning Nonlapsing	246,600	414,400	289,500	197,800	
Closing Nonlapsing	(414,400)	(289,500)	(197,800)		
Total	<u>\$67,200</u>	<u>\$359,900</u>	<u>\$326,700</u>	<u>\$532,800</u>	<u>\$335,000</u>
% Change		435.6%	-9.2%	63.1%	-37.1%
Programs					
Professional & Technical Services	\$67,200	\$359,900	\$326,700	\$532,800	\$335,000
Total	<u>\$67,200</u>	<u>\$359,900</u>	<u>\$326,700</u>	<u>\$532,800</u>	<u>\$335,000</u>
Expenditures					
Out of State Travel			\$1,600		
Current Expense	67,200	359,900	325,100	532,800	335,000
Total	<u>\$67,200</u>	<u>\$359,900</u>	<u>\$326,700</u>	<u>\$532,800</u>	<u>\$335,000</u>

4.2 Federal Funds: Department of Commerce

Program		FY 1999 Actual	FY 2000 Estimated	FY 2001 Analyst
Division of Public Utilities	Federal	\$141,700	\$138,000	\$138,400
Pipe Safety Grant	Required State Match	70,900	69,000	69,200
	Total	212,600	207,000	207,600
TOTAL	Federal	141,700	138,000	138,400
	Required State Match	70,900	69,000	69,200
	Total	\$212,600	\$207,000	\$207,600

**Future Impact of
Current Federal
Fund Decisions**

Federal funding is minimal for this Department. The one federal fund grant has been relatively stable and there is no expectation that this will change in the foreseeable future.

4.3 Fees: Department of Commerce

The following pages are a listing of fees for Legislative approval.

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
Commerce Department (All Divisions)					
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg			
Photocopies (per copy)	0.30	0.30			
Booklets	cost or \$5	cost or \$5			
Priority Processing Fee	75.00	75.00			
Returned Checks	20.00	20.00			
List of Licensees/Business Entities	cost or \$25	cost or \$25			
Late Renewal Fee (except Corporations which is \$10 by statute and Consumer Protection which is \$25 by statute.)	various	20.00			
Verification of Licensure/Custodian of Record	various	20.00			
Administration					
Vehicle Franchise Act	80.00	80.00			
Occupational and Professional Licensing					
Certified Public Accountant					
Individual CPA Application Filing	75.00	75.00			
Individual License/ Certificate Renewal	50.00	50.00			
CPA Firm Application for Registration	80.00	80.00			
CPA Firm Registration Renewal	40.00	40.00			
Examination Record Fee	30.00	30.00			
Acupuncturist					
New Application	100.00	100.00			
Renewal	50.00	50.00			
Alarm Company					
FBI Fingerprint File Search	24.00	24 or cost			
BCI Fingerprint File Search	10.00	15 or cost			
Company Application Filing	300.00	300.00			
Company Renewal	100.00	100.00			
Agent Application Filing	40.00	40.00			
Agent Renewal	20.00	20.00			
Alternative Dispute Resolution Providers					
Application Filing	75.00	75.00			
License Renewal	50.00	50.00			
Architect					
Application Filing	100.00	100.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
License Renewal	50.00	50.00			
Education and Enforcement Surcharge	10.00	10.00			
Boxing Commission					
Promoters - Application Filing	100.00	100.00			
Professional Contestant - License Renewal	25.00	25.00			
Professional Contestant - Application Filing	25.00	25.00			
Judges and Referees - License Renewal	25.00	25.00			
Judges and Referees - Application Filing	25.00	25.00			
Managers and Seconds - License Renewal	25.00	25.00			
Managers and Seconds - Application Filing	25.00	25.00			
Contest Registration Fee	250.00	250.00			
Promotions (percent of total)	0.05	0.05			
Audiologist					
Application Filing	60.00	60.00			
License Renewal	35.00	35.00			
Cosmetologist/Barber					
Teacher Certificate	40.00	40.00			
Application Filing	40.00	40.00			
License Renewal	25.00	25.00			
School Application Filing	100.00	100.00			
School License Renewal	50.00	50.00			
Apprentice Application Filing	25.00	25.00			
Building Inspector					
Initial License - Application Filing	50.00	50.00			
License - Renewal	25.00	25.00			
Certified Nurse Midwife					
Application Filing	60.00	60.00			
License Renewal	40.00	40.00			
Intern-Application Filing	25.00	25.00			
Certified Public Accountant Quality Review					
CPA Firm - Offsite Review	375+	400+	25.00	20.00	500.00
employed CPA, each	30.00	30.00			
CPA Firm - On-site Review	425+	550+	125.00	10.00	125.00
employed CPA, each	30.00	30.00			
Certified Shorthand Reporter					
Application Filing	35.00	35.00			
License Renewal	30.00	30.00			
Chiropractic Physician					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Contractor Licensing					
Primary Application Filing	200.00	200.00			
License Renewal	100.00	100.00			
Additional Application Filing	100.00	100.00			
Change Qualifier fees	40.00	40.00			
Monetary Limit Increase Application	0.00	100.00	100.00	5.00	500.00

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
Controlled Substance					
Application Filing	90.00	90.00			
License Renewal	50.00	50.00			
Controlled Substance Precursor					
Distributor Application Filing	200.00	200.00			
Distributor License Renewal	100.00	100.00			
Purchaser Application Filing	100.00	100.00			
Purchaser License Renewal	50.00	50.00			
Deception Detection					
FBI Fingerprint File Search	24.00	24 or cost			
BCI Fingerprint File Search	10.00	15 or cost			
Examiner Application Filing	40.00	40.00			
Examiner Renewal	20.00	20.00			
Intern Application Filing	25.00	25.00			
Intern Renewal	20.00	0.00			
Dentist					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Anesthesia Upgrade	50.00	50.00			
Dental Hygienist					
Application Filing	50.00	50.00			
License Renewal	25.00	25.00			
Anesthesia Upgrade	25.00	25.00			
Certified Dietician					
Application Filing	50.00	50.00			
License Renewal	25.00	25.00			
Electrician					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Electrologist					
Application Filing	40.00	40.00			
License Renewal	20.00	20.00			
Professional Employer - Organization					
Base Annual Fee	1500.00	0.00	(1,500.00)	59.00	(88,500.00)
Volume Fee, per \$1 million in billings	215.00	0.00	(215.00)	150.00	(32,250.00)
Initial Application Fee	0.00	2,000.00	2,000.00	4.00	8,000.00
Annual Renewal Fee	0.00	2,000.00	2,000.00	59.00	118,000.00
Professional Engineer					
Professional Engineer - Application Filing	100.00	100.00			
Professional Engineer - License Renewal	50.00	50.00			
Professional Structural Engineer - Application Fi	100.00	100.00			
Professional Structural Engineer - License Renew	50.00	50.00			
Exam Record Fee	30.00	30.00			
Education and Enforcement Surcharge	10.00	10.00			
Environmental Health Scientist					
Application Filing	50.00	50.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
License Renewal	25.00	25.00			
Funeral Services					
Director Application Filing	150.00	150.00			
Director License Renewal	75.00	75.00			
Apprentice Application Filing	25.00	25.00			
Apprentice License Renewal	20.00	20.00			
Establishment Application Filing	100.00	100.00			
Establishment License Renewal	100.00	100.00			
Health Care Assistant					
Application Filing	20.00	20.00			
License Renewal	10.00	10.00			
Health Facility Administrator					
Application Filing	60.00	60.00			
License Renewal	40.00	40.00			
Hearing Instrument Specialist					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Hearing Instrument Intern					
Application Filing	25.00	25.00			
Landscape Architects					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Examination Fee Record	30.00	30.00			
Education and Enforcement Surcharge	10.00	10.00			
Professional Land Surveyor					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Fundamentals of Land Surveying Examination R	30.00	30.00			
Education and Enforcement Surcharge	10.00	10.00			
Factory Built Housing					
Dealer - Application Filing	15.00	30.00	15.00	26.00	390.00
Dealer - License Renewal	15.00	30.00	15.00	15.00	225.00
On-site plant inspection	50/hr+exp	50/hr+exp			0.00
Education and Enforcement Fee	75.00	75.00			0.00
Marriage and Family Therapist					0.00
Application Filing	75.00	75.00			
License Renewal	65.00	65.00			
Post/Master Training	10.00	10.00			
Coursework Review Fee	25.00	25.00			
Massage					
Therapist - Application Filing	50.00	50.00			
Therapist - License Renewal	40.00	40.00			
Apprentice - Application Filing	25.00	25.00			
Apprentice - License Renewal	25.00	25.00			
Naturopathic Physician					
Application Filing	100.00	100.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
License Renewal	50.00	50.00			
Nurses					
Licensed Practical Nurse (L.P.N.) - Application	50.00	50.00			
Licensed Practical Nurse (L.P.N.) - License Renewal	40.00	40.00			
Registered Nurse (R.N.) - Application Filing	50.00	50.00			
Registered Nurse (R.N.) - License Renewal	40.00	40.00			
Advanced Practice R.N. - Application Filing	60.00	60.00			
Advanced Practice R.N. - License Renewal	40.00	40.00			
Advanced Practice R.N. - Intern Application	25.00	25.00			
Certified Nurse Anesthetist - Application Filing	60.00	60.00			
Certified Nurse Anesthetist - License Renewal	40.00	40.00			
Educational Program approval/Initial site visit	500.00	500.00			
Educational Program approval/Follow-up site visit	250.00	250.00			
Occupational Therapist					
Occupational Therapist - Application Filing	60.00	60.00			
Occupational Therapist - License Renewal	35.00	35.00			
Occupational Therapist Assistant - Application Filing	60.00	60.00			
Occupational Therapist Assistant - License Renewal	35.00	35.00			
Optometrist					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Osteopathic Physician & Surgeon					
Application Filing	150.00	150.00			
License Renewal	100.00	100.00			
Pharmacy					
Pharmacist - Application Filing	100.00	100.00			
Pharmacist - License Renewal	50.00	50.00			
Pharmacy Intern - Application Filing	25.00	25.00			
Pharmacy Intern - License Renewal	20.00	0.00	(20.00)	0.00	0.00
Pharmacy - Application Filing	100.00	100.00			
Pharmacy - License Renewal	50.00	50.00			
Pharmaceutical Manufacturer - Application Filing	100.00	100.00			
Pharmaceutical Manufacturer - License Renewal	50.00	50.00			
Pharmaceutical Wholesaler/Distributor - Application Filing	100.00	100.00			
Pharmaceutical Wholesaler/Distributor - License Renewal	50.00	50.00			
Veterinary Pharmaceutical Outlet - Application Filing	100.00	100.00			
Veterinary Pharmaceutical Outlet - License Renewal	50.00	50.00			
Pharmaceutical Researcher - Application Filing	100.00	100.00			
Pharmaceutical Researcher - License Renewal	50.00	50.00			
Pharmaceutical Dog Trainer - Application Filing	100.00	100.00			
Pharmaceutical Dog Trainer - License Renewal	50.00	50.00			
Pharmaceutical Teaching Organization - Application Filing	100.00	100.00			
Pharmaceutical Teaching Organization - License Renewal	50.00	50.00			
Euthanasia Agency - Application Filing	100.00	100.00			
Euthanasia Agency - License Renewal	50.00	50.00			
Analytical Laboratory - Application Filing	100.00	100.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
Analytical Laboratory - License Renewal	50.00	50.00			
Pharmacy Technician Application Fee	50.00	50.00			
Pharmacy Technician License Renewal	35.00	35.00			
Pharmaceutical Administration Application Filing	100.00	100.00			
Pharmaceutical Admin. License Renewal	50.00	50.00			
Physical Therapist					
Application Filing	60.00	60.00			
License Renewal	35.00	35.00			
Physician/Surgeon					
Application Filing	150.00	150.00			
License Renewal	100.00	100.00			
Physician Assistant					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Plumber					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Podiatric Physician					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Pre-Need Funeral Arrangement					
Provider - Application Filing	100.00	100.00			
Provider - License Renewal	50.00	50.00			
Sales Agent - Application Filing	40.00	40.00			
Sales Agent - License Renewal	30.00	30.00			
Private Probation Provider					
Application Filing	75.00	75.00			
License Renewal	50.00	50.00			
Professional Counselor					
Application Filing	75.00	75.00			
License Renewal	65.00	65.00			
Post/Master Training	10.00	10.00			
Coursework Review Fee	25.00	25.00			
Psychologist					
Psychologist - Application Filing	100.00	100.00			
Psychologist - License Renewal	50.00	50.00			
Radiology Technologist/Practical Technician					
Application Filing	60.00	60.00			
License Renewal	35.00	35.00			
Recreational Vehicle Dealer					
Application Filing	15.00	0.00	(15.00)	23.00	(345.00)
License Renewal	15.00	0.00	(15.00)	0.00	0.00
Recreational Therapist					
Master/Therapeutic Recreation Specialist - Appli	60.00	60.00			
Master/Therapeutic Recreation Specialist - Licens	35.00	35.00			
Therapeutic Recreation Technician - Application	60.00	60.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
Therapeutic Recreation Technician - License Re	35.00	35.00			
Residence Lien Recovery Fund Registrants					
Initial Assessment	195.00	195.00			
Noncontractor Registration	25.00	25.00			
Post-claim laborer assessment	20.00	20.00			
Claim Application Fee - Nonlaborers	75.00	75.00			
Claim Application Fee - Laborers	15.00	15.00			
Reinstatement of Lapsed Registration	100.00	100.00			
Respiratory Care Practitioner					
Application Filing	50.00	50.00			
License Renewal	40.00	40.00			
Security Services					
FBI Fingerprint File Search	24.00	24 or cost			
BCI Fingerprint File Search	10.00	15 or cost	5.00	Pass through	
Contract Security Company Application Filing	300.00	300.00			
Contract Security Company Renewal	100.00	100.00			
Replace/Change Qualifier	40.00	40.00			
Education Program Approval	300.00	300.00			
Education Program Approval Renewal	100.00	100.00			
Alarm Response Runner Application Filing	40.00	40.00			
Alarm Response Runner Renewal	20.00	20.00			
Armed Private Security Officer Application Filing	40.00	40.00			
Armed Private Security Officer Renewal	20.00	20.00			
Unarmed Private Security Officer Application Filing	40.00	40.00			
Unarmed Private Security Officer Renewal	20.00	20.00			
Social Work					
Clinical Social Worker - Application Filing	75.00	75.00			
Clinical Social Worker - License Renewal	65.00	65.00			
Certified Social Worker - Application Filing	75.00	75.00			
Certified Social Worker - License Renewal	65.00	65.00			
Social Service Worker - Application Filing	75.00	75.00			
Social Service Worker - License Renewal	65.00	65.00			
Post Master Trainng	10.00	10.00			
Speech Pathologist/Audiologist					
Speech Pathologist - Application Filing	60.00	60.00			
Speech Pathologist - License Renewal	35.00	35.00			
Audiologist - Application Filing	60.00	60.00			
Audiologist - License Renewal	35.00	35.00			
Licensed Substance Abuse Counselor					
Application Filing	75.00	75.00			
License Renewal	65.00	65.00			
Veterinarian					
Application Filing	100.00	100.00			
License Renewal	50.00	50.00			
Veterinarian Intern					
Application Filing	25.00	25.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
UBC Surcharge					
Building Permit Surcharge	variable	variable			
UBC Seminar Fees	variable	variable			
Other					
Inactive/Reactivation/Emeritus License	50.00	50.00			
Temporary License	50.00	50.00			
Late Renewal Fee	20.00	20.00			
License/Registration Reinstatement	50.00	50.00			
Duplicate License	10.00	10.00			
Priority Processing Fee	75.00	75.00			
Disciplinary File Search Per Order Document	10.00	10.00			
Prelitigation Filing	0.00	60.00	60.00	5.00	300.00
Securities Division					
Securities Registration					
Qualification Registration	300.00	300.00			
Coordinated Registration	750.00	300.00	(450.00)	134.00	(60,300.00)
Notification Registration	300.00	300.00			
Securities Exemptions					
Investment Companies	500.00	500.00			
All Other Securities Exemptions	60.00	60.00			
Transactional Exemptions					
Transactional Exemptions	60.00	60.00			
No-action and Interpretative Opinions	120.00	120.00			
Licensing					
Agent	45.00	45.00			
Broker/Dealer	75.00	75.00			
Investment Adviser (new and renewal)	75.00	75.00			
Investment Adviser Representative (new and ren	30.00	30.00			
Certified Dealer					
New and Renewal	500.00	500.00			
Covered Securities Notice Filings					
Investment Companies	500.00	500.00			
All Other Covered Securities	60.00	60.00			
Federal Covered Adviser					
New and Renewal	75.00	75.00			
Other					
Statute Booklet	2.00	0.00	(2.00)	500.00	(1,000.00)
Rules and Forms Booklet (Excluding SCOR)	3.50	0.00	(3.50)	400.00	(1,399.60)
Small Corp. Offering Registration (SCOR)	5.00	0.00	(5.00)	400.00	(2,000.00)
License/Registration Certificate	10.00	0.00	(10.00)	75.00	(749.99)

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
Consumer Protection Division					
Charitable Solicitation Act					
Charity	100.00	100.00			
Professional Fund Raiser	250.00	250.00			
Information Card	2.00	0.00	(2.00)	0.00	0.00
Telephone Solicitation					
Telemarketing Registration	50.00	50.00			
Health Spa	100.00	100.00			
Credit Services Organization	50.00	50.00			
Business Opportunity Disclosure Register					
Exempt	100.00	100.00			
Approved	200.00	200.00			
Personal Introduction Service	0.00	100.00	100.00	75.00	7,500.00
Corporations and Commercial Code					
Articles of Incorporation					
Domestic Profit	50.00	50.00			
Domestic Nonprofit	20.00	20.00			
Foreign Profit	50.00	50.00			
Foreign Nonprofit	20.00	20.00			
Corporate Sole	20.00	20.00			
Requalification/Reinstatement					
Profit	50.00	50.00			
Nonprofit	20.00	20.00			
Changes of Corporate Status					
Amend/Restate/Merge - Profit	25.00	25.00			
Amend/Restate/Merge - Nonprofit	15.00	15.00			
Amendment - Foreign	35.00	35.00			
Annual Report					
Profit	10.00	10.00			
Nonprofit	5.00	5.00			
Limited Partnership	10.00	10.00			
Limited Liability Company	10.00	10.00			
Change Form	0.00	10.00	10.00	45,000.00	450,000.00
Late Fee	10.00	10.00			
Certification					
Corporate Standing - In House	10.00	10.00			
Corporate Standing - Long Form	20.00	20.00			
Corporation Search					
In House	10.00	10.00			
Limited Partnership					
Certificate	50.00	50.00			
Reinstate/Requalify	50.00	50.00			
Amend/Restate/Merge	25.00	25.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
DBA					
Registration	20.00	20.00			
Renewals	20.00	20.00			
Trademark					
Registration	20.00	20.00			
Assignments	5.00	5.00			
Renewals	20.00	20.00			
Limited Liability Company					
Articles of Organization	50.00	50.00			
Reinstate/Requalify	50.00	50.00			
Amend/Merge	35.00	35.00			
Miscellaneous Transactions					
Summons	10.00	10.00			
Out of State Motorist Summons	5.00	5.00			
Collection Agency Bond	30.00	30.00			
Foreign Name Registration	20.00	20.00			
Statement of Certification	10.00	10.00			
Corporation Name Reservation	20.00	20.00			
Telecopier Transmittal	5.00	5.00			
Telecopier Transmittal (per page)	1.00	1.00			
Commercial Code Lien Filings					
UCC Filings with or without ID	10.00	10.00			
Assignment/Amendment	10.00	10.00			
CFS - 1	10.00	10.00			
CFS - 3	10.00	10.00			
CFS - 2	5.00	5.00			
CFS - 4	0.00	25.00	25.00	33.00	825.00
Lien Search					
Search	10.00	10.00			
Notary					
Bond and Certificate	20.00	20.00			
Bond Rider	5.00	5.00			
Certificate	5.00	5.00			
Workshop Registration	10.00	10.00			
Digital Signatures					
Certification Authority Licensing	500.00	500.00			
Recognition of repository	250.00	250.00			

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
Real Estate Division					
Broker/Sales Agent					
New Application (2 year)	100.00	100.00			
Finger printing	34.00	39 or cost	5.00	Pass through	
Renewal	50.00	50.00			
Appraisers					
Licensed & Certified - Application	200.00	200.00			
Licensed & Certified - Renewal	200.00	200.00			
Registered Appraisers - Renewal	200.00	200.00			
National Register (Pass through)	50.00	50 or cost			
Temporary Permit	100.00	100.00			
Appraiser Expert Witness Fees	200.00	200.00			
Miscellaneous					
Activation	15.00	15.00			
New Company	25.00	25.00			
Branch Office	25.00	25.00			
Company Broker Change	15.00	15.00			
Service Fees					
Duplicate License	10.00	10.00			
Certifications/Histories (up to 5 years)	10.00	10.00			
Certifications/Histories (more than 5 years)	50.00	50.00			
License/Registration Reinstatement	50.00	50.00			
No Action Letter	120.00	120.00			
Subdivided Land					
Exemption - HUD	100.00	100.00			
Exemption - Water Corporation	50.00	50.00			0.00
Temporary Permit	100.00	100.00			0.00
Application	500.00+	500.00+			0.00
per unit charge over 30	3.00	3.00			0.00
Inspection Deposit	300.00	300.00			0.00
Consolidation	200.00+	200.00+			0.00
per unit charge	3.00	3.00			0.00
Renewal Report	200.00	200.00			0.00
Timeshare and Camp Resort					
Salesperson - New and Renewal	50.00	50.00			0.00
Registration	500.00+	500.00+			0.00
per unit charge over 100	3.00	3.00			0.00
Inspection Deposit	300.00	300.00			0.00
Consolidation	200.00+	200.00+			0.00
per unit charge	3.00	3.00			0.00
Temporary Permit	100.00	100.00			0.00
Renewal Report	200.00	200.00			0.00
Supplementary Filing Fee	200.00	200.00			0.00

Fee Title	FY 2000 Current Rate	FY 2001 Proposed Rate	Difference	FY 2001 Units	Projected Revenue Change
Real Estate Education					0.00
Broker/Dealer	36.00	1.00	(35.00)	200.00	(7,000.00)
Agent	24.00	1.00	(23.00)	500.00	(11,500.00)
Certifications					0.00
Real Estate Prelicense Course Certification	25.00	25.00			0.00
Appraiser Prelicense Course Certification	25.00	25.00			0.00
Real Estate Continuing Ed. Course Certification	35.00	35.00			0.00
Real Estate Prelicense Instructor Certification	15.00	15.00			0.00
Real Estate Continuing Ed. Instructor Certificati	15.00	15.00			0.00
Appraiser Prelicense Instructor Certification	15.00	15.00			0.00
Interpretive Opinions	150.00	0.00	(150.00)	30.00	(4,500.00)

