

# Compendium of Budget Information for the 2014 General Session

## Social Services Appropriations Subcommittee

### Agency: Human Services

### Line Item: Aging and Adult Services

#### *Function*

The Division of Aging and Adult Services (DAAS) is the designated state agency authorized to coordinate all state activities related to the *Older Americans Act* of 1965 (later amended in the year 2000). DAAS contracts for services, oversees state and federal programs, and advocates for the elderly. The programs are administered by the state's twelve local Area Agencies on Aging (AAA) under the direction of DAAS. Programs funded through the *Older Americans Act* are distributed to AAAs through an approved funding formula. The division is also responsible, through its Adult Protective Services (APS) program, for the protection of abused, neglected, and exploited adults and elderly.

#### *Statutory Authority*

Utah Code Title 62A, Chapter 3 authorizes and describes the programs of the Division of Aging and Adult Services:

- Part 1: Creation and duties of the division, its state board, and functions of the local area agencies on aging
- Part 2: Long Term Care Ombudsman Program
- Part 3: Abuse, neglect, or exploitation of vulnerable adults

Utah Code 76-5-111 describes the penalties associated with abuse, neglect, or exploitation of a vulnerable adult.

In addition to state law, many functions provided by DAAS have provisions detailed in federal law. Those federal law references, where available, follow:

The Older Americans Act creates the Administration on Aging and authorizes the distribution of federal funds.

Code of Federal Regulations Title 45: Public Welfare, Part 1321 -- Grants to state and Community Programs on Aging,

Subpart D -- Service Requirements (a) Title III of the Older Americans Act authorizes the distribution of federal funds to the state agency on aging by formula for the following categories of services:

- Supportive services

- Congregate meals services
- Home delivered meals services
- In-home services
- Ombudsman services
- Elder abuse services
- Preventive health services
- Outreach services

### **Performance**

During the 2013 General Session of the Legislature, Aging and Adult Services -- Performance Measures was presented showing an eight year history of DAAS output and outcome measures. An update of these output and outcome measures will be provided during the 2014 General Session of the Legislature.

### **Funding Detail**

For analysis of current budget requests and discussion of issues related to this budget click [here](#).

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
General Fund	\$12,517,500	\$12,905,200	\$0	\$12,905,200	\$65,900	\$12,971,100
General Fund, One-time	\$250,000	\$100,000	\$7,600	\$107,600	\$57,500	\$165,100
Federal Funds	\$10,861,000	\$11,251,200	(\$110,900)	\$11,140,300	(\$415,600)	\$10,724,700
American Recovery and Reinvestment Act	\$0	\$0	\$0	\$0	\$0	\$0
Dedicated Credits Revenue	\$0	\$100	\$0	\$100	\$0	\$100
GFR - Alzheimer's State Plan Task Force	\$0	\$0	\$0	\$0	\$0	\$0
Transfers - Medicaid	(\$740,300)	(\$605,500)	(\$99,800)	(\$705,300)	\$17,500	(\$687,800)
Transfers - Other Agencies	\$15,900	\$0	\$22,100	\$22,100	(\$2,600)	\$19,500
Transfers - Within Agency	\$0	\$0	\$0	\$0	\$0	\$0
Beginning Nonlapsing	\$0	\$0	\$0	\$0	\$0	\$0
Closing Nonlapsing	\$0	\$0	\$0	\$0	\$0	\$0
Lapsing Balance	(\$63,200)	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$22,840,900</b>	<b>\$23,651,000</b>	<b>(\$181,000)</b>	<b>\$23,470,000</b>	<b>(\$277,300)</b>	<b>\$23,192,700</b>

Programs	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Administration - DAAS	\$1,305,600	\$1,409,800	\$324,600	\$1,734,400	(\$291,600)	\$1,442,800
Local Government Grants - Formula Funds	\$12,374,500	\$12,861,900	(\$369,300)	\$12,492,600	\$50,000	\$12,542,600
Non-Formula Funds	\$1,514,400	\$1,398,700	(\$8,700)	\$1,390,000	(\$102,000)	\$1,288,000
Adult Protective Services	\$2,705,300	\$2,845,200	\$14,100	\$2,859,300	\$72,300	\$2,931,600
Aging Waiver Services	\$978,800	\$1,108,700	(\$99,400)	\$1,009,300	\$6,000	\$1,015,300
Aging Alternatives	\$3,962,300	\$4,026,700	(\$42,300)	\$3,984,400	(\$12,000)	\$3,972,400
Alzheimer's State Plan Task Force	\$0	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$22,840,900</b>	<b>\$23,651,000</b>	<b>(\$181,000)</b>	<b>\$23,470,000</b>	<b>(\$277,300)</b>	<b>\$23,192,700</b>

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Personnel Services	\$3,538,200	\$3,669,500	\$60,500	\$3,730,000	\$107,700	\$3,837,700
In-state Travel	\$47,500	\$68,100	(\$800)	\$67,300	(\$1,100)	\$66,200
Out-of-state Travel	\$30,100	\$28,600	\$7,600	\$36,200	(\$2,000)	\$34,200
Current Expense	\$439,100	\$502,300	(\$11,500)	\$490,800	(\$2,200)	\$488,600
DP Current Expense	\$222,300	\$276,400	(\$34,500)	\$241,900	\$4,800	\$246,700
Other Charges/Pass Thru	\$18,563,700	\$19,106,100	(\$202,300)	\$18,903,800	(\$384,500)	\$18,519,300
<b>Total</b>	<b>\$22,840,900</b>	<b>\$23,651,000</b>	<b>(\$181,000)</b>	<b>\$23,470,000</b>	<b>(\$277,300)</b>	<b>\$23,192,700</b>

Other Indicators	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Budgeted FTE	53	52	0	52	0	52
Actual FTE	51	0	0	0	0	0
Vehicles	9	9	0	9	0	9

**Program: Administration - DAAS**

**Function**

This administrative office develops and monitors programs for older citizens. The office is responsible for the implementation of the *Older Americans Act* and related state programs. It receives federal funds, distributes these funds to local agencies, and provides required oversight, technical assistance, training, data collection, reporting, and monitoring. DAAS Administration enters into contracts with local Area Agencies on Aging (AAAs) for delivery of services for the aging population of Utah. It also administers the Medicaid Home and Community-based Waiver, State Alternatives and Caregiver Respite programs, Adult Protective Services, and the Long Term Care Ombudsman program.

**Funding Detail**

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
General Fund	\$521,400	\$516,300	\$0	\$516,300	\$10,100	\$526,400
General Fund, One-time	\$0	\$0	\$1,100	\$1,100	\$1,100	\$2,200
Federal Funds	\$768,500	\$893,400	\$301,400	\$1,194,800	(\$300,200)	\$894,600
American Recovery and Reinvestment Act	\$0	\$0	\$0	\$0	\$0	\$0
Dedicated Credits Revenue	\$0	\$100	\$0	\$100	\$0	\$100
Transfers - Other Agencies	\$15,900	\$0	\$22,100	\$22,100	(\$2,600)	\$19,500
Transfers - Within Agency	\$0	\$0	\$0	\$0	\$0	\$0
Lapsing Balance	(\$200)	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$1,305,600</b>	<b>\$1,409,800</b>	<b>\$324,600</b>	<b>\$1,734,400</b>	<b>(\$291,600)</b>	<b>\$1,442,800</b>

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Personnel Services	\$1,019,200	\$1,039,600	\$14,900	\$1,054,500	\$30,200	\$1,084,700
In-state Travel	\$16,900	\$35,500	(\$2,500)	\$33,000	(\$1,100)	\$31,900
Out-of-state Travel	\$26,200	\$22,000	\$8,700	\$30,700	(\$2,000)	\$28,700
Current Expense	\$128,000	\$186,000	(\$11,600)	\$174,400	(\$400)	\$174,000

DP Current Expense	\$115,300	\$126,700	(\$4,300)	\$122,400	\$1,100	\$123,500
Other Charges/Pass Thru	\$0	\$0	\$319,400	\$319,400	(\$319,400)	\$0
<b>Total</b>	<b>\$1,305,600</b>	<b>\$1,409,800</b>	<b>\$324,600</b>	<b>\$1,734,400</b>	<b>(\$291,600)</b>	<b>\$1,442,800</b>

Other Indicators	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Budgeted FTE	13	13	0	13	0	13
Actual FTE	13	0	0	0	0	0

**Program: Local Government Grants - Formula Funds**

**Function**

Funding for programs through the *Older Americans Act* is distributed to Area Agencies on Aging (AAAs) through an approved funding formula. In Utah, there are twelve AAAs (local government units) under contract with the state division. Five counties are separate service providers (Salt Lake, Davis, Tooele, San Juan, and Uintah). One provider is a cooperative venture between Weber and Morgan counties. The other six providers are associations of county governments (AOG):

Grants are typically distributed by formulas developed by the State Board of Aging and Adult Services. Separate formulas have been developed for the distribution of: 1) the *Older Americans Act*, 2) General Fund appropriated for In-home Services, and 3) the Ombudsman program. Some of the services and programs operated by the AAAs are listed below.

- **Support Services:**

This includes outreach, transportation, friendly visitor, telephone reassurance services, and other services designed to provide support to individuals in their own homes.

- **Nutrition:**

The Area Agencies on Aging provide both group (congregate) and home-delivered meals. Recipient donations account for approximately 20 percent of the cost of a meal. Many rural communities do not have meal programs available. Most existing programs have applicant lists for home-delivered meals.

- **Nutrition Services Incentive Program:**

During FY 2003, Congress transferred the Nutrition Services Incentive Program from the Department of Agriculture to the Administration on Aging. This grant reimburses nutrition providers for a portion of the cost of the food.

- ***Elder Abuse Prevention:***

Abuse prevention consists of a public relations campaign promoting awareness of abuse as well as the need to report abuse, neglect, and exploitation of the elderly.

- ***Preventive Health:***

Preventive Health is an outreach and educational program designed to promote healthy lifestyles among the elderly.

- ***Long Term Care Ombudsman:***

An Ombudsman program is established in UCA 62A-3-201 to assist the elderly in "asserting their civil and human rights as patients, residents, and clients of long term care facilities . . . ." There currently is staff distributed statewide throughout the AAAs to handle investigations. In addition, there are also volunteers who serve as ombudsmen.

- ***Caregiver Support Program:***

The caregiver support program is a state and federally funded program designed to provide assistance and respite to caregivers of adults suffering from long-term chronic illness. Support services aimed at reducing stress associated with care giving include counseling, training, organized support groups, respite care, and other limited supplemental services.

***Funding Detail***

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
General Fund	\$4,552,200	\$4,789,900	\$0	\$4,789,900	\$0	\$4,789,900
General Fund, One-time	\$250,000	\$100,000	\$0	\$100,000	\$50,000	\$150,000
Federal Funds	\$7,572,300	\$7,972,000	(\$369,300)	\$7,602,700	\$0	\$7,602,700
American Recovery and Reinvestment Act	\$0	\$0	\$0	\$0	\$0	\$0
Lapsing Balance	\$0	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$12,374,500</b>	<b>\$12,861,900</b>	<b>(\$369,300)</b>	<b>\$12,492,600</b>	<b>\$50,000</b>	<b>\$12,542,600</b>

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
DP Current Expense	\$0	\$0	\$0	\$0	\$0	\$0
Other Charges/Pass Thru	\$12,374,500	\$12,861,900	(\$369,300)	\$12,492,600	\$50,000	\$12,542,600
<b>Total</b>	<b>\$12,374,500</b>	<b>\$12,861,900</b>	<b>(\$369,300)</b>	<b>\$12,492,600</b>	<b>\$50,000</b>	<b>\$12,542,600</b>

**Program: Non-Formula Funds**

**Function**

The division also administers programs that have different funding sources and, as a result, do not use the funding formulas established by the *Older Americans Act*. Each program has its own funds distribution method for allocation to the AAAs and other subcontractors. These programs include:

- **Volunteer Support:**  
This is a state funded program to provide volunteer opportunities for senior citizens. These volunteer opportunities include socialization for seniors that are homebound or in nursing homes, tutoring school children, working with juvenile offenders, and staffing service agencies. The state office provides state funds to assist volunteers with their transportation costs.
- **Senior Health Insurance Information Program (SHIP):**  
This federal program operates in every county in Utah and assists seniors in understanding the complexities of the various Medicare and Medicare supplement programs as well as the Medicaid program and long-term care products.
- **Employment Services (SCSEP):**  
This federally funded program subsidizes part-time employment and training for low-income seniors.
- **Advocacy Information:**  
The division provides information for inclusion into newsletters specifically targeted to the senior population, such as Utah Spirit Magazine. These publications provide information on available services as well as senior issues.

**Funding Detail**

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
General Fund	\$111,400	\$121,000	\$0	\$121,000	\$0	\$121,000
Federal Funds	\$1,403,400	\$1,277,700	(\$8,700)	\$1,269,000	(\$102,000)	\$1,167,000
American Recovery and Reinvestment Act	\$0	\$0	\$0	\$0	\$0	\$0
Lapsing Balance	(\$400)	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$1,514,400</b>	<b>\$1,398,700</b>	<b>(\$8,700)</b>	<b>\$1,390,000</b>	<b>(\$102,000)</b>	<b>\$1,288,000</b>

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Current Expense	\$500	\$0	\$1,000	\$1,000	(\$1,000)	\$0
Other Charges/Pass Thru	\$1,513,900	\$1,398,700	(\$9,700)	\$1,389,000	(\$101,000)	\$1,288,000
<b>Total</b>	<b>\$1,514,400</b>	<b>\$1,398,700</b>	<b>(\$8,700)</b>	<b>\$1,390,000</b>	<b>(\$102,000)</b>	<b>\$1,288,000</b>

**Program: Adult Protective Services**

***Function***

Adult Protective Services (APS) is both a state and federal mandated program to protect vulnerable and elderly adults, age 18 and over, from abuse, neglect, and exploitation. Utah has a mandatory reporting law requiring all citizens to report suspected cases of abuse, neglect, and exploitation. APS investigates these referrals and takes action to protect the individual from further harm.

***Funding Detail***

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
General Fund	\$2,730,200	\$2,843,200	\$0	\$2,843,200	\$66,100	\$2,909,300
General Fund, One-time	\$0	\$0	\$6,100	\$6,100	\$6,000	\$12,100
Federal Funds	\$10,700	\$2,000	\$8,000	\$10,000	\$200	\$10,200



American Recovery and Reinvestment Act	\$0	\$0	\$0	\$0	\$0	\$0
Dedicated Credits Revenue	\$0	\$0	\$0	\$0	\$0	\$0
Beginning Nonlapsing	\$0	\$0	\$0	\$0	\$0	\$0
Closing Nonlapsing	\$0	\$0	\$0	\$0	\$0	\$0
Lapsing Balance	(\$35,600)	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$2,705,300</b>	<b>\$2,845,200</b>	<b>\$14,100</b>	<b>\$2,859,300</b>	<b>\$72,300</b>	<b>\$2,931,600</b>

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Personnel Services	\$2,279,700	\$2,372,900	\$48,000	\$2,420,900	\$69,700	\$2,490,600
In-state Travel	\$27,000	\$30,600	(\$100)	\$30,500	\$0	\$30,500
Out-of-state Travel	\$1,400	\$0	\$900	\$900	\$0	\$900
Current Expense	\$298,300	\$301,200	(\$3,300)	\$297,900	(\$800)	\$297,100
DP Current Expense	\$98,900	\$140,000	(\$31,400)	\$108,600	\$3,400	\$112,000
Other Charges/Pass Thru	\$0	\$500	\$0	\$500	\$0	\$500
<b>Total</b>	<b>\$2,705,300</b>	<b>\$2,845,200</b>	<b>\$14,100</b>	<b>\$2,859,300</b>	<b>\$72,300</b>	<b>\$2,931,600</b>

Other Indicators	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Budgeted FTE	37	36	1	36	0	36
Actual FTE	35	0	0	0	0	0
Vehicles	9	9	0	9	0	9

**Program: Aging Waiver Services**

**Function**

This waiver program (meaning a waiver from normal Medicaid statutory requirements) permits Medicaid funding for services to the elderly in non-institutional settings. These services allow seniors to maintain their independence and postpone the potential need for an institutional placement. Medicaid requires that the cost of services not exceed the cost of nursing homes.

**Funding Detail**

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
General Fund	\$1,719,100	\$1,714,200	\$0	\$1,714,200	(\$11,900)	\$1,702,300
General Fund, One-time	\$0	\$0	\$400	\$400	\$400	\$800
American Recovery and Reinvestment Act	\$0	\$0	\$0	\$0	\$0	\$0
Transfers - Medicaid	(\$740,300)	(\$605,500)	(\$99,800)	(\$705,300)	\$17,500	(\$687,800)
Lapsing Balance	\$0	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$978,800</b>	<b>\$1,108,700</b>	<b>(\$99,400)</b>	<b>\$1,009,300</b>	<b>\$6,000</b>	<b>\$1,015,300</b>

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Personnel Services	\$166,700	\$181,800	(\$2,200)	\$179,600	\$5,800	\$185,400
In-state Travel	\$1,800	\$1,300	\$1,300	\$2,600	\$0	\$2,600
Out-of-state Travel	\$2,500	\$6,600	(\$2,000)	\$4,600	\$0	\$4,600
Current Expense	\$9,200	\$11,100	\$2,500	\$13,600	\$0	\$13,600
DP Current Expense	\$6,600	\$7,900	\$1,400	\$9,300	\$200	\$9,500
Other Charges/Pass Thru	\$792,000	\$900,000	(\$100,400)	\$799,600	\$0	\$799,600
<b>Total</b>	<b>\$978,800</b>	<b>\$1,108,700</b>	<b>(\$99,400)</b>	<b>\$1,009,300</b>	<b>\$6,000</b>	<b>\$1,015,300</b>

Other Indicators	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Budgeted FTE	2	2	0	2	0	2

Actual FTE	2	0	0	0	0	0
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**Program: Aging Alternatives**

**Function**

This program makes available a wide variety of services including in-home services, personal care, home health aides, respite, day care, and transportation. Clients must meet income and eligibility guidelines to receive services and fees are assessed based on ability to pay.

**Funding Detail**

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
General Fund	\$2,883,200	\$2,920,600	\$0	\$2,920,600	\$1,600	\$2,922,200
General Fund, One-time	\$0	\$0	\$0	\$0	\$0	\$0
Federal Funds	\$1,106,100	\$1,106,100	(\$42,300)	\$1,063,800	(\$13,600)	\$1,050,200
Lapsing Balance	(\$27,000)	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$3,962,300</b>	<b>\$4,026,700</b>	<b>(\$42,300)</b>	<b>\$3,984,400</b>	<b>(\$12,000)</b>	<b>\$3,972,400</b>

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Personnel Services	\$72,600	\$75,200	(\$200)	\$75,000	\$2,000	\$77,000
In-state Travel	\$1,800	\$700	\$500	\$1,200	\$0	\$1,200
Current Expense	\$3,100	\$4,000	(\$100)	\$3,900	\$0	\$3,900
DP Current Expense	\$1,500	\$1,800	(\$200)	\$1,600	\$100	\$1,700
Other Charges/Pass Thru	\$3,883,300	\$3,945,000	(\$42,300)	\$3,902,700	(\$14,100)	\$3,888,600
<b>Total</b>	<b>\$3,962,300</b>	<b>\$4,026,700</b>	<b>(\$42,300)</b>	<b>\$3,984,400</b>	<b>(\$12,000)</b>	<b>\$3,972,400</b>

Other Indicators	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Budgeted FTE	1	1	0	1	0	1
Actual FTE	1	0	0	0	0	0

**Program: Alzheimer's State Plan Task Force**

**Function**

Senate Bill 48 in the 2011 General Session established the Alzheimer's State Plan Task Force within the Division of Aging and Adult Services. S.B. 48 also created the Alzheimer's State Plan Task Force Restricted Account and provided that the task force not go into effect until at least \$25,000 had been deposited into the account. The bill also required the task force to complete its duties and submit a report on its finding and recommendations to the Health and Human Services Interim Committee on or before its November 2011 meeting.

**Statutory Authority**

S.B. 48, *Alzheimer's State Plan Task Force* (2011 General Session) used uncodified language to establish a time limited task force to "assess the current and future impact of Alzheimer's disease and related dementia on residents of the state."

**Funding Detail**

Sources of Finance	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
Federal Funds	\$0	\$0	\$0	\$0	\$0	\$0
GFR - Alzheimer's State Plan Task Force	\$0	\$0	\$0	\$0	\$0	\$0
Lapsing Balance	\$0	\$0	\$0	\$0	\$0	\$0
Total	\$0	\$0	\$0	\$0	\$0	\$0

Categories of Expenditure	2013 Actual	2014 Approp	2014 Change	2014 Revised	2015 Change	2015 Approp
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In-state Travel	\$0	\$0	\$0	\$0	\$0	\$0
Current Expense	\$0	\$0	\$0	\$0	\$0	\$0
<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

COBI contains unaudited data as presented to the Legislature by state agencies at the time of publication. For audited financial data see the State of Utah's Comprehensive Annual Financial Reports.