

Utah Campaign Finance Disclosure Deadlines

Including provisions passed during the 2008 General Session -- Revised April 7, 2008

During the 2008 General Session, the legislature passed three bills affecting campaign finance disclosure:

- **S.B. 21 Campaign Finance Disclosure Revisions**, which made several changes making deadlines more uniform (effective Feb. 29, 2008);
- **H.B. 29 Election Law - Financial Reporting**, which requires the lieutenant governor or the municipal or county clerk to post each campaign finance disclosure statement on the internet (effective May 5, 2008); and
- **H.B. 238 Campaign Finance Filing Requirements**, which requires strict compliance of filing deadlines for financial disclosure reports (effective Feb. 28, 2008).

This table summarizes key campaign finance disclosure deadline provisions.

| Candidate / Entity | Interim Financial Disclosure Statements | Year-end Financial Disclosure Statement January 10th | Penalties for Filing Late or Not Filing | Notice / Notes |
|---|---|---|---|--|
| <p>Legislative Candidate</p> <p>20A-11, Part 3</p> | <p>Every candidate that files a declaration of candidacy must file a financial statement on the following dates during the election cycle:</p> <ol style="list-style-type: none"> 1. Seven days before the candidate's political convention 2. Seven days before the regular primary (Due on Jun. 17 in 2008) 3. August 31 (Due on Sep. 2 in 2008) 4. Seven days before the regular general election (Due on Oct. 28 in 2008) <p>The report must contain receipts and expenditures as of five days before the due date.</p> <p>(Section 20A-11-303)</p> | <p>Must be filed on January 10 of each year until a statement of dissolution is filed</p> <p>(Section 20A-11-302)</p> | <p>Removal from ballot</p> <ul style="list-style-type: none"> • If a candidate fails to file interim statement 2, 3, or 4 on time, the candidate is removed from the ballot and votes for the candidate are not counted <p>Requirement to File/Correct Year-end Statement</p> <ul style="list-style-type: none"> • The lieutenant governor notifies the candidate of the late or missing filing, or that a complaint has been filed claiming the filing is wrong • The candidate has 14 days to file a statement or a corrected statement • Failure to file after 14 days is a class B misdemeanor reported to the attorney general <p>(Section 20A-11-305)</p> | <p>Each disclosure statement is required whether or not the candidate is unopposed</p> <p>Notice</p> <p>The lieutenant governor gives each candidate 10-days notice of each statement that is due (Section 20A-11-103), except the notice for the interim statement due prior to the political convention, which is given at the time of filing a declaration of candidacy by the filing officer (Subsection 20A-9-201(4)(c))</p> |

| Candidate / Entity | Interim Financial Disclosure Statements | Year-end Financial Disclosure Statement January 10th | Penalties for Filing Late or Not Filing | Notice / Notes |
|--|--|--|--|---|
| State Office Candidate Gov., Lt. Gov., A.G., Auditor, and Treasurer 20A-11, Part 2 | <i>(See Legislative Candidate)</i> (Section 20A-11-204) | <i>(See Legislative Candidate)</i> (Section 20A-11-203) | <i>(See Legislative Candidate)</i> (Section 20A-11-206) | <i>(See Legislative Candidate)</i> |
| Officeholder (State officer, Legislator, state and local school board) 20A-11, Part 4 | None, unless a declaration of candidacy is filed in that year | <i>(See Legislative Candidate)</i> (See Section 20A-11-401) | <i>(See Legislative Candidate)</i> (Section 20A-11-403) | |
| Political Parties 20A-11, Part 5 | Required only in regular general election years (even numbered years) on the following dates: <ul style="list-style-type: none"> • August 31 (Due on Sep. 2 in 2008) • Seven days before the regular general election (Due on Oct. 28 in 2008) (Section 20A-11-507) | <i>(See Legislative Candidate)</i> (Section 20A-11-506) | Failure to file an interim statement is a class B misdemeanor <i>(See Legislative Candidate for requirement to file/correct statements)</i> (Section 20A-11-508) | The lieutenant governor gives each reporting entity 10-days notice of each statement that is due (Section 20A-11-103) |

| Candidate / Entity | Interim Financial Disclosure Statements | Year-end Financial Disclosure Statement January 10th | Penalties for Filing Late or Not Filing | Notice / Notes |
|--|---|---|---|--|
| <p>Political Action Committees (PACs)</p> <p>20A-11, Part 6</p> | <p>Required only in years that the PAC receives at least \$750 or makes expenditures totaling at least \$50; and due on the following dates:</p> <ul style="list-style-type: none"> • August 31 (Due on Sep. 2 in 2008) • Seven days before the regular general election (Due on Oct. 28 in 2008) <p>(Section 20A-11-602)</p> | <p><i>(See Legislative Candidate)</i></p> <p>(Section 20A-11-601)</p> | <p><i>(See Political Parties)</i></p> <p>(Section 20A-11-603)</p> | <p>Expenditure threshold was changed from \$750 to \$50 in 2008</p> <p>Filing requirements apply to PACs for state, county, and municipal candidates (HB 29)</p> <p><i>(See Political Parties)</i></p> |

| Candidate / Entity | Interim Financial Disclosure Statements | Year-end Financial Disclosure Statement January 10th | Penalties for Filing Late or Not Filing | Notice / Notes |
|--|---|---|--|--|
| <p>Political Issues Committees PICs</p> <p>20A-11, Part 8</p> | <p>Required only in years that the PIC receives at least \$750 or makes expenditures totaling at least \$50; and due on the following dates (including statements 3 and 4):</p> <ul style="list-style-type: none"> • seven days before the incorporation election, as applicable • three days before the first public hearing on an issue associated with the PIC • at the time the initiative or referendum packets associated with the PIC are submitted • August 31 (Due on Sep. 2 in 2008) • seven days before the regular general election (Due on Oct. 28 in 2008) <p>(Section 20A-11-802)</p> | <p><i>(See Legislative Candidate)</i></p> <p>(Section 20A-11-801)</p> | <p>Failure to file an interim statement that is due on August 31 or seven days before the regular general election is a class B misdemeanor</p> <p><i>(See Legislative Candidate for requirement to file/correct statements)</i></p> <p>(Section 20A-11-803)</p> | <p>Filing requirements apply to PICs for any ballot proposition, whether state or local (HB 29)</p> <p><i>(See Political Parties)</i></p> <p>Note that the lieutenant governor only provides notice of the August 31 report and the pre-general election report.</p> |

| Candidate / Entity | Interim Financial Disclosure Statements | Year-end Financial Disclosure Statement January 10th | Penalties for Filing Late or Not Filing | Notice / Notes |
|--|--|--|---|--|
| Corporations 20A-11, Part 7 | Required only in years that the corporation makes expenditures totaling at least \$750; and due on the following dates (statements 3 and 4): <ul style="list-style-type: none"> • August 31 (Due on Sep. 2 in 2008) • Seven days before the regular general election (Due on Oct. 28 in 2008) (Section 20A-11-702) | Required on January 10 for years that the corporation makes expenditures totaling at least \$750 (Sections 20A-11-701 and 20A-11-702) | <i>(See Political Parties)</i> (Section 20A-11-703) | |
| State School Board Candidate 20A-11, Part 13 | <i>(See Legislative Candidate except that statement 1 must be filed by May 15 instead of seven days before a political convention)</i> (Section 20A-11-1303) | <i>(See Legislative Candidate)</i> (Section 20A-11-1302) | <i>(See Legislative Candidate)</i> (Section 20A-11-1305) | <i>(See Legislative Candidate)</i> |
| Local School Board Candidate 20A-11, Part 13 | <i>(See Legislative Candidate except that statement 1 is not required)</i> (Section 20A-11-1303) | <i>(See Legislative Candidate)</i> (Section 20A-11-1302) | <i>(See Legislative Candidate)</i> (Section 20A-11-1305) | <i>(See Legislative Candidate except that the county clerk provides the notices)</i> (Section 20A-11-103) |

| Candidate / Entity | Interim Financial Disclosure Statements | Year-end Financial Disclosure Statement January 10th | Penalties for Filing Late or Not Filing | Notice / Notes |
|-------------------------------------|--|--|--|---|
| Judicial Retention Candidate | Required only in regular general election years (even numbered years) on the date that is (statement 4): <ul style="list-style-type: none"> seven days before the regular general election (Oct. 28 in 2008) (20A-12-305) | Required on January 10 after the close of the regular general election year (Section 20A-12-304) | <i>(See Legislative Candidate)</i> (Section 20A-12-306) | <i>(See Legislative Candidate)</i> A judge's retention election personal campaign committee, if established, is required to file the statements (Section 20A-12-302) |