

Effective 5/13/2014

31A-23a-104 Application for individual license -- Application for agency license.

- (1) This section applies to an initial or renewal license as a:
 - (a) producer;
 - (b) surplus lines producer;
 - (c) limited line producer;
 - (d) consultant;
 - (e) managing general agent; or
 - (f) reinsurance intermediary.
- (2)
 - (a) Subject to Subsection (2)(b), to obtain or renew an individual license, an individual shall:
 - (i) file an application for an initial or renewal individual license with the commissioner on forms and in a manner the commissioner prescribes; and
 - (ii) pay a license fee that is not refunded if the application:
 - (A) is denied; or
 - (B) is incomplete when filed and is never completed by the applicant.
 - (b) An application described in this Subsection (2) shall provide:
 - (i) information about the applicant's identity;
 - (ii) the applicant's Social Security number;
 - (iii) the applicant's personal history, experience, education, and business record;
 - (iv) whether the applicant is 18 years of age or older;
 - (v) whether the applicant has committed an act that is a ground for denial, suspension, or revocation as set forth in Section 31A-23a-105 or 31A-23a-111;
 - (vi) if the application is for a resident individual producer license, certification that the applicant complies with Section 31A-23a-203.5; and
 - (vii) any other information the commissioner reasonably requires.
- (3) The commissioner may require a document reasonably necessary to verify the information contained in an application filed under this section.
- (4) An applicant's Social Security number contained in an application filed under this section is a private record under Section 63G-2-302.
- (5)
 - (a) Subject to Subsection (5)(b), to obtain or renew an agency license, a person shall:
 - (i) file an application for an initial or renewal agency license with the commissioner on forms and in a manner the commissioner prescribes; and
 - (ii) pay a license fee that is not refunded if the application:
 - (A) is denied; or
 - (B) is incomplete when filed and is never completed by the applicant.
 - (b) An application described in Subsection (5)(a) shall provide:
 - (i) information about the applicant's identity;
 - (ii) the applicant's federal employer identification number;
 - (iii) the designated responsible licensed individual;
 - (iv) the identity of the owners, partners, officers, and directors;
 - (v) whether the applicant has committed an act that is a ground for denial, suspension, or revocation as set forth in Section 31A-23a-105 or 31A-23a-111; and
 - (vi) any other information the commissioner reasonably requires.

Amended by Chapter 290, 2014 General Session

Amended by Chapter 300, 2014 General Session

