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1	PUBLIC ATTORNEYS ACT AMENDMENTS
2	2000 GENERAL SESSION
3	STATE OF UTAH
4	Sponsor: Greg J. Curtis
5	AN ACT RELATING TO STATE AFFAIRS; DEFINING THE ATTORNEY-CLIENT
6	RELATIONSHIP BETWEEN THE COUNTY OR DISTRICT ATTORNEY AND THE
7	ELECTED COUNTY EXECUTIVE OR COUNTY LEGISLATIVE BODY; REVISING THE
8	GENERAL DUTIES OF THE ATTORNEY GENERAL; OUTLINING THE RELATIONSHIP
9	OF THE PUBLIC CLIENT AND THE ATTORNEY GENERAL; OUTLINING PROVISIONS
10	FOR THE GOVERNOR TO APPEAR IN A CIVIL LEGAL ACTION; REPEALING THE
11	PUBLIC ATTORNEYS ACT; AND PROVIDING EFFECTIVE DATES.
12	This act affects sections of Utah Code Annotated 1953 as follows:
13	AMENDS:
14	67-5-1 (Effective 01/01/01), as last amended by Chapters 371 and 372, Laws of Utah 1999
15	67-5-1 (Superseded 01/01/01), as last amended by Chapter 371, Laws of Utah 1999
16	ENACTS:
17	17-5-301 , Utah Code Annotated 1953
18	17-18-6, Utah Code Annotated 1953
19	67-5-17, Utah Code Annotated 1953
20	REPEALS:
21	67-23-101 (Effective 01/01/01), as enacted by Chapter 372, Laws of Utah 1999
22	67-23-102 (Effective 01/01/01), as enacted by Chapter 372, Laws of Utah 1999
23	67-23-103 (Effective 01/01/01), as enacted by Chapter 372, Laws of Utah 1999
24	67-23-201 (Effective 01/01/01), as enacted by Chapter 372, Laws of Utah 1999
25	67-23-202 (Effective 01/01/01), as enacted by Chapter 372, Laws of Utah 1999
26	Be it enacted by the Legislature of the state of Utah:
27	Section 1. Section 17-5-301 is enacted to read:

28	17-5-301. Control and direction of litigation.
29	(1) (a) For a county that has adopted an optional form of county government that provides
30	for an elected county executive, the control of the prosecution and defense of any civil action under
31	Subsection 17-18-6(3) to which the county is a party, or if not a party, where the county has
32	assumed the defense and indemnification of county officers, employees, or volunteers, shall vest
33	in the elected county executive.
34	(b) If a county's form of government does not provide for an elected county executive, the
35	control of the prosecution and defense of any civil action under Subsection 17-18-6(3) to which
36	the county is a party, or if not a party, where the county has assumed the defense and
37	indemnification of county officers, employees, or volunteers, shall vest in the county legislative
38	<u>body.</u>
39	(c) The control and direction of the prosecution of any civil action to which any elected
40	county officer in his or her official capacity is a party under Subsection 17-18-6(1) and where the
41	county is not also named as a party shall vest in the elected officer or the officer's designee.
42	(2) The representation and the relationship between the county or district attorney and the
43	client shall be subject to the limitations and requirements of Section 17-18-6 and such other
44	limitations and requirements as are imposed by the Rules of Professional Conduct or applicable
45	statute.
46	(3) The county, upon the request of the county or district attorney or as otherwise
47	authorized by law, may employ counsel to assist the county or district attorney in conducting such
48	actions and representing the county and its officers, employees, and volunteers.
49	Section 2. Section 17-18-6 is enacted to read:
50	17-18-6. Attorney-client relationship.
51	(1) As used in this section, "client" means:
52	(a) For a county that has adopted an optional form of county government that provides for
53	an elected county executive, the client is the elected county executive.
54	(b) If a county's form of government does not provide for an elected county executive, the
55	client is the county legislative body.
56	(2) In action brought by a constituent officer of the county in matters to which the county
57	is not a party, the county or district attorney in representing the officer shall:
58	(a) keep the officer or the officer's designee reasonably informed about the status of a

59	matter and promptly comply with reasonable requests for information;
60	(b) explain a matter to the extent reasonably necessary to enable the officer or the officer's
61	designee to make informed decisions regarding the representation;
62	(c) abide by the officer's or designee's decisions concerning the objectives of the
63	representation and consult with the officer or designee as to the means by which they are to be
64	pursued; and
65	(d) jointly by agreement, establish protocols with the officer to facilitate communications
66	and working relationships with the officer or agencies under the officer's supervision.
67	(3) When the county or district attorney institutes or maintains a civil enforcement action
68	on behalf of the county that is authorized by law and which is not covered under Subsection
68a	$\hat{h} \left[\frac{(1)}{2} \right] \hat{h}$
69	the county or district attorney shall:
70	(a) fully advise the client, as the officer in whom the executive authority of the county is
71	vested, or a designee of the client, before instituting the action, entering into a settlement or
72	consent decree, or taking an appeal; and
73	(b) keep the client reasonably informed about the status of the matter and promptly comply
74	with reasonable requests for information.
75	(4) In a civil action not covered in Subsection $\hat{\mathbf{h}}$ [(1) or (2)] (2) OR (3) $\hat{\mathbf{h}}$ to which the county
75a	is a party, or
76	in which the county is not a party but has assumed the defense and indemnification of officers,
77	employees, or volunteers of the county, the county or district attorney shall:
78	(a) keep the client and the officer, employee, or volunteer reasonably informed about the
79	status of the matter and promptly comply with reasonable requests for information;
80	(b) explain the matter to the extent reasonably necessary to enable the client to make
81	informed decisions regarding the representation and the officer, employee, or volunteer to
82	participate in the representation; and
83	(c) abide by the client's decisions concerning the objectives of the representation and
84	consult with the client as to the means by which they are to be pursued.
85	(5) Nothing in this section supercedes, modifies, or limits any independent legal authority
86	granted specifically by statute to the county or district attorney.
87	Section 3. Section 67-5-1 (Effective 01/01/01) is amended to read:
88	67-5-1 (Effective 01/01/01). General duties.
89	The attorney general shall[, subject to Title 67, Chapter 23, Public Attorneys Act]:

- 3 -

90	(1) perform all duties in a manner consistent with the attorney-client relationship under
91	Section 67-5-17;
92	[(1)] (2) except as provided in Sections 10-3-928 and 17-18-1, attend the Supreme Court
93	and the Court of Appeals of this state, and all courts of the United States, and[, as attorney,]
94	prosecute or defend all causes to which the state, or any officer, board, or commission of the state
95	in an official capacity is a party; and \hat{h} TAKE CHARGE \hat{h} , as attorney \hat{h} [, represent the state in] OF \hat{h}
95a	all civil legal matters in
96	which the state is interested;
97	[(2) with approval of the client:]
98	[(a) initiate legal proceedings in a court of competent jurisdiction on behalf of the state,
99	or any officer, board, commission, agency, or instrumentality of the state for the purpose of
100	opposing or challenging federal laws, regulations, or court orders and their impact on or
101	applicability to the state; and]
102	[(b) as the budget permits, retain outside legal counsel with appropriate expertise to
103	represent the state in the legal proceedings;]
104	(3) after judgment on any cause referred to in Subsection (1), direct[, with approval of the
105	client,] the issuance of process as necessary to execute the judgment;
106	(4) account for, and pay over to the proper officer, all moneys that come into the attorney
107	general's possession that belong to the state;
108	(5) keep a file of all cases in which the attorney general is required to appear, including
109	any documents and papers showing the court in which the cases have been instituted and tried, and
110	whether they are civil or criminal, and:
111	(a) if civil, the nature of the demand, the stage of proceedings, and when prosecuted to
112	judgment, a memorandum of the judgment and of any process issued whether satisfied, and if not
113	satisfied, the return of the sheriff;
114	(b) if criminal, the nature of the crime, the mode of prosecution, the stage of proceedings,
115	and when prosecuted to sentence, a memorandum of the sentence and of the execution, if the
116	sentence has been executed, if not executed, of the reason of the delay or prevention; and
117	(c) deliver this information to the attorney general's successor in office;
118	(6) exercise supervisory powers over the district and county attorneys of the state in all
119	matters pertaining to the duties of their offices, and from time to time require of them reports of
120	the condition of public business entrusted to their charge:

- 4 -

(7) give the attorney general's opinion in writing and without fee to the Legislature or either house, and to any state officer, board, or commission, and to any county attorney or district attorney, when required, upon any question of law relating to their respective offices;

- (8) when required by the public service or directed by the governor, assist any district or county attorney in the discharge of his duties;
- (9) purchase in the name of the state, under the direction of the state Board of Examiners, any property offered for sale under execution issued upon judgments in favor of or for the use of the state, and enter satisfaction in whole or in part of the judgments as the consideration of the purchases;
- (10) when the property of a judgment debtor in any judgment mentioned in Subsection (9) has been sold under a prior judgment, or is subject to any judgment, lien, or encumbrance taking precedence of the judgment in favor of the state, redeem the property, under the direction of the state Board of Examiners, from the prior judgment, lien, or encumbrance, and pay all money necessary for the redemption, upon the order of the state Board of Examiners, out of any money appropriated for these purposes;
- (11) when in his opinion it is necessary for the collection or enforcement of any judgment, institute and prosecute on behalf of the state any action or proceeding necessary to set aside and annul all conveyances fraudulently made by the judgment debtors, and pay the cost necessary to the prosecution, when allowed by the state Board of Examiners, out of any money not otherwise appropriated;
- (12) discharge the duties of a member of all official boards of which the attorney general is or may be made a member by the Utah Constitution or by the laws of the state, and other duties prescribed by law;
- (13) institute and prosecute proper proceedings in any court of the state or of the United States, to restrain and enjoin corporations organized under the laws of this or any other state or territory from acting illegally or in excess of their corporate powers or contrary to public policy, and in proper cases forfeit their corporate franchises, dissolve the corporations, and wind up their affairs;
- (14) institute investigations for the recovery of all real or personal property that may have escheated or should escheat to the state, and for that purpose, subpoena any persons before any of the district courts to answer inquiries and render accounts concerning any property, examine all

152 books and papers of any corporations, and when any real or personal property is discovered that 153 should escheat to the state, institute suit in the district court of the county where the property is 154 situated for its recovery, and escheat that property to the state; (15) administer the Children's Justice Center as a program to be implemented in various 155 156 counties pursuant to Sections 67-5b-101 through 67-5b-107; and 157 (16) assist the Constitutional Defense Council as provided in Title 63C, Chapter 4, Constitutional Defense Council. 158 159 Section 4. Section **67-5-1** (**Superseded 01/01/01**) is amended to read: 160 67-5-1 (Superseded 01/01/01). General duties. 161 The attorney general shall: 162 (1) perform all duties in a manner consistent with the attorney-client relationship under Section 67-5-17; 163 164 [(1)] (2) except as provided in Sections 10-3-928 and 17-18-1, attend the Supreme Court 165 and the Court of Appeals of this state, and all courts of the United States, and prosecute or defend 166 all causes to which the state, or any officer, board, or commission of the state in an official 167 capacity is a party; and take charge, as attorney, of all civil legal matters in which the state is 168 interested; 169 [(2) when jointly agreed by the governor and the attorney general:] 170 [(a) initiate legal proceedings in a court of competent jurisdiction on behalf of the state, 171 or any officer, board, commission, agency, or instrumentality of the state for the purpose of 172 opposing or challenging federal laws, regulations, or court orders and their impact on or 173 applicability to the state; and] 174 (b) as the budget permits, retain outside legal counsel with appropriate expertise to 175 represent the state in the legal proceedings; 176 (3) after judgment on any cause referred to in Subsection (1), direct the issuance of process 177 as necessary to execute the judgment: 178 (4) account for, and pay over to the proper officer, all moneys [which] that come into [his] 179 the attorney general's possession[,] that belong to the state; 180 (5) keep a file of all cases in which [he] the attorney general is required to appear, 181 including any documents and papers showing the court in which the cases have been instituted and 182 tried, and whether they are civil or criminal, and:

(a) if civil, the nature of the demand, the stage of proceedings, and when prosecuted to judgment, a memorandum of the judgment and of any process issued whether satisfied, and if not satisfied, the return of the sheriff;

- (b) if criminal, the nature of the crime, the mode of prosecution, the stage of proceedings, and when prosecuted to sentence, a memorandum of the sentence and of the execution, if the sentence has been executed, if not executed, of the reason of the delay or prevention; and
 - (c) deliver this information to [his] the attorney general's successor in office;
- (6) exercise supervisory powers over the district and county attorneys of the state in all matters pertaining to the duties of their offices, and from time to time require of them reports of the condition of public business entrusted to their charge;
- (7) give [his] the attorney general's opinion in writing and without fee to the Legislature or either house, and to any state officer, board, or commission, and to any county attorney or district attorney, when required, upon any question of law relating to their respective offices;
- (8) when required by the public service or directed by the governor, assist any district or county attorney in the discharge of his duties;
- (9) purchase in the name of the state, under the direction of the state Board of Examiners, any property offered for sale under execution issued upon judgments in favor of or for the use of the state, and enter satisfaction in whole or in part of the judgments as the consideration of the purchases;
- (10) when the property of a judgment debtor in any judgment mentioned in Subsection (9) has been sold under a prior judgment, or is subject to any judgment, lien, or encumbrance taking precedence of the judgment in favor of the state, redeem the property, under the direction of the state Board of Examiners, from the prior judgment, lien, or encumbrance, and pay all money necessary for the redemption, upon the order of the state Board of Examiners, out of any money appropriated for these purposes;
- (11) when in his opinion it is necessary for the collection or enforcement of any judgment, institute and prosecute on behalf of the state any action or proceeding necessary to set aside and annul all conveyances fraudulently made by the judgment debtors, and pay the cost necessary to the prosecution, when allowed by the state Board of Examiners, out of any money not otherwise appropriated;
 - (12) discharge the duties of a member of all official boards of which [he] the attorney

general is or may be made a member by the Utah Constitution or by the laws of the state, and other duties prescribed by law;

- (13) institute and prosecute proper proceedings in any court of the state or of the United States, to restrain and enjoin corporations organized under the laws of this or any other state or territory from acting illegally or in excess of their corporate powers or contrary to public policy, and in proper cases forfeit their corporate franchises, dissolve the corporations, and wind up their affairs;
- (14) institute investigations for the recovery of all real or personal property that may have escheated or should escheat to the state, and for that purpose [he may cite], subpoena any persons before any of the district courts to answer inquiries and render accounts concerning any property, [may] examine all books and papers of any corporations, and when any real or personal property is discovered that should escheat to the state, [the attorney general shall] institute suit in the district court of the county where the property is situated for its recovery, and escheat that property to the state;
- (15) administer the Children's Justice Center as a program to be implemented in various counties pursuant to Sections 67-5b-101 through 67-5b-107; and
- (16) assist the Constitutional Defense Council as provided in Title 63C, Chapter 4, Constitutional Defense Council.
- Section 5. Section **67-5-17** is enacted to read:
- **67-5-17.** Attorney-client relationship.

- (1) When representing the governor, lieutenant governor, auditor, or treasurer or when representing an agency under the supervision of any of those officers, the attorney general shall:
- (a) keep the officer or the officer's designee reasonably informed about the status of a matter and promptly comply with reasonable requests for information;
- (b) explain a matter to the extent reasonably necessary to enable the officer or the officer's designee to make informed decisions regarding the representation;
- (c) abide by the officer's or designee's decisions concerning the objectives of the representation and consult with the officer or designee as to the means by which they are to be pursued; and
- 243 (d) jointly by agreement, establish protocols with the officer to facilitate communications 244 and working relationships with the officer or agencies under the officer's supervision.

245	(2) Nothing in Subsection (1) modifies or supercedes any independent legal authority
246	granted specifically by statute to the attorney general.
247	(3) When the attorney general institutes or maintains a civil enforcement action on behalf
248	of the state of Utah that is not covered under Subsection (1), the attorney general shall:
249	(a) fully advise the governor, as the officer in whom the executive authority of the state
250	is vested, before instituting the action, entering into a settlement or consent decree, or taking an
251	appeal; and
252	(b) keep the governor reasonably informed about the status of the matter and promptly
253	comply with reasonable requests for information.
254	(4) In a civil action not covered under Subsection (1) or (3), the attorney general shall:
255	(a) keep the governor reasonably informed about the status of the matter and promptly
256	comply with reasonable requests for information;
257	(b) explain the matter to the extent reasonably necessary to enable the governor to make
258	informed decisions regarding the representation; and
259	(c) abide by the governor's decisions concerning the objectives of the representation and
260	consult with the governor as to the means by which they are to be pursued.
261	(5) The governor may appear in any civil legal action involving the state and appoint legal
262	counsel to advise or appear on behalf of the governor. The court shall allow the governor's
263	appearance.
264	Section 6. Repealer.
265	This act repeals:
266	Section 67-23-101 (Effective 01/01/01), Title.
267	Section 67-23-102 (Effective 01/01/01), Definitions.
268	Section 67-23-103 (Effective 01/01/01), Scope of chapter.
269	Section 67-23-201 (Effective 01/01/01), Public attorneys Clients Responsibilities
270	to clients.
271	Section 67-23-202 (Effective 01/01/01), Public attorneys Responsibilities under Rules
272	of Professional Conduct.
273	Section 7. Effective date.
274	(1) Sections 17-5-301 and 17-18-6; Section 4, Section 67-5-1 (Superseded 01/01/01); and
275	Section 67-5-17 take effect on May 1, 2000.

276 (2) Section 3, Section 67-5-1 (Effective 01/01/01) takes effect January 1, 2001.

(3) The repeal of Sections 67-23-101, 67-23-102, 67-23-103, 67-23-201, and 67-23-202 take effect on January 1, 2001.

Legislative Review Note as of 2-7-00 12:17 PM

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278

A limited legal review of this legislation raises no obvious constitutional or statutory concerns.

Office of Legislative Research and General Counsel