1	FACILITATION OF E-GOVERNMENT
2	2003 GENERAL SESSION
3	STATE OF UTAH
4	Sponsor: David H. Steele
5	This act modifies the Uniform Electronic Transactions Act and construction statutes to
6	facilitate government agencies providing services electronically and to make technical
7	changes.
8	This act affects sections of Utah Code Annotated 1953 as follows:
9	AMENDS:
10	46-4-502, as enacted by Chapter 138, Laws of Utah 2001
11	63D-1-301.5, as last amended by Chapters 12 and 346, Laws of Utah 2000
12	68-3-12, as last amended by Chapter 241, Laws of Utah 2001
13	RENUMBERS AND AMENDS:
14	46-4-503, (Renumbered from 63D-1-105, as enacted by Chapter 307, Laws of Utah
15	1999)
16	Be it enacted by the Legislature of the state of Utah:
17	Section 1. Section 46-4-502 is amended to read:
18	46-4-502. Providing services or information electronically Interpretation of
19	terms in Utah Code.
20	[For purposes of this part:]
21	(1) To provide services or information electronically, a state governmental entity may
22	implement the terms listed in Subsection (2) in accordance with this section:
23	(a) when the term is used in the Utah Code; and
24	(b) if the implementation is not:
25	(i) inconsistent with the manifest intent of the Legislature; or
26	(ii) repugnant to the context of the statute.
27	(2) Subsection (1) applies to the terms listed in this Subsection (2).

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28	[(1)] (a) "Copy" may include an electronic version of a document.
29	[(2)] (b) "Mail" may include sending a document electronically[, provided that] if the
30	recipient can accept and process the electronic writing.
31	[(3)] (c) "Mailing address" may include an electronic mailing address capable of
32	receiving and processing an electronic writing.
33	[(4)] (d) "Sign" or "signature" may include any form of electronic signature authorized
34	by the governmental agency.
35	[(5)] (e) "Written" or "writing" [means] may include information that is:
36	[(a)] (i) inscribed on a tangible medium; or
37	[(b)] (ii) (A) stored in an electronic or other medium; and
38	(B) is retrievable in a perceivable form.
39	Section 2. Section 46-4-503, which is renumbered from Section 63D-1-105 is
40	renumbered and amended to read:
41	[63D-1-105]. <u>46-4-503.</u> Government products and services provided
42	electronically.
43	(1) [The appropriate] Notwithstanding Section 46-4-501, a state [entities]
44	governmental agency that administers one or more of the following transactions shall allow
44 45	governmental agency that administers one or more of the following transactions shall allow [the following services to be transacted through the Internet by July 1, 2002] those transactions
45	[the following services to be transacted through the Internet by July 1, 2002] those transactions
45 46	[the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically:
45 46 47	[the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) <u>an</u> application for [and] <u>or</u> renewal of <u>a</u> professional [and] <u>or</u> occupational
45 46 47 48	[the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) <u>an</u> application for [and] <u>or</u> renewal of <u>a</u> professional [and] <u>or</u> occupational [ticenses] license issued under Title 58, Occupations and Professions;
45 46 47 48 49	 [the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) <u>an</u> application for [and] <u>or</u> renewal of <u>a</u> professional [and] <u>or</u> occupational [Hicenses] <u>license issued under Title 58, Occupations and Professions;</u> (b) <u>the</u> renewal of <u>a</u> drivers [Hicenses] <u>license;</u>
45 46 47 48 49 50	 [the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) <u>an</u> application for [and] <u>or</u> renewal of <u>a</u> professional [and] <u>or</u> occupational [Hicenses] <u>license issued under Title 58, Occupations and Professions;</u> (b) <u>the</u> renewal of <u>a</u> drivers [Hicenses] <u>license;</u> (c) <u>an</u> application for <u>a</u> hunting [and] <u>or</u> fishing [Hicenses] <u>license;</u>
45 46 47 48 49 50 51	 [the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) <u>an</u> application for [and] <u>or</u> renewal of <u>a</u> professional [and] <u>or</u> occupational [Hicenses] <u>license issued under Title 58, Occupations and Professions;</u> (b) <u>the</u> renewal of <u>a</u> drivers [Hicenses] <u>license;</u> (c) <u>an</u> application for <u>a</u> hunting [and] <u>or</u> fishing [Hicenses] <u>license;</u> (d) [filings for income tax, sales tax,] <u>the filing of:</u>
45 46 47 48 49 50 51 52	 [the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) <u>an</u> application for [and] <u>or</u> renewal of <u>a</u> professional [and] <u>or</u> occupational [Hicenses] license issued under Title 58, Occupations and Professions; (b) <u>the</u> renewal of <u>a</u> drivers [Hicenses] license; (c) <u>an</u> application for <u>a</u> hunting [and] <u>or</u> fishing [Hicenses] license; (d) [filings for income tax, sales tax,] the filing of: (i) a return under Title 59, Chapter § [7, 10,] 10 § or 12;
45 46 47 48 49 50 51 52 53	 [the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) <u>an</u> application for [and] <u>or</u> renewal of <u>a</u> professional [and] <u>or</u> occupational [licenses] license issued under Title 58, Occupations and Professions; (b) <u>the</u> renewal of <u>a</u> drivers [licenses] license; (c) <u>an</u> application for <u>a</u> hunting [and] <u>or</u> fishing [licenses] license; (d) [filings for income tax, sales tax,] the filing of: (i) a return under Title 59, Chapter \$ [7, 10,] 10 \$ or 12; (ii) <u>a</u> court [documents, and] document \$, AS DEFINED BY THE JUDICIAL COUNCIL \$; or
45 46 47 48 49 50 51 52 53 54	 [the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) an application for [and] or renewal of a professional [and] or occupational [licenses] license issued under Title 58, Occupations and Professions; (b) the renewal of a drivers [licenses] license; (c) an application for a hunting [and] or fishing [licenses] license; (d) [fillings for income tax, sales tax,] the filling of: (i) a return under Title 59, Chapter \$ [7, 10,] 10 \$ or 12; (ii) a court [documents, and] document \$, AS DEFINED BY THE JUDICIAL COUNCIL \$; or (iii) a document under Title 70A, Uniform Commercial Code;
45 46 47 48 49 50 51 52 53 54 55	 [the following services to be transacted through the Internet by July 1, 2002] those transactions to be conducted electronically: (a) an application for [and] or renewal of a professional [and] or occupational [ticenses] license issued under Title 58, Occupations and Professions; (b) the renewal of a drivers [licenses] license; (c) an application for a hunting [and] or fishing [licenses] license; (d) [filings for income tax, sales tax,] the filing of: (i) a return under Title 59, Chapter \$ [7, 10,] 10 \$ or 12; (ii) a court [documents, and] document \$, AS DEFINED BY THE JUDICIAL COUNCIL \$; or (iii) a document under Title 70A, Uniform Commercial Code; (e) [registrations] a registration for [products, brands, motor vehicles, corporations, and

59	§ [(iii)] (f) A RENEWAL OF A REGISTRATION OF § a motor vehicle;
60	§ [(f)] (g) ş a registration under:
61	(i) Title 16, Corporations;
62	(ii) Title 42, Names; or
63	(iii) Title 48, Partnerships; or
64	[(f)] (g) submission of an application for [unemployment, welfare, and health] benefits:
65	(i) under Title 35A, Chapter 3, Employment Support Act;
66	(ii) under Title 35A, Chapter 4, Employment Security Act; or
67	(iii) related to accident and health insurance.
68	(2) The state system of public education, in coordination with the Utah Education
69	Network, shall make reasonable progress toward making the following services available
70	[through the Internet by July 1, 2002;] electronically:
71	(a) secure access by parents and students to student grades and progress reports;
72	(b) [email] e-mail communications with:
73	(i) teachers[;];
74	(ii) parent-teacher associations[;]; and
75	(iii) school administrators;
76	(c) access to school calendars and schedules; and
77	(d) teaching resources that may include:
78	(i) teaching plans[,];
79	(ii) curriculum guides[,]; and
80	(iii) media resources.
81	(3) [State entities] A state governmental agency shall:
82	(a) in carrying out the requirements of this section, take reasonable steps to ensure the
83	security and privacy of records that are private or controlled as defined by Title 63, Chapter 2,
84	Government Records Access and Management Act;
85	(b) in addition to those [required services] transactions listed in Subsections (1)
86	§ [through (3)] AND (2) § , determine any additional services [which] that may be made available to
86a	the public
87	through electronic means[, including the Internet, by July 1, 2002]; and
88	(c) as part of [their] the state governmental agency's budget [reports] report to the
89	Information Technology Commission prescribed by Section 63D-1-204, report on the progress

90 of compliance with Subsections (1) through (3). 91 (4) [A] Notwithstanding the other provisions of this part, a state [entity] governmental 92 agency is not required by this part to [provide] conduct a transaction [through the Internet that 93 is] electronically if: 94 (a) conducting the transaction electronically is not required by federal law; and 95 (b) conducting the transaction electronically is: 96 (i) impractical[,]; 97 (ii) unreasonable[,]; or 98 (iii) not permitted by laws pertaining to privacy or security. 99 Section 3. Section 63D-1-301.5 is amended to read: 100 63D-1-301.5. Chief information officer -- Duties. 101 (1) The chief information officer shall: 102 (a) develop specific information technology objectives, policies, procedures, and 103 standards to guide the development of information systems within state government to achieve 104 maximum economy and quality while preserving optimum user flexibility, including: 105 (i) policies, standards, and procedures for appropriate interchange of information, 106 optimum service, and minimum costs; 107 (ii) policies for costing all information technology services performed by any state 108 information technology cost recovery center so that every cost recovery center charges its users 109 a rate for services that is both equitable and sufficient to recover all the costs of its operation, 110 including the cost of capital equipment and facilities; (iii) policies governing coordination, cooperation, joint efforts, working relationships, 111 and cost accounting relative to the development and maintenance of information technology 112 113 and information systems; and 114 (iv) policies to ensure the protection of individual privacy and guarantee the exclusive 115 control to a user of its own data; 116 (b) coordinate the preparation of agency information technology plans within state 117 government, encompassing both short-term and long-term needs that support the agency's and 118 the state's strategic plans, including Utah Tomorrow; 119 (c) require each state agency to submit semiannually an agency information technology 120 plan containing the information required by Subsection (2) before the legislative session in

121 which the budget request will be heard and no later than the June 15 after the legislative 122 session in which the budget request was authorized to the chief information officer; 123 (d) upon receipt of a state agency's information technology plan: 124 (i) provide a complete copy of that plan to the director of the Division of Information 125 Technology Services; 126 (ii) review and approve or disapprove agency information technology plans to ensure 127 that these plans are the most economically viable and are the best solution to the agency's needs 128 and the state's needs; and 129 (iii) approve or disapprove of and coordinate the acquisition of information technology 130 equipment, telecommunications equipment, and related services for all agencies of state 131 government; 132 (e) facilitate the implementation of agency plans; 133 (f) establish priorities in terms of both importance and time sequencing for the 134 development and implementation of information systems; 135 (g) monitor information systems development to promote maximum use of existing 136 state information resources; (h) advise the governor on information technology policy and make recommendations 137 138 to the governor regarding requests for appropriations for information technology equipment 139 and personnel; 140 (i) maintain liaison with the legislative and judicial branches, the Board of Regents, the 141 State Board of Education, local government, federal government, business and industry, and 142 consumers to promote cooperation and make recommendations regarding information 143 resources; 144 (j) conduct performance audits of state information technology management, planning, 145 and the use of information technology resources and distribute copies of the audit reports as 146 provided in Subsection (3); 147 (k) prepare an annual report to the governor and to the Legislature's Public Utilities and 148 Technology Interim Committee and the Information Technology Commission that: 149 (i) summarizes the state's current and projected use of information technology; and 150 (ii) includes a description of major changes in state policy and a brief description of 151 each state agency's plan;

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152 (1) inform each state entity of the requirements of Section [63D-1-105] 46-4-503; 153 (m) as permitted by law, coordinate the efforts of state government to provide services 154 and transactions through the Internet; 155 (n) coordinate the development of electronic authentication methods and technology 156 needed to conduct electronic transactions between government and citizens or businesses, 157 except that the Division of Corporations and Commercial Code shall have responsibility for 158 regulatory activities in Title 46, Chapter 3, Utah Digital Signature Act; 159 (o) designate an existing state repository or create a new repository that is secure and 160 central for the maintenance of any appropriate information relating to the issuance of digital 161 certificates as provided in Section 46-3-601; and 162 (p) develop a digital certificate policy pursuant to Subsection (6). 163 (2) (a) Each state agency information technology plan shall include information about 164 planned information technology objectives and expenditures for the next year in the level of 165 detail and format specified by the chief information officer. (b) The plans in Subsection (2)(a) shall include the progress of each state agency 166 167 toward making the agency's services available [on the Internet] electronically as provided in 168 Section [63D-1-105] 46-4-503. 169 (3) (a) Upon completion of an audit report produced under authority of Subsection 170 (1)(j), the chief information officer shall: 171 (i) provide copies of all audit reports to: 172 (A) the agency audited; 173 (B) the governor; 174 (C) the Office of Legislative Fiscal Analyst; 175 (D) the Public Utilities and Technology Interim Committee; and 176 (E) the Information Technology Commission; and 177 (ii) present the performance audit findings to the Information Technology Policy and 178 Strategy Committee at their next meeting. 179 (b) Each state agency shall provide the chief information officer with complete access 180 to all information technology records, documents, and reports, including electronic, analog, or 181 digital, when requested for the purpose of a performance audit. 182 (4) The rate for services established by an information technology cost recovery center,

183	and reviewed by the chief information officer, may be lowered if the Legislature appropriates
184	monies to the cost recovery center for the specific purpose of lowering rates.
185	(5) (a) The chief information officer shall receive reports from the director of the
186	Division of Information Technology Services regarding the division's:
187	(i) budget;
188	(ii) strategic plans, including services the division is or plans to offer agencies;
189	(iii) major expenditure plans; and
190	(iv) any other items determined jointly by the executive director and the chief
191	information officer.
192	(b) The chief information officer shall have authority to approve or disapprove any of
193	the items listed in Subsection (5)(a).
194	(6) The chief information officer shall:
195	(a) develop a digital certificate policy which includes:
196	(i) indicating the level of identity verification necessary for digital certificates issued by
197	any governmental entity to be valid for transacting business online with state agencies and
198	political subdivisions;
199	(ii) requiring any certification authority from which the digital certificates are acquired
200	to be licensed in the state pursuant to Title 46, Chapter 3, Utah Digital Signature Act;
201	(iii) providing for the security of the information in the repository, including who is
202	permitted access to the information; and
203	(iv) indicating the appropriate use and retention of the information in the repository;
204	(b) assist governmental entities desiring to transact business with citizens electronically
205	to develop programs using digital certificates; and
206	(c) designate the state repository pursuant to Section 46-3-601.
207	Section 4. Section 68-3-12 is amended to read:
208	68-3-12. Rules of construction.
209	(1) (a) In the construction of these statutes, the [following] general rules listed in this
210	Subsection (1) shall be observed, unless [such] the construction would be:
211	(i) inconsistent with the manifest intent of the Legislature; or
212	(ii) repugnant to the context of the statute.
213	[(a)] (b) The singular number includes the plural, and the plural the singular.

S.B. 20 12-16-02 3:33 PM 214 [(b)] (c) Words used in one gender comprehend the other gender. 215 $\left[\frac{(c)}{(c)}\right]$ (d) Words used in the present tense include the future tense. 216 (e) In accordance with Title 46, Chapter 4, Part 5, Electronic Records in Government 217 Agencies, words related to the medium used in the provision of government services may 218 include electronic or other mediums. 219 (2) In the construction of these statutes, the [following] definitions listed in this 220 Subsection (2) shall be observed, unless the definition would be inconsistent with the manifest 221 intent of the Legislature, or repugnant to the context of the statute[:]. 222 (a) "Adjudicative proceeding" means: 223 (i) all actions by a board, commission, department, officer, or other administrative unit 224 of the state that determine the legal rights, duties, privileges, immunities, or other legal 225 interests of one or more identifiable persons, including all actions to grant, deny, revoke, 226 suspend, modify, annul, withdraw, or amend an authority, right, or license; and 227 (ii) judicial review of all such actions. 228 (b) "Advisory board," "advisory commission," and "advisory council" means a board, 229 commission, or council that: 230 (i) provides advice and makes recommendations to another person or entity who makes 231 policy for the benefit of the general public; 232 (ii) is created by and whose duties are provided by statute or by executive order; and 233 (iii) performs its duties only under the supervision of another person as provided by 234 statute. 235 (c) "Councilman" includes a town trustee or a city commissioner, and "city 236 commissioner" includes a councilman. 237 (d) "County executive" means: 238 (i) the county commission in the county commission or expanded county commission 239 form of government established under Title 17, Chapter 52, Forms of County Government; 240 (ii) the county executive in the "county executive-council" optional form of 241 government authorized by Section 17-52-504; and 242 (iii) the county manager in the "council-manager" optional form of government 243 authorized by Section 17-52-505. 244 (e) "County legislative body" means:

245 (i) the county commission in the county commission or expanded county commission 246 form of government established under Title 17, Chapter 52, Forms of County Government; 247 (ii) the county council in the "county executive-council" optional form of government 248 authorized by Section 17-52-504; and 249 (iii) the county council in the "council-manager" optional form of government 250 authorized by Section 17-52-505. 251 (f) "Executor" includes administrator, and the term "administrator" includes executor, 252 when the subject matter justifies such use. 253 (g) "Guardian" includes a person who has qualified as a guardian of a minor or 254 incapacitated person pursuant to testamentary or court appointment and a person who is 255 appointed by a court to manage the estate of a minor or incapacitated person. 256 (h) "Highway" and "road" include public bridges and may be held equivalent to the words "county way," "county road," "common road," and "state road." 257 (i) "Him," "his," and other masculine pronouns include "her," "hers," and similar 258 259 feminine pronouns unless the context clearly indicates a contrary intent or the subject matter 260 relates clearly and necessarily to the male sex only. 261 (i) "Insane person" include idiots, lunatics, distracted persons, and persons of unsound 262 mind. 263 (k) "Land," "real estate," and "real property" include land, tenements, hereditaments, 264 water rights, possessory rights, and claims. 265 (1) "Man" or "men" when used alone or in conjunction with other syllables as in 266 "workman," includes "woman" or "women" unless the context clearly indicates a contrary 267 intent or the subject matter relates clearly and necessarily to the male sex only. 268 (m) "Month" means a calendar month, unless otherwise expressed, and the word 269 "year," or the abbreviation "A.D." is equivalent to the expression "year of our Lord." 270 (n) "Oath" includes "affirmation," and the word "swear" includes "affirm." Every oral 271 statement under oath or affirmation is embraced in the term "testify," and every written one, in 272 the term "depose." 273 (o) "Person" includes individuals, bodies politic and corporate, partnerships, 274 associations, and companies. 275 (p) "Personal property" includes every description of money, goods, chattels, effects,

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276	evidences of rights in action, and all written instruments by which any pecuniary obligation,
277	right, or title to property is created, acknowledged, transferred, increased, defeated, discharged,
278	or diminished, and every right or interest therein.
279	(q) "Personal representative," "executor," and "administrator" includes an executor,
280	administrator, successor personal representative, special administrator, and persons who
281	perform substantially the same function under the law governing their status.
282	(r) "Policy board," "policy commission," or "policy council" means a board,
283	commission, or council that:
284	(i) possesses a portion of the sovereign power of the state to enable it to make policy
285	for the benefit of the general public;
286	(ii) is created by and whose duties are provided by the constitution or by statute;
287	(iii) performs its duties according to its own rules without supervision other than under
288	the general control of another person as provided by statute; and
289	(iv) is permanent and continuous and not temporary and occasional.
290	(s) "Population" shall be as shown by the last preceding state or national census, unless
291	otherwise specially provided.
292	(t) "Property" includes both real and personal property.
293	(u) "Review board," "review commission," or "review council" means a board,
294	commission, or council that:
295	(i) possesses a portion of the sovereign power of the state only to the extent to enable it
296	to approve policy made for the benefit of the general public by another body or person;
297	(ii) is created by and whose duties are provided by statute;
298	(iii) performs its duties according to its own rules without supervision other than under
299	the general control of another person as provided by statute; and
300	(iv) is permanent and continuous and not temporary and occasional.
301	(v) "Sheriff," "county attorney," "district attorney," "clerk," or other words used to
302	denote an executive or ministerial officer, may include any deputy, or other person performing
303	the duties of such officer, either generally or in special cases; and the words "county clerk" may
304	be held to include "clerk of the district court."
305	(w) "Signature" includes any name, mark, or sign written with the intent to authenticate
306	any instrument or writing.

307	(x) "State," when applied to the different parts of the United States, includes the
308	District of Columbia and the territories; and the words "United States" may include the District
309	and the territories.
310	(y) "Town" may mean incorporated town and may include city, and the word "city"
311	may mean incorporated town.
312	(z) "Vessel," when used with reference to shipping, includes steamboats, canal boats,
313	and every structure adapted to be navigated from place to place.
314	(aa) "Will" includes codicils.
315	(bb) "Writ" means an order or precept in writing, issued in the name of the state or of a
316	court or judicial officer; and "process" means a writ or summons issued in the course of
317	judicial proceedings.
318	(cc) "Writing" includes:
319	<u>(i)</u> printing[,];
320	(ii) handwriting[, and typewriting.]: and
321	(iii) information stored in an electronic or other medium if the information is
322	retrievable in a perceivable format.

Legislative Review Note as of 11-25-02 7:04 AM

A limited legal review of this legislation raises no obvious constitutional or statutory concerns.

Office of Legislative Research and General Counsel

Mixed Membership Committee Note as of 12-12-02 2:10 PM

The Information Technology Commission recommended this bill.Membership:6 legislators11 non-legislatorsLegislative Vote:4 voting for0 voting against2 absent

State Impact

No fiscal impact.

Individual and Business Impact

No fiscal impact.

Office of the Legislative Fiscal Analyst