

20	Other Special Clauses:
27	None
28	<b>Utah Code Sections Affected:</b>
29	AMENDS:
30	20A-11-101, as last amended by Laws of Utah 2008, Chapters 14 and 49
31	20A-11-301, as last amended by Laws of Utah 1997, Chapter 355
32	20A-11-1202, as last amended by Laws of Utah 2008, Chapters 225 and 360
33	20A-11-1402, as last amended by Laws of Utah 2004, Chapter 220
34	ENACTS:
35	<b>20A-11-208</b> , Utah Code Annotated 1953
36	<b>20A-11-307</b> , Utah Code Annotated 1953
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38	Be it enacted by the Legislature of the state of Utah:
39	Section 1. Section <b>20A-11-101</b> is amended to read:
40	20A-11-101. Definitions.
41	As used in this chapter:
42	(1) "Address" means the number and street where an individual resides or where a
43	reporting entity has its principal office.
44	(2) "Ballot proposition" includes initiatives, referenda, proposed constitutional
45	amendments, and any other ballot propositions submitted to the voters that are authorized by
46	the Utah Code Annotated 1953.
47	(3) "Candidate" means any person who:
48	(a) files a declaration of candidacy for a public office; or
49	(b) receives contributions, makes expenditures, or gives consent for any other person to
50	receive contributions or make expenditures to bring about the person's nomination or election
51	to a public office.
52	(4) "Chief election officer" means:
53	(a) the lieutenant governor for state office candidates, legislative office candidates,
54	officeholders, political parties, political action committees, corporations, political issues
55	committees, and state school board candidates; and
56	(b) the county clerk for local school board candidates.

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57	(5) "Continuing political party" means an organization of voters that participated in the
58	last regular general election and polled a total vote equal to 2% or more of the total votes cast
59	for all candidates for the United States House of Representatives.
60	(6) (a) "Contribution" means any of the following when done for political purposes:
61	(i) a gift, subscription, donation, loan, advance, or deposit of money or anything of
62	value given to the filing entity;
63	(ii) an express, legally enforceable contract, promise, or agreement to make a gift,
64	subscription, donation, unpaid or partially unpaid loan, advance, or deposit of money or
65	anything of value to the filing entity;
66	(iii) any transfer of funds from another reporting entity or a corporation to the filing
67	entity;
68	(iv) compensation paid by any person or reporting entity other than the filing entity for
69	personal services provided without charge to the filing entity;
70	(v) remuneration from any organization or its directly affiliated organization that has a
71	registered lobbyist to compensate a legislator for a loss of salary or income while the
72	Legislature is in session;
73	(vi) salaries or other remuneration paid to a legislator by any agency or subdivision of
74	the state, including school districts, for the period the Legislature is in session; and
75	(vii) goods or services provided to or for the benefit of the filing entity at less than fair
76	market value.
77	(b) "Contribution" does not include:
78	(i) services provided without compensation by individuals volunteering a portion or all
79	of their time on behalf of the filing entity; or
80	(ii) money lent to the filing entity by a financial institution in the ordinary course of
81	business.
82	(7) (a) "Corporation" means a domestic or foreign, profit or nonprofit, business
83	organization that is registered as a corporation or is authorized to do business in a state and
84	makes any expenditure from corporate funds for:

(i) political purposes; or

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- (ii) the purpose of influencing the approval or the defeat of any ballot proposition.
- (b) "Corporation" does not mean:

88	(i) a business organization's political action committee or political issues committee; or
89	(ii) a business entity organized as a partnership or a sole proprietorship.
90	(8) "Detailed listing" means:
91	(a) for each contribution or public service assistance:
92	(i) the name and address of the individual or source making the contribution or public
93	service assistance;
94	(ii) the amount or value of the contribution or public service assistance; and
95	(iii) the date the contribution or public service assistance was made; and
96	(b) for each expenditure:
97	(i) the amount of the expenditure;
98	(ii) the person or entity to whom it was disbursed;
99	(iii) the specific purpose, item, or service acquired by the expenditure; and
100	(iv) the date the expenditure was made.
101	(9) "Election" means each:
102	(a) regular general election;
103	(b) regular primary election; and
104	(c) special election at which candidates are eliminated and selected.
105	(10) (a) "Expenditure" means:
106	(i) any disbursement from contributions, receipts, or from the separate bank account
107	required by this chapter;
108	(ii) a purchase, payment, donation, distribution, loan, advance, deposit, gift of money,
109	or anything of value made for political purposes;
110	(iii) an express, legally enforceable contract, promise, or agreement to make any
111	purchase, payment, donation, distribution, loan, advance, deposit, gift of money, or anything of
112	value for political purposes;
113	(iv) compensation paid by a corporation or filing entity for personal services rendered
114	by a person without charge to a reporting entity;
115	(v) a transfer of funds between the filing entity and a candidate's personal campaign
116	committee; or
117	(vi) goods or services provided by the filing entity to or for the benefit of another
118	reporting entity for political purposes at less than fair market value.

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119	(b) "Expenditure" does not include:
120	(i) services provided without compensation by individuals volunteering a portion or all
121	of their time on behalf of a reporting entity;
122	(ii) money lent to a reporting entity by a financial institution in the ordinary course of
123	business; or
124	(iii) anything listed in Subsection (10)(a) that is given by a corporation or reporting
125	entity to candidates for office or officeholders in states other than Utah.
126	(11) "Filing entity" means the reporting entity that is filing a financial statement
127	required by this chapter.
128	(12) "Financial statement" includes any summary report, interim report, verified
129	financial statement, or other statement disclosing contributions, expenditures, receipts,
130	donations, or disbursements that is required by this chapter.
131	(13) "Governing board" means the individual or group of individuals that determine the
132	candidates and committees that will receive expenditures from a political action committee.
133	(14) "Incorporation" means the process established by Title 10, Chapter 2, Part 1,
134	Incorporation, by which a geographical area becomes legally recognized as a city or town.
135	(15) "Incorporation election" means the election authorized by Section 10-2-111.
136	(16) "Incorporation petition" means a petition authorized by Section 10-2-109.
137	(17) "Individual" means a natural person.
138	(18) "Interim report" means a report identifying the contributions received and
139	expenditures made since the last report.
140	(19) "Legislative office" means the office of state senator, state representative, speaker
141	of the House of Representatives, president of the Senate, and the leader, whip, and assistant
142	whip of any party caucus in either house of the Legislature.
143	(20) "Legislative office candidate" means a person who:
144	(a) files a declaration of candidacy for the office of state senator or state representative;
145	(b) declares himself to be a candidate for, or actively campaigns for, the position of
146	speaker of the House of Representatives, president of the Senate, or the leader, whip, and
147	assistant whip of any party caucus in either house of the Legislature; and
148	(c) receives contributions, makes expenditures, or gives consent for any other person to
149	receive contributions or make expenditures to bring about the person's nomination or election

to a legislative office.

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- 151 (21) "Newly registered political party" means an organization of voters that has 152 complied with the petition and organizing procedures of this chapter to become a registered 153 political party.
  - (22) "Officeholder" means a person who holds a public office.
- 155 (23) "Party committee" means any committee organized by or authorized by the governing board of a registered political party.
  - (24) "Person" means both natural and legal persons, including individuals, business organizations, personal campaign committees, party committees, political action committees, political issues committees, labor unions, and labor organizations.
  - (25) "Personal campaign committee" means the committee appointed by a candidate to act for the candidate as provided in this chapter.
  - (26) (a) "Political action committee" means an entity, or any group of individuals or entities within or outside this state, that solicits or receives contributions from any other person, group, or entity or makes expenditures:
    - (i) for political purposes; or
  - (ii) with the intent or in a way to influence or tend to influence, directly or indirectly, any person to refrain from voting or to vote for or against any candidate for a municipal or county office.
  - (b) "Political action committee" includes groups affiliated with a registered political party but not authorized or organized by the governing board of the registered political party that receive contributions or makes expenditures for political purposes.
    - (c) "Political action committee" does not mean:
- (i) a party committee;
  - (ii) any entity that provides goods or services to a candidate or committee in the regular course of its business at the same price that would be provided to the general public;
    - (iii) an individual;
- 177 (iv) individuals who are related and who make contributions from a joint checking account;
- (v) a corporation; or
- (vi) a personal campaign committee.

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less than fair market value.

181 (27) "Political convention" means a county or state political convention held by a 182 registered political party to select candidates. 183 (28) (a) "Political issues committee" means an entity, or any group of individuals or 184 entities within or outside this state, that solicits or receives donations from any other person, 185 group, or entity or makes disbursements to influence, or to intend to influence, directly or 186 indirectly, any person to: 187 (i) assist in placing a ballot proposition on the ballot, assist in keeping a ballot 188 proposition off the ballot, or refrain from voting or vote for or vote against any ballot 189 proposition; or 190 (ii) sign or refuse to sign an incorporation petition or refrain from voting, vote for, or 191 vote against any proposed incorporation in an incorporation election. 192 (b) "Political issues committee" does not mean: 193 (i) a registered political party or a party committee; 194 (ii) any entity that provides goods or services to an individual or committee in the 195 regular course of its business at the same price that would be provided to the general public; 196 (iii) an individual; (iv) individuals who are related and who make contributions from a joint checking 197 198 account; or 199 (v) a corporation, except a corporation whose apparent purpose is to act as a political 200 issues committee. 201 (29) (a) "Political issues contribution" means any of the following: 202 (i) a gift, subscription, unpaid or partially unpaid loan, advance, or deposit of money or 203 anything of value given to a political issues committee; 204 (ii) an express, legally enforceable contract, promise, or agreement to make a political 205 issues donation to influence the approval or defeat of any ballot proposition; 206 (iii) any transfer of funds received by a political issues committee from a reporting 207 entity; 208 (iv) compensation paid by another reporting entity for personal services rendered 209 without charge to a political issues committee; and

(v) goods or services provided to or for the benefit of a political issues committee at

212	(b) Political issues contribution does not include:
213	(i) services provided without compensation by individuals volunteering a portion or all
214	of their time on behalf of a political issues committee; or
215	(ii) money lent to a political issues committee by a financial institution in the ordinary
216	course of business.
217	(30) (a) "Political issues expenditure" means any of the following:
218	(i) any payment from political issues contributions made for the purpose of influencing
219	the approval or the defeat of:
220	(A) a ballot proposition; or
221	(B) an incorporation petition or incorporation election;
222	(ii) a purchase, payment, distribution, loan, advance, deposit, or gift of money made for
223	the purpose of influencing the approval or the defeat of:
224	(A) a ballot proposition; or
225	(B) an incorporation petition or incorporation election;
226	(iii) an express, legally enforceable contract, promise, or agreement to make any
227	political issues expenditure;
228	(iv) compensation paid by a reporting entity for personal services rendered by a person
229	without charge to a political issues committee; or
230	(v) goods or services provided to or for the benefit of another reporting entity at less
231	than fair market value.
232	(b) "Political issues expenditure" does not include:
233	(i) services provided without compensation by individuals volunteering a portion or all
234	of their time on behalf of a political issues committee; or
235	(ii) money lent to a political issues committee by a financial institution in the ordinary
236	course of business.
237	(31) "Political purposes" means [an act done with the intent or in a way to influence or
238	tend to influence, directly or indirectly, any person to refrain from voting or to vote for or
239	against any candidate for public office at any caucus, political convention, primary, or
240	election.] an act that is reasonably related to:
241	(a) a candidate's election to public office at any caucus, political convention, or
242	election; or

243	(b) fulfilling the duties of a public office to which the person doing the act has been
244	<u>elected.</u>
245	(32) "Primary election" means any regular primary election held under the election
246	laws.
247	(33) "Public office" means the office of governor, lieutenant governor, state auditor,
248	state treasurer, attorney general, state or local school board member, state senator, state
249	representative, speaker of the House of Representatives, president of the Senate, and the leader,
250	whip, and assistant whip of any party caucus in either house of the Legislature.
251	(34) (a) "Public service assistance" means the following when given or provided to an
252	officeholder to defray the costs of functioning in a public office or aid the officeholder to
253	communicate with the officeholder's constituents:
254	(i) a gift, subscription, donation, unpaid or partially unpaid loan, advance, or deposit of
255	money or anything of value to an officeholder; or
256	(ii) goods or services provided at less than fair market value to or for the benefit of the
257	officeholder.
258	(b) "Public service assistance" does not include:
259	(i) anything provided by the state;
260	(ii) services provided without compensation by individuals volunteering a portion or all
261	of their time on behalf of an officeholder;
262	(iii) money lent to an officeholder by a financial institution in the ordinary course of
263	business;
264	(iv) news coverage or any publication by the news media; or
265	(v) any article, story, or other coverage as part of any regular publication of any
266	organization unless substantially all the publication is devoted to information about the
267	officeholder.
268	(35) "Publicly identified class of individuals" means a group of 50 or more individuals
269	sharing a common occupation, interest, or association that contribute to a political action
270	committee or political issues committee and whose names can be obtained by contacting the
271	political action committee or political issues committee upon whose financial report they are
272	listed.
273	(36) "Receipts" means contributions and public service assistance.

- (37) "Registered lobbyist" means a person registered under Title 36, Chapter 11,
  Lobbyist Disclosure and Regulation Act.
  - (38) "Registered political action committee" means any political action committee that is required by this chapter to file a statement of organization with the lieutenant governor's office.
  - (39) "Registered political issues committee" means any political issues committee that is required by this chapter to file a statement of organization with the lieutenant governor's office.
    - (40) "Registered political party" means an organization of voters that:
  - (a) participated in the last regular general election and polled a total vote equal to 2% or more of the total votes cast for all candidates for the United States House of Representatives for any of its candidates for any office; or
    - (b) has complied with the petition and organizing procedures of this chapter.
  - (41) "Reporting entity" means a candidate, a candidate's personal campaign committee, an officeholder, a party committee, a political action committee, and a political issues committee.
    - (42) "School board office" means the office of state school board or local school board.
  - (43) (a) "Source" means the person or entity that is the legal owner of the tangible or intangible asset that comprises the contribution.
  - (b) "Source" means, for political action committees and corporations, the political action committee and the corporation as entities, not the contributors to the political action committee or the owners or shareholders of the corporation.
  - (44) "State office" means the offices of governor, lieutenant governor, attorney general, state auditor, and state treasurer.
    - (45) "State office candidate" means a person who:
    - (a) files a declaration of candidacy for a state office; or
  - (b) receives contributions, makes expenditures, or gives consent for any other person to receive contributions or make expenditures to bring about the person's nomination or election to a state office.
  - (46) "Summary report" means the year end report containing the summary of a reporting entity's contributions and expenditures.

305	(47) "Supervisory board" means the individual or group of individuals that allocate
306	expenditures from a political issues committee.
307	Section 2. Section 20A-11-208 is enacted to read:
308	20A-11-208. Limit on contributions.
309	(1) A state office candidate may not accept more than \$7,500 from any person for each
310	election at which the candidate's name is on the ballot.
311	(2) (a) Notwithstanding Subsection (1), a state office candidate may accept up to five
312	times the amount listed in Subsection (1) from a political party for each election.
313	(b) For the purpose of Subsection (2)(a), a political party representing a different
314	geographical area, such as a county, state, or national political party, is a separate political
315	party.
316	(3) (a) For calendar years beginning on or after January 1, 2010, the dollar amount
317	listed in Subsection (1) shall increase or decrease in an amount corresponding to the increase or
318	decrease in the consumer price index for all urban consumers, prepared by the United States
319	Bureau of Labor Statistics for the previous calendar year.
320	(b) The lieutenant governor shall calculate the amount in Subsection (3)(a) and publish
321	the amount on the lieutenant governor's Internet website.
322	(4) A state office candidate who violates this section:
323	(a) is subject to an administrative fine of up to \$1,500 for a first violation; or
324	(b) is guilty of a class B misdemeanor for a second or subsequent violation.
325	Section 3. Section <b>20A-11-301</b> is amended to read:
326	20A-11-301. Legislative office candidate Campaign requirements.
327	(1) Each legislative office candidate shall deposit each contribution and public service
328	assistance received in one or more separate accounts in a financial institution that are dedicated
329	only to that purpose.
330	(2) A legislative office candidate may not deposit or mingle any contributions or public
331	service assistance received into a personal or business account.
332	(3) A legislative office candidate may [not make any political expenditures prohibited
333	by law] use the monies in a campaign account only for political purposes.
334	(4) If a person who is no longer a legislative candidate chooses not to expend the
335	monies remaining in his campaign account, the person shall continue to file the year-end

336	summary report required by Section 20A-11-302 until the statement of dissolution and final
337	summary report required by Section 20A-11-304 are filed with the lieutenant governor.
338	Section 4. Section <b>20A-11-307</b> is enacted to read:
339	20A-11-307. Limit on contributions.
340	(1) A legislative office candidate seeking office in the House of Representatives may
341	not accept more than \$300 from any person for each election at which the candidate's name is
342	on the ballot.
343	(2) A legislative office candidate seeking office in the Senate may not accept more than
344	\$750 from any person for each election at which the candidate's name is on the ballot.
345	(3) (a) Notwithstanding Subsections (1) and (2), a legislative office candidate may
346	accept up to five times the amount listed in Subsections (1) and (2) from a political party for
347	each election.
348	(b) For the purpose of Subsection (3)(a), a political party representing a different
349	geographical area, such as a county, state, or national political party, is a separate political
350	party.
351	(4) (a) For calendar years beginning on or after January 1, 2010, the dollar amount
352	listed in Subsections (1) and (2) shall increase or decrease in an amount corresponding to the
353	increase or decrease in the consumer price index for all urban consumers, prepared by the
354	United States Bureau of Labor Statistics for the previous calendar year.
355	(b) The lieutenant governor shall calculate the amount in Subsection (4)(a) and publish
356	the amount on the lieutenant governor's Internet website.
357	(5) A legislative candidate who violates this section:
358	(a) is subject to an administrative fine of up to \$1,500 for a first violation; or
359	(b) is guilty of a class B misdemeanor for a second or subsequent violation.
360	Section 5. Section <b>20A-11-1202</b> is amended to read:
361	20A-11-1202. Definitions.
362	As used in this part:
363	(1) "Ballot proposition" means constitutional amendments, initiatives, referenda,
364	judicial retention questions, opinion questions, bond approvals, or other questions submitted to
365	the voters for their approval or rejection.
366	(2) (a) "Commercial interlocal cooperation agency" means an interlocal cooperation

307	agency that receives its revenues from conduct of its commercial operations.
368	(b) "Commercial interlocal cooperation agency" does not mean an interlocal
369	cooperation agency that receives some or all of its revenues from:
370	(i) government appropriations;
371	(ii) taxes;
372	(iii) government fees imposed for regulatory or revenue raising purposes; or
373	(iv) interest earned on public funds or other returns on investment of public funds.
374	(3) "Expenditure" means:
375	(a) a purchase, payment, donation, distribution, loan, advance, deposit, gift of money,
376	or anything of value;
377	(b) an express, legally enforceable contract, promise, or agreement to make any
378	purchase, payment, donation, distribution, loan, advance, deposit, gift of money, or anything of
379	value;
380	(c) a transfer of funds between a public entity and a candidate's personal campaign
381	committee;
382	(d) a transfer of funds between a public entity and a political issues committee; or
383	(e) goods or services provided to or for the benefit of a candidate, a candidate's
384	personal campaign committee, or a political issues committee for political purposes at less than
385	fair market value.
386	(4) "Governmental interlocal cooperation agency" means an interlocal cooperation
387	agency that receives some or all of its revenues from:
388	(a) government appropriations;
389	(b) taxes;
390	(c) government fees imposed for regulatory or revenue raising purposes; or
391	(d) interest earned on public funds or other returns on investment of public funds.
392	(5) (a) "Influence" means to campaign or advocate for or against a ballot proposition.
393	(b) "Influence" does not mean providing a brief statement about a public entity's
394	position on a ballot proposition and the reason for that position.
395	(6) "Interlocal cooperation agency" means an entity created by interlocal agreement
396	under the authority of Title 11, Chapter 13, Interlocal Cooperation Act.
397	(7) "Local district" means an entity under Title 17B, Limited Purpose Local

- Government Entities Local Districts, and includes a special service district under Title 17D,
  Chapter 1, Special Service District Act.
  - (8) (a) "Political issues committee" means an entity, or any group of individuals or entities within or outside this state, that solicits or receives a contribution from any other person, group, or entity and makes an expenditure from one or more contributions to influence, or to intend to influence, directly or indirectly, any person to assist in placing a ballot proposition on the ballot, to assist in keeping a ballot proposition off the ballot, or to refrain from voting or to vote for or to vote against any ballot proposition.
  - (b) "Political issues committee" does not mean an entity that provides goods or services to an individual or committee in the regular course of its business at the same price that would be provided to the general public.
  - [(9) "Political purposes" means an act done with the intent or in a way to influence or intend to influence, directly or indirectly, any person to refrain from voting or to vote for or against any candidate for public office at any caucus, political convention, primary, or election.]
  - [(10)] (9) (a) "Public entity" includes the state, each state agency, each county, municipality, school district, local district, governmental interlocal cooperation agency, and each administrative subunit of each of them.
    - (b) "Public entity" does not include a commercial interlocal cooperation agency.
  - (c) "Public entity" includes local health departments created under Title 26, Chapter 1, [Local Health Departments] Department of Health Organization.
  - [(11)] (10) (a) "Public funds" means any monies received by a public entity from appropriations, taxes, fees, interest, or other returns on investment.
  - (b) "Public funds" does not include monies donated to a public entity by a person or entity.
  - [(12)] (11) (a) "Public official" means an elected or appointed member of government with authority to make or determine public policy.
    - (b) "Public official" includes the person or group that:
    - (i) has supervisory authority over the personnel and affairs of a public entity; and
- 427 (ii) approves the expenditure of funds for the public entity.
- 428 [(13)] (12) (a) "State agency" means each department, commission, board, council,

429	agency, institution, officer, corporation, fund, division, office, committee, authority, laboratory,
430	library, unit, bureau, panel, or other administrative unit of the state.
431	(b) "State agency" includes the legislative branch, the Board of Regents, the
432	institutional councils of each higher education institution, and each higher education
433	institution.
434	Section 6. Section <b>20A-11-1402</b> is amended to read:
435	20A-11-1402. Definitions.
436	(1) As used in this part:
437	(a) "Ballot proposition" includes constitutional amendments, initiatives, referenda,
438	judicial retention questions, opinion questions, or other questions submitted to the voters for
439	their approval or rejection.
440	(b) (i) "Labor organization" means a lawful organization of any kind that is composed,
441	in whole or in part, of employees and that exists for the purpose, in whole or in part, of dealing
442	with employers concerning grievances, labor disputes, wages, rates of pay, hours of
443	employment, or other terms and conditions of employment.
444	(ii) Except as provided in Subsection (1)(b)(iii), "labor organization" includes each
445	employee association and union for employees of public and private sector employers.
446	(iii) "Labor organization" does not include organizations governed by the National
447	Labor Relations Act, 29 U.S.C. Sec. 151 et seq. or the Railroad Labor Act, 45 U.S.C. Sec. 151
448	et seq.
449	(c) "Political fund" means a separate segregated fund established by a labor
450	organization for political purposes that meets the requirements of this part.
451	[(d) "Political purposes" means an act done with the intent or in a way to influence or
452	tend to influence, directly or indirectly, any person to refrain from voting or to vote for or
453	against any candidate for public office at any caucus, political convention, primary, or
454	election.]
455	[(e)] (d) "Union dues" means dues, fees, monies, or other assessments required as a
456	condition of membership or participation in a labor organization.

(2) Other terms defined in Section 20A-11-101 apply to this part.