UTAH STATE SENATE

UTAH STATE CAPITOL COMPLEX ● 320 STATE CAPITOL
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February 25, 2016

Mr. President:

The Business and Labor Committee reports a favorable recommendation on **H.B. 106**, SECURITIES AMENDMENTS, by Representative R. Cunningham, with the following amendments:

1. *Page 1, Lines 13 through 14:*

13 {~~grants rulemaking authority to impose continuing education requirements for~~
14 ~~investment adviser representatives;~~ }

2. *Page 7, Lines 191 through 194:*

191 {~~(6) An investment adviser representative licensed under this chapter shall meet a~~
192 ~~continuing education requirement if a continuing education requirement is established by the~~
193 ~~division by rule made in accordance with Title 63G, Chapter 3, Utah Administrative~~
194 ~~Rulemaking Act.~~ }

Respectfully,

Curtis S. Bramble
Committee Chair

Voting: 4-0-3

3 HB0106.SC1.wpd JWade/JTW PO/JTW 2/26/16 8:47 am

Bill Number	Action Class	Action Code
HB0106	S	SCRAMD